

Document Title	Specification of Update and Configuration Management
Document Owner	AUTOSAR
Document Responsibility	AUTOSAR
Document Identification No	888

Document Status	published
Part of AUTOSAR Standard	Adaptive Platform
Part of Standard Release	R24-11

Document Change History			
Date	Release	Changed by	Description
2024-11-27	R24-11	AUTOSAR Release Management	<ul> <li>New suspend and resume requirements introduction</li> <li>Progress monitoring refactoring</li> </ul>
2023-11-23	R23-11	AUTOSAR Release Management	Split UCM Master into SWS Vehicle     Update Configuration Management
2022-11-24	R22-11	AUTOSAR Release Management	<ul> <li>Failing rollback clarifications</li> <li>Campaign history type consolidated</li> <li>Introduced production errors</li> </ul>
2021-11-25	R21-11	AUTOSAR Release Management	<ul> <li>Renamed to SWS_UpdateAnd- ConfigurationManagement</li> <li>UCM errors ordering</li> <li>Vehicle State Manager API detailing</li> </ul>
2020-11-30	R20-11	AUTOSAR Release Management	<ul> <li>Classic Plaftorm update specification for UCM Master</li> <li>Refactored UCM Master API</li> <li>Simplified UCM Master State Machine</li> <li>Detailed campaign history information</li> </ul>



		$\bigtriangleup$	
			Introduced UCM Master concept
		AUTOSAR Release	<ul> <li>Software Package state machine updated for processing while streaming</li> </ul>
2019-11-28	R19-11		<ul> <li>Reviewed UCM State Machine</li> </ul>
		Management	<ul> <li>Added new security analysis appendix</li> </ul>
			<ul> <li>Changed Document Status from Final to published</li> </ul>
			<ul> <li>Updating Package Management state machine</li> </ul>
2019-03-29	19-03	AUTOSAR Release	<ul> <li>New requirements for robustness against reset</li> </ul>
	Management	Management	<ul> <li>Improving specification item atomicity</li> </ul>
			<ul> <li>Fixing errors in chapter Service Interfaces</li> </ul>
2018-10-31	18-10	AUTOSAR Release	<ul> <li>Updated interaction other functional clusters like PER and EMO/SM</li> </ul>
2018-10-31	10-10	Management	<ul> <li>Introduction of vehicle package distribution</li> </ul>
		AUTOSAR	Extended and updated service interface
2018-03-29	18-03	Release Management	<ul> <li>Introduction of Software Package</li> </ul>
			<ul> <li>Introduction to securing update process</li> </ul>
2017-10-27	17-10	AUTOSAR Release Management	<ul> <li>Initial release</li> </ul>



#### Disclaimer

This work (specification and/or software implementation) and the material contained in it, as released by AUTOSAR, is for the purpose of information only. AUTOSAR and the companies that have contributed to it shall not be liable for any use of the work.

The material contained in this work is protected by copyright and other types of intellectual property rights. The commercial exploitation of the material contained in this work requires a license to such intellectual property rights.

This work may be utilized or reproduced without any modification, in any form or by any means, for informational purposes only. For any other purpose, no part of the work may be utilized or reproduced, in any form or by any means, without permission in writing from the publisher.

The work has been developed for automotive applications only. It has neither been developed, nor tested for non-automotive applications.

The word AUTOSAR and the AUTOSAR logo are registered trademarks.



# Contents

1	Introduction and functional overview 9		
2	Acronyms and abbreviations	10	
3	Related documentation 12		
	<ul> <li>3.1 Input documents &amp; related standards and norms</li> <li>3.2 Related specification</li> <li>3.3 Further applicable specification</li> </ul>	12 12 13	
4	Constraints and assumptions	14	
	<ul> <li>4.1 Known Limitations</li> <li>4.2 Applicability to car domains</li> </ul>	14 14	
5	Dependencies to other functional clusters	15	
	<ul> <li>5.1 Provided Interfaces</li></ul>	15 16 18	
6	Requirements Tracing	19	
7	Functional specification	24	
	<ul> <li>7.1 Software Cluster lifecycle</li> <li>7.2 Technical Overview</li> <li>7.2.1 UCM Diagnostic Application</li> <li>7.2.2 Software Package Management</li> <li>7.2.2.1 Software Package</li> <li>7.2.2.2 Content of a Software Package</li> <li>7.2.2.3 Applications Persisted Data</li> <li>7.2.3 Runtime dependencies</li> <li>7.2.4 Update scope and State Management</li> <li>7.3 Preparation Phase</li> </ul>	24 26 27 28 29 30 32 33 34 35	
	<ul> <li>7.3.1 Transferring Software Packages</li> <li>7.3.1.1 Error handling in TransferStart</li> <li>7.3.1.2 Error handling in TransferData</li> <li>7.3.1.3 Error handling in TransferExit</li> <li>7.3.1.4 Error handling in DeleteTransfer</li> <li>7.3.2 Processing of Software Packages from a stream</li> <li>7.3.3 Processing Software Packages</li> <li>7.3.3.1 Error handling during Processing Software Packages</li> <li>7.3.3.2 Error handling for Cancel</li> <li>7.3.3.3 Error handling for GetSwProcessProgress</li> <li>7.4 Activation Phase</li> </ul>	35 38 39 42 43 44 45 47 50 51 52 52	
	7.4.1       Activation         7.4.1.1       Error handling for Activate	52 55	



7.4.2 Rollback	
7.4.2.1 Error handling for Rollback	
7.4.3 Boot options	
7.5 Cleanup Phase	
7.5.1 Cleanup	
7.6 Status Reporting	
7.6.1 Preparation phase of Package Manage	ement 61
7.6.2 Activation phase of Package Managem	nent 62
7.6.3 Cleaning up phase of Package Manage	ement 66
7.6.4 Suspend and resume	67
7.7 Robustness against reset	68
7.7.1 Boot monitoring	69
7.8 History	69
7.9 Version Reporting	
7.10 Securing Software Updates	
7.11 Functional cluster lifecycle	
7.11.1 Startup	
7.11.2 Shutdown	
7.12 Reporting	
7.12.1 Security Events	
7.12.2 Log Messages	
7.12.2.1 Standardized Logging	
7.12.3 Violation Messages	
	81
7.12.4.1 UCM ROLLBACK FAILED	
7.12.4.2 HISTORY RECORD FAILED	
7.12.4.3 CANCEL FAILED	
7.12.4.4 MISSING DEPENDENCIES .	
7.12.4.5 OLD VERSION PACKAGE	
7.12.4.6 PREPAREUPDATE FAILED .	
7.12.4.7 PREPAREUPDATE Rejected	
7.12.4.8 UPDATE SESSION FAILED .	
7.12.4.9 UPDATE SESSION REJECTE	
7.12.4.10 VERIFICATION FAILED	
7.12.4.11 VERIFICATION REJECTED	
7.12.4.12 PREPAREROLLBACK FAILED	
7.12.4.13 PREPAREROLLBACK REJEC	
API specification	88
Service Interfaces	89
9.1 Type definitions	
9.1.1 UCMIdentifierType	
9.1.2 UCMIdentifierAndVersionType	
9.1.3 TransferIdType	
9.1.4 SwPackageNameType	
9.1.5 ProcessingStateType	

8

9



		9.1.6		93
		9.1.7		93
		9.1.8	5	94
		9.1.9	21	94
		9.1.10	<b>o</b>	95
		9.1.11		95
		9.1.12 9.1.13	0 11	96 96
		9.1.13		90 97
		9.1.14	5 71	97 97
		9.1.15		97 98
		9.1.10	21 C	90 98
		9.1.17	21 · · · · · · · · · · · · · · · · · · ·	90 99
		9.1.10		99 99
			1 21	99
		9.1.20 9.1.21	<b>o 1</b>	
		-	21	00
		9.1.22 9.1.23	21	101 101
		9.1.23		101
		9.1.24	2 21	102
		9.1.25	21	102
		9.1.20		103
		9.1.27		103
		9.1.20		104
		9.1.29	5 I 3I	104
		9.1.30		105
	9.2			105
	9.2	9.2.1	Package Management	
	9.3	-	Interface	
	9.0	9.3.1		119
	9.4			119
	5.4			119
		9.4.1		119
10	Conf	iguration	1	21
	10.1	Default V	/alues	21
	10.2	Semantic	Constraints	21
4.4	Com	unan dinar	reme 1	00
11	Sequ	ience diagr	rams	22
	11.1	Update p	process	22
	11.2	Data tran	nsmission	22
	11.3			23
	11.4	Activatior	n	24
	11.5	Failing ac	ctivation	25
	11.6	Failing ro	Ilback	27
	11.7	V-UCM s	implified vehicle update 1	29



Α	Mentioned Manifest Elements 13		
В	Demands and constraints on Base Software 14		
С	C Interfaces to other Functional Clusters (informative)		
	D.1       Overview       Overview         D.2       Interfaces Tables       Overview         C.2.1       UCM update notification       Overview	141 141 141	
D	Security Analysis of Installation and Update	142	
	D.1Securing Software PackageD.2Securing Calls to UCMD.3Suppressing Call to UCMD.4Resource StarvationD.5Zombie Sessions	142 142 143 143 143	
Е	listory of Constraints and Specification Items	144	
	<ul> <li>E.1 Constraint and Specification Item History of this document according to AUTOSAR Release R19-11.</li> <li>E.1.1 Added Specification Items in R19-11.</li> <li>E.1.2 Changed Specification Items in R19-11.</li> <li>E.1.3 Deleted Specification Items in R19-11.</li> <li>E.1.4 Added Constraints in R19-11.</li> <li>E.1.5 Changed Constraints in R19-11.</li> <li>E.1.6 Deleted Constraints in R19-11.</li> <li>E.2 Constraint and Specification Item History of this document according to AUTOSAR Release R20-11.</li> <li>E.2.1 Added Specification Items in R20-11.</li> <li>E.2.2 Changed Specification Items in R20-11.</li> <li>E.2.3 Deleted Specification Items in R20-11.</li> <li>E.2.4 Added Constraints in R20-11.</li> <li>E.2.5 Changed Constraints in R20-11.</li> <li>E.2.6 Deleted Constraints in R20-11.</li> </ul>		
	<ul> <li>Constraint and Specification Item History of this document according to AUTOSAR Release R21-11.</li> <li>E.3.1 Added Specification Items in R21-11.</li> <li>E.3.2 Changed Specification Items in R21-11.</li> <li>E.3.3 Deleted Specification Items in R21-11.</li> <li>E.3.4 Added Constraints in R21-11.</li> <li>E.3.5 Changed Constraints in R21-11.</li> <li>E.3.6 Deleted Constraints in R21-11.</li> <li>E.4 Constraint and Specification Item History of this document according to AUTOSAR Release R22-11.</li> <li>E.4.1 Added Specification Items in R22-11.</li> <li>E.4.2 Changed Specification Items in R22-11.</li> <li>E.4.3 Deleted Specification Items in R22-11.</li> </ul>	156 157 161 162 162 162 162 162 163 168	
		100	



	E.4.4	Added Constraints in R22-11	168
	E.4.5	Changed Constraints in R22-11	168
	E.4.6	Deleted Constraints in R22-11	168
E.5	Constrair	nt and Specification Item History of this document according	
	to AUTO	SAR Release R23-11.	168
	E.5.1	Added Specification Items in R23-11	168
	E.5.2	Changed Specification Items in R23-11	169
	E.5.3	Deleted Specification Items in R23-11	170
	E.5.4	Added Constraints in R23-11	173
	E.5.5	Changed Constraints in R23-11	173
	E.5.6	Deleted Constraints in R23-11	174
E.6	Constrair	nt and Specification Item History of this document according	
	to AUTO	SAR Release R24-11.	175
	E.6.1	Added Specification Items in R24-11	175
	E.6.2	Changed Specification Items in R24-11	177
	E.6.3	Deleted Specification Items in R24-11	180
	E.6.4	Added Constraints in R24-11	180
	E.6.5	Changed Constraints in R24-11	180
	E.6.6	Deleted Constraints in R24-11	180



## **1** Introduction and functional overview

This software specification contains the functional description and interfaces of the functional cluster Update and Configuration Management which belongs to the AUTOSAR Adaptive Platform Services. Update and Configuration Management has the responsibility of installing, updating and removing software on an AUTOSAR Adaptive Platform in a safe and secure way while not sacrificing the dynamic nature of the AUTOSAR Adaptive Platform.

The Update and Configuration Management functional cluster is responsible for:

- Version reporting of the software present in the AUTOSAR Adaptive Platform
- Receiving and buffering software updates
- Checking that enough resources are available to ensure a software update
- Performing software updates and providing log messages and progress information
- Validating the outcome of a software update
- Providing rollback functionality to restore a known functional state in case of failure

In addition to updating and changing software on the AUTOSAR Adaptive Platform, the Update and Configuration Management is also responsible for updates and changes to the AUTOSAR Adaptive Platform itself, including all functional clusters, the underlying POSIX OS and its kernel with the responsibilities defined above.

In order to allow flexibility in how Update and Configuration Management is used, it will expose its functionality via ara::com service interfaces, not direct APIs. This ensures that the user of the functional cluster Update and Configuration Management does not have to be located on the same ECU.



# 2 Acronyms and abbreviations

The glossary below includes acronyms and abbreviations relevant to the UCM module that are not included in the [1, AUTOSAR glossary].

Abbreviation / Acronym:	Description:
Application Error	Errors returned by UCM
Backend	Backend is a server hosting Software Packages
Boot options	Boot Manager Configuration
Dependency check	Verification method proving that all configured dependencies will
	be fulfilled after finishing the activation.
DM	AUTOSAR Adaptive Diagnostic Management
D-PDU API	Diagnostic Protocol Data Unit Application Programming Interface
Integrity check	Verification method proving there has not been any alteration of
	the artefact content
MDF	Module Description File
MVCI	Modular Vehicle Communication Interface
OTA Client	OTA Client is anAdaptive Application in communication
	with Backend Over The Air
RDF	Root Description File
UCM	Update and Configuration Management
VCI	Vehicle Communication Interface
Vehicle Driver Application	Vehicle Driver Application is an Adaptive Application in
	communication with Vehicle Driver Human to Machine Interface
V-UCM	V-UCM is distributing packages and coordinating an update cam-
	paign in a vehicle
update cycle	Refers to the recurring traversal of the Preparation, Activation
	and Cleanup Phases of a software (one or more Software Clus-
	ters) update as specified in this document.
UCM Client	The application using the provided Service Interface of UCM e.g.
	OTA Client or V-UCM.

#### Table 2.1: Acronyms and abbreviations used in the scope of this Document

Some technical terms used in this document are already defined in the corresponding document mentioned in the table below. This is to avoid duplicate definition of the technical term. And to refer to the correct document.

Term	Description
Adaptive Application	see [1] AUTOSAR Glossary
AUTOSAR Adaptive Platform	see [1] AUTOSAR Glossary
AUTOSAR Classic Platform	see [1] AUTOSAR Glossary
Communication Management	see [2] AUTOSAR Communication Management
Electronic Control Unit	see [1] AUTOSAR Glossary
Executable	see [1] AUTOSAR Glossary
Execution Management	see [3] AUTOSAR Execution Management
Function Group	see [4] AUTOSAR State Management
Functional Cluster	see [1] AUTOSAR Glossary
Machine	see [1] AUTOSAR Glossary
MachineFG	see [3] AUTOSAR Execution Management
Manifest	see [1] AUTOSAR Glossary
Platform Health Management	see [5] AUTOSAR Platform Health Management



Service	see [1] AUTOSAR Glossary
Service Discovery	see [1] AUTOSAR Glossary
Service Interface	see [1] AUTOSAR Glossary
Software Cluster	see [1] AUTOSAR Glossary
Software Package	see [1] AUTOSAR Glossary
State Management	see [4] AUTOSAR State Management
Vehicle Package	see [1] AUTOSAR Glossary
Vehicle State Manager	see [1] AUTOSAR Glossary

Table 2.2: Reference to Technical Terms



# 3 Related documentation

### 3.1 Input documents & related standards and norms

- [1] Glossary AUTOSAR\_FO\_TR\_Glossary
- [2] Specification of Communication Management AUTOSAR\_AP\_SWS\_CommunicationManagement
- [3] Specification of Execution Management AUTOSAR\_AP\_SWS\_ExecutionManagement
- [4] Specification of State Management AUTOSAR\_AP\_SWS\_StateManagement
- [5] Specification of Platform Health Management AUTOSAR\_AP\_SWS\_PlatformHealthManagement
- [6] General Requirements specific to Adaptive Platform AUTOSAR\_AP\_RS\_General
- [7] Explanation of Adaptive Platform Software Architecture AUTOSAR\_AP\_EXP\_SWArchitecture
- [8] Requirements on Update and Configuration Management AUTOSAR\_AP\_RS\_UpdateAndConfigurationManagement
- [9] Explanation of Adaptive Platform Design AUTOSAR\_AP\_EXP\_PlatformDesign
- [10] Specification of Vehicle Update and Configuration Management AUTOSAR\_AP\_SWS\_VehicleUpdateAndConfigurationManagement
- [11] Specification of Manifest AUTOSAR\_AP\_TPS\_ManifestSpecification
- [12] Specification of Persistency AUTOSAR\_AP\_SWS\_Persistency

## 3.2 Related specification

See chapter 3.1.



## 3.3 Further applicable specification

AUTOSAR provides a general specification [6] which is also applicable for UCM. The specification RS General shall be considered as additional and required specification for implementation of UCM.



# 4 Constraints and assumptions

## 4.1 Known Limitations

UCM is not responsible to initiate the update process. UCM realizes a service interface to achieve this operation. The user of this service interface is responsible to verify that the vehicle is in a updatable state before executing a software update procedure on demand. It is also in the responsibility of the user to communicate with other AUTOSAR Adaptive Platforms or AUTOSAR Classic Platforms within the vehicle.

The UCM receives a locally available software package for processing. The software package is usually downloaded from the OEM backend. The download of the software packages has to be done by another application, i.e. UCM does not manage the connection to the OEM backend. Prior to triggering their processing, the software packages have to be transferred to UCM by using the provided ara::com interface.

The UCM update process is designed to cover updates on use case with single AUTOSAR Adaptive Platform. UCM can update Adaptive Applications, the AUTOSAR Adaptive Platform itself, including all functional clusters and the underlying OS.

The UCM is not responsible for enforcing authentication and access control to the provided interfaces. The document currently does not provide any mechanism for the confidentiality protection as well as measures against denial of service attacks. The assumption is that the platform preserves the integrity of parameters exchanged between UCM and its user.

The possibility to restart a specific application instead of a Machine reboot depends of the kind of update and application, is therefore implementation specific and is defined in the Software Package manifest.

UCM does only support updates through its ARA::COM service interface.

The Software Cluster metadata (like versions, etc.) managed by UCM can get out of sync if Software Clusters are updated without involvement of UCM, the information reported by UCM is then inconsistent. Moreover, UCM cannot protect against downgrading of a Software Cluster if there are alternative ways to update this Software Cluster.

## 4.2 Applicability to car domains

No restrictions to applicability.



## 5 Dependencies to other functional clusters

This chapter provides an overview of the dependencies to other Functional Clusters in the AUTOSAR Adaptive Platform. Section 5.1 "Provided Interfaces" lists the interfaces provided by Update and Configuration Management to other Functional Clusters. Section 5.2 "Required Interfaces" lists the interfaces required by Update and Configuration Management.

A detailed technical architecture documentation of the AUTOSAR Adaptive Platform is provided in [7].

## 5.1 Provided Interfaces



#### Figure 5.1: Interfaces provided by Update and Configuration Management to other Functional Clusters

Figure 5.1 shows interfaces provided by Update and Configuration Management to other Functional Clusters within the AUTOSAR Adaptive Platform. Table 5.1 provides a complete list of interfaces provided to other Functional Clusters within the AUTOSAR Adaptive Platform.



Interface	Functional Cluster	Purpose
PackageManagement	Vehicle Update and Configuration Management	This interface is used to control different Update and Configuration Management instances and e.g., applications implementing the same interface located within the vehicle that act as an adapter to install software packages on third-party systems. Vehicle Update and Configuration Management is able to differentiate between the service instances by matching the result of GetId with an ID provided in a Software Package.

Table 5.1: Interfaces provided to other Functional Clusters

## 5.2 Required Interfaces

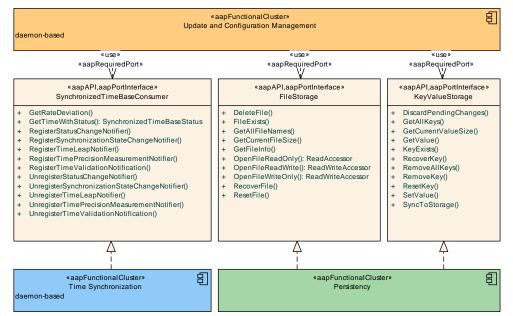
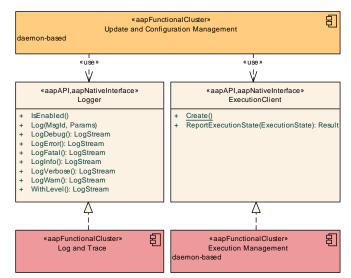
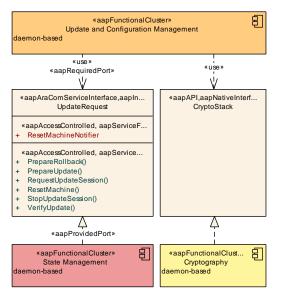


Figure 5.2: Interfaces required by Update and Configuration Management from other Functional Clusters





# Figure 5.3: Interfaces required by Update and Configuration Management from other Functional Clusters



# Figure 5.4: Interfaces required by Update and Configuration Management from other Functional Clusters

Figures 5.2, 5.3, and 5.4 show interfaces required by Update and Configuration Management from other Functional Clusters within the AUTOSAR Adaptive Platform. Table 5.2 provides a complete list of required interfaces from other Functional Clusters within the AUTOSAR Adaptive Platform.



Functional Cluster	Interface	Purpose
Cryptography	CryptoStack	This interface may be used e.g., to verify the integrity and authenticity of Software Packages.
Execution Management	ExecutionClient	This interface shall be used by the daemon process(es) inside Update and Configuration Management to report their execution state to Execution Management.
Log and Trace	Logger	Update and Configuration Management shall use this interface to log standardized messages.
Persistency	FileStorage	Used to store files of received software packages.
Persistency	KeyValueStorage	Used to store the internal state of Update and Configuration Management.
Platform Health Management	SupervisedEntity	This interface should be used to supervise the daemon process(es) of Update and Configuration Management.
State Management	UpdateRequest	This interface is used to interact with State Management of the Adaptive Platform during an update.
Time Synchronization	SynchronizedTimeBaseConsumer	Update and Configuration Management shall use this interface to get latest timestamp.

 Table 5.2: Interfaces required from other Functional Clusters

## 5.3 Interfaces to Adaptive State Management

UCM relies on State Management and its provided UpdateRequest Service Interface to perform the necessary Function Group state changes needed to activate the newly installed, updated or removed software.

Certain applications can conflict with the update process or the newly updated package, and they need to be stopped during the update process. This could be achieved by putting the machine to a safe Machine state, by activating a combination of suitable Function Groups and its states. It is the responsibility of the platform integrator to define this state or Function Groups. The Adaptive Application accessing the UCM, should make sure that the platform is switched to this state (using interfaces from State Management), before starting the update.



# 6 Requirements Tracing

The following tables reference the requirements specified in [8] and links to the fulfillment of these. Please note that if column "Satisfied by" is empty for a specific requirement this means that this requirement is not fulfilled by this document.

Requirement	Description	Satisfied by
[RS_EM_00014]	Execution Management shall support a Trusted Platform.	[SWS_UCM_00202]
[RS_lds_00810]	Basic SW security events	[SWS_UCM_00399] [SWS_UCM_00400] [SWS_UCM_00401] [SWS_UCM_00402] [SWS_UCM_00403] [SWS_UCM_00404] [SWS_UCM_00405] [SWS_UCM_00407] [SWS_UCM_00408]
[RS_SM_00001]	State Management shall coordinate and control multiple sets of Applications.	[SWS_UCM_00242]
[RS_UCM_00001]	UCM shall support installing new software on AUTOSAR Adaptive Platform	[SWS_UCM_00001] [SWS_UCM_00017] [SWS_UCM_00073] [SWS_UCM_00099] [SWS_UCM_00131] [SWS_UCM_00137] [SWS_UCM_00165] [SWS_UCM_00240] [SWS_UCM_00266] [SWS_UCM_00305] [SWS_UCM_00343] [SWS_UCM_00391] [SWS_UCM_00392]
[RS_UCM_00002]	UCM shall support reporting version information for an AUTOSAR Adaptive Platform	[SWS_UCM_00004] [SWS_UCM_00039] [SWS_UCM_00040] [SWS_UCM_00069] [SWS_UCM_00071] [SWS_UCM_00077] [SWS_UCM_00078] [SWS_UCM_00079] [SWS_UCM_00112] [SWS_UCM_00130] [SWS_UCM_00131] [SWS_UCM_00175] [SWS_UCM_00176] [SWS_UCM_00185] [SWS_UCM_00311] [SWS_UCM_00312] [SWS_UCM_00319] [SWS_UCM_00330] [SWS_UCM_00343] [SWS_UCM_00357] [SWS_UCM_00358] [SWS_UCM_00362] [SWS_UCM_CONSTR_00001] [SWS_UCM_CONSTR_00002] [SWS_UCM_CONSTR_00014]
[RS_UCM_00003]	UCM shall support updating installed software on Adaptive Platform	[SWS_UCM_00017] [SWS_UCM_00073] [SWS_UCM_00165] [SWS_UCM_00190] [SWS_UCM_00257] [SWS_UCM_00306] [SWS_UCM_00342]
[RS_UCM_00004]	UCM shall support uninstalling software on AUTOSAR Adaptive Platform	[SWS_UCM_00001] [SWS_UCM_00073] [SWS_UCM_00137] [SWS_UCM_00165] [SWS_UCM_00184] [SWS_UCM_00266] [SWS_UCM_00273] [SWS_UCM_00343] [SWS_UCM_00391] [SWS_UCM_00392]
[RS_UCM_00005]	UCM shall make sure that persistent data owned by uninstalled software is deleted	[SWS_UCM_00184] [SWS_UCM_00273] [SWS_UCM_00349]
[RS_UCM_00006]	UCM shall verify Software Package authenticity and integrity using strong cryptographic techniques	[SWS_UCM_00039] [SWS_UCM_00040] [SWS_UCM_00077] [SWS_UCM_00079] [SWS_UCM_00092] [SWS_UCM_00098] [SWS_UCM_00136] [SWS_UCM_00200] [SWS_UCM_00393] [SWS_UCM_00394]

 $\bigtriangledown$ 



 $\triangle$ 

Requirement	Description	Satisfied by
[RS_UCM_00007]	UCM shall check that software dependencies are fulfilled	[SWS_UCM_00026] [SWS_UCM_00027] [SWS_UCM_00136] [SWS_UCM_00161] [SWS_UCM_00231] [SWS_UCM_00260] [SWS_UCM_00313] [SWS_UCM_00314] [SWS_UCM_00315] [SWS_UCM_00316] [SWS_UCM_00317] [SWS_UCM_00318] [SWS_UCM_00363]
[RS_UCM_00008]	UCM shall support a recovery mechanism in case of failed activation	[SWS_UCM_00005] [SWS_UCM_00024] [SWS_UCM_00107] [SWS_UCM_00110] [SWS_UCM_00111] [SWS_UCM_00126] [SWS_UCM_00127] [SWS_UCM_00131] [SWS_UCM_00146] [SWS_UCM_00155] [SWS_UCM_00162] [SWS_UCM_00163] [SWS_UCM_00164] [SWS_UCM_00264] [SWS_UCM_00282] [SWS_UCM_00299] [SWS_UCM_00302] [SWS_UCM_00353] [SWS_UCM_00371] [SWS_UCM_00374]
[RS_UCM_00010]	UCM shall support reporting of Software Packages downloaded for AUTOSAR Adaptive Platform	[SWS_UCM_00039] [SWS_UCM_00040] [SWS_UCM_00069] [SWS_UCM_00077] [SWS_UCM_00079] [SWS_UCM_00131] [SWS_UCM_00330] [SWS_UCM_00343] [SWS_UCM_00393] [SWS_UCM_00394] [SWS_UCM_CONSTR_00001] [SWS_UCM_CONSTR_00002]
[RS_UCM_00011]	UCM shall support reporting software versions which have been installed and will be activated when new versions are activated	[SWS_UCM_00030] [SWS_UCM_00039] [SWS_UCM_00040] [SWS_UCM_00077] [SWS_UCM_00078] [SWS_UCM_00079] [SWS_UCM_00131] [SWS_UCM_00185] [SWS_UCM_00191] [SWS_UCM_00192] [SWS_UCM_00193] [SWS_UCM_00194] [SWS_UCM_00195] [SWS_UCM_00196] [SWS_UCM_00197] [SWS_UCM_00198] [SWS_UCM_00197] [SWS_UCM_00286] [SWS_UCM_00287] [SWS_UCM_00311] [SWS_UCM_00327] [SWS_UCM_00356] [SWS_UCM_00329] [SWS_UCM_00356] [SWS_UCM_00393] [SWS_UCM_00394] [SWS_UCM_00393] [SWS_UCM_00394] [SWS_UCM_CONSTR_00001] [SWS_UCM_CONSTR_00014]
[RS_UCM_00012]	UCM shall check the consistency of transferred Software Package	[SWS_UCM_00029] [SWS_UCM_00039] [SWS_UCM_00040] [SWS_UCM_00077] [SWS_UCM_00079] [SWS_UCM_00092] [SWS_UCM_00104] [SWS_UCM_00136] [SWS_UCM_00207] [SWS_UCM_00213] [SWS_UCM_00267] [SWS_UCM_00393] [SWS_UCM_00394] [SWS_UCM_CONSTR_00012]
[RS_UCM_00013]	UCM shall check that it has enough resources to receive, process and store the Software Package and associated data	[SWS_UCM_00007] [SWS_UCM_00008] [SWS_UCM_00010] [SWS_UCM_00087] [SWS_UCM_00088] [SWS_UCM_00136] [SWS_UCM_00140] [SWS_UCM_00145] [SWS_UCM_00206] [SWS_UCM_00217] [SWS_UCM_00243] [SWS_UCM_00275] [SWS_UCM_00276] [SWS_UCM_00283] [SWS_UCM_00289] [SWS_UCM_00305] [SWS_UCM_00329]
[RS_UCM_00014]	UCM shall check that correct amount of data has been transferred for the Software Package	[SWS_UCM_00136] [SWS_UCM_00204] [SWS_UCM_00205] [SWS_UCM_00243]



 $\triangle$ 

Requirement	Description	Satisfied by
[RS_UCM_00015]	UCM shall remove all unneeded data after Software Package processing has finished	[SWS_UCM_00020] [SWS_UCM_00131] [SWS_UCM_00265] [SWS_UCM_00285] [SWS_UCM_00331] [SWS_UCM_00349] [SWS_UCM_00354]
[RS_UCM_00018]	UCM shall announce when an application has been installed, updated or uninstalled	[SWS_UCM_00021] [SWS_UCM_00131] [SWS_UCM_00259] [SWS_UCM_00356] [SWS_UCM_00359] [SWS_UCM_00360] [SWS_UCM_00361]
[RS_UCM_00019]	UCM shall support simultaneous transfers multiple Software Packages	[SWS_UCM_00007] [SWS_UCM_00008] [SWS_UCM_00010] [SWS_UCM_00031] [SWS_UCM_00075] [SWS_UCM_00087] [SWS_UCM_00088] [SWS_UCM_00098] [SWS_UCM_00140] [SWS_UCM_00145] [SWS_UCM_00148] [SWS_UCM_00203] [SWS_UCM_00204] [SWS_UCM_00205] [SWS_UCM_00206] [SWS_UCM_00208] [SWS_UCM_00212] [SWS_UCM_00214] [SWS_UCM_00215] [SWS_UCM_00216] [SWS_UCM_00275] [SWS_UCM_00276] [SWS_UCM_00283] [SWS_UCM_00344] [SWS_UCM_00345] [SWS_UCM_00346] [SWS_UCM_00347] [SWS_UCM_00348]
[RS_UCM_00020]	UCM shall support cancellation of an update or install operation	[SWS_UCM_00003] [SWS_UCM_00167] [SWS_UCM_00234] [SWS_UCM_00235] [SWS_UCM_00236] [SWS_UCM_00237] [SWS_UCM_00239] [SWS_UCM_00278] [SWS_UCM_00279] [SWS_UCM_00351] [SWS_UCM_00372]
[RS_UCM_00021]	UCM shall support atomic activation of installed or updated Software Clusters	[SWS_UCM_00022] [SWS_UCM_00025] [SWS_UCM_00094] [SWS_UCM_00131] [SWS_UCM_00241] [SWS_UCM_00259] [SWS_UCM_00260] [SWS_UCM_00280] [SWS_UCM_00352]
[RS_UCM_00023]	UCM shall provide an interface to read progress of the update	[SWS_UCM_00018] [SWS_UCM_00131] [SWS_UCM_00220] [SWS_UCM_00341]
[RS_UCM_00024]	UCM shall provide an interface to read the state of UCM	[SWS_UCM_00019] [SWS_UCM_00044] [SWS_UCM_00080] [SWS_UCM_00081] [SWS_UCM_00083] [SWS_UCM_00084] [SWS_UCM_00085] [SWS_UCM_00131] [SWS_UCM_00147] [SWS_UCM_00149] [SWS_UCM_00150] [SWS_UCM_00151] [SWS_UCM_00152] [SWS_UCM_00153] [SWS_UCM_00154] [SWS_UCM_00166] [SWS_UCM_00168] [SWS_UCM_00169] [SWS_UCM_00258] [SWS_UCM_00293] [SWS_UCM_00258] [SWS_UCM_00301] [SWS_UCM_00341] [SWS_UCM_00361] [SWS_UCM_00364] [SWS_UCM_00396]

 $\bigtriangledown$ 



 $\triangle$ 

Requirement	Description	Satisfied by
[RS_UCM_00025]	UCM shall support receiving of Software Package data	[SWS_UCM_00007] [SWS_UCM_00008] [SWS_UCM_00010] [SWS_UCM_00031] [SWS_UCM_00032] [SWS_UCM_00087] [SWS_UCM_00088] [SWS_UCM_00098] [SWS_UCM_00131] [SWS_UCM_00140] [SWS_UCM_00145] [SWS_UCM_00165] [SWS_UCM_00166] [SWS_UCM_00167] [SWS_UCM_00168] [SWS_UCM_00169] [SWS_UCM_00216] [SWS_UCM_00217] [SWS_UCM_00219] [SWS_UCM_00243] [SWS_UCM_00276] [SWS_UCM_00243] [SWS_UCM_00276] [SWS_UCM_00275] [SWS_UCM_00276] [SWS_UCM_00343] [SWS_UCM_00345] [SWS_UCM_00344] [SWS_UCM_00347] [SWS_UCM_00348]
[RS_UCM_00026]	UCM shall process installation of new Software Packages, updates and removal of existing Software Packages sequentially	[SWS_UCM_00017] [SWS_UCM_00044] [SWS_UCM_00122] [SWS_UCM_00184] [SWS_UCM_00218] [SWS_UCM_00219] [SWS_UCM_00240] [SWS_UCM_00257] [SWS_UCM_00258] [SWS_UCM_00261] [SWS_UCM_00262] [SWS_UCM_00263] [SWS_UCM_00265] [SWS_UCM_00273] [SWS_UCM_00277] [SWS_UCM_00281] [SWS_UCM_00349] [SWS_UCM_00350] [SWS_UCM_00364] [SWS_UCM_00373] [SWS_UCM_00396]
[RS_UCM_00027]	UCM shall be able to safely recover from unexpected interruption.	[SWS_UCM_00157] [SWS_UCM_00158] [SWS_UCM_00270] [SWS_UCM_00302]
[RS_UCM_00028]	UCM shall support updating Functional Clusters	[SWS_UCM_00100] [SWS_UCM_00245] [SWS_UCM_00306] [SWS_UCM_00342]
[RS_UCM_00029]	UCM shall support updating the underlying Operating System	[SWS_UCM_00101] [SWS_UCM_00245] [SWS_UCM_00342]
[RS_UCM_00030]	UCM shall be able to verify the updated software during activation	[SWS_UCM_00107] [SWS_UCM_00111] [SWS_UCM_00126] [SWS_UCM_00127] [SWS_UCM_00146] [SWS_UCM_00155] [SWS_UCM_00162] [SWS_UCM_00163] [SWS_UCM_00164] [SWS_UCM_00260] [SWS_UCM_00264] [SWS_UCM_00352] [SWS_UCM_00370] [SWS_UCM_00374]
[RS_UCM_00031]	UCM shall prevent installation of arbitrary previous version of an Adaptive Application or the Adaptive Platform	[SWS_UCM_00103] [SWS_UCM_00190]
[RS_UCM_00032]	UCM shall provide an interface to return UCM's action history	[SWS_UCM_00115] [SWS_UCM_00131] [SWS_UCM_00132] [SWS_UCM_00133] [SWS_UCM_00134] [SWS_UCM_00135] [SWS_UCM_00160] [SWS_UCM_00271] [SWS_UCM_00292] [SWS_UCM_00355]
[RS_UCM_00044]	UCM Initialization	[SWS_UCM_00274]
[RS_UCM_00045]	UCM shall report production errors	[SWS_UCM_00302] [SWS_UCM_00303] [SWS_UCM_00320] [SWS_UCM_00321] [SWS_UCM_00322] [SWS_UCM_00323] [SWS_UCM_00324] [SWS_UCM_00325] [SWS_UCM_00326] [SWS_UCM_00327] [SWS_UCM_00366] [SWS_UCM_00367] [SWS_UCM_00368] [SWS_UCM_00369] [SWS_UCM_00375]



	$\triangle$	
Requirement	Description	Satisfied by
[RS_UCM_00046]	UCM shall support standardized trace points	[SWS_UCM_00332] [SWS_UCM_00333] [SWS_UCM_00334] [SWS_UCM_00335] [SWS_UCM_00336] [SWS_UCM_00337] [SWS_UCM_00338] [SWS_UCM_00339] [SWS_UCM_00340] [SWS_UCM_00376] [SWS_UCM_00377] [SWS_UCM_00378] [SWS_UCM_00379] [SWS_UCM_00380] [SWS_UCM_00381] [SWS_UCM_00382] [SWS_UCM_00383] [SWS_UCM_00384]
[RS_UCM_00047]	UCM Support for Update Suspend and Resume.	[SWS_UCM_00385] [SWS_UCM_00386] [SWS_UCM_00387] [SWS_UCM_00388] [SWS_UCM_00389] [SWS_UCM_00390] [SWS_UCM_00397] [SWS_UCM_00398]
[RS_VUCM_00035]	V-UCM shall coordinate software update in a vehicle across multiple Electronic Control Units	[SWS_UCM_00309]
[RS_VUCM_00036]	V-UCM shall use platform communication services for interacting with UCMs	[SWS_UCM_00173]
[RS_VUCM_00037]	V-UCM shall ensure it is safe to perform any modification to the vehicle	[SWS_UCM_00313] [SWS_UCM_00314] [SWS_UCM_00315] [SWS_UCM_00316] [SWS_UCM_00317] [SWS_UCM_00318]
[RS_VUCM_00039]	V-UCM shall prevent processing of compromised Vehicle Packages	[SWS_UCM_00200]

Table 6.1: Requirements Tracing



# 7 Functional specification

## 7.1 Software Cluster lifecycle

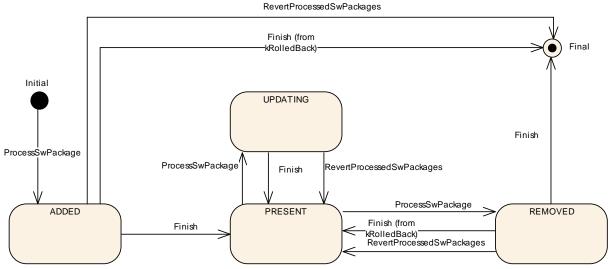


Figure 7.1: State Machine for a Software Cluster

The state machine in Fig. 7.1 describes the life-cycle states of a Software Cluster. These states are reported with GetSwClusterChangeInfo method.

#### [SWS\_UCM\_00191] Software Cluster life-cycle state kAdded

Upstream requirements: RS\_UCM\_00011

[A Software Cluster state shall be kAdded after the Software Cluster is successfully processed with ProcessSwPackage method call on the AUTOSAR Adaptive Platform and if it was not previously present in the AUTOSAR Adaptive Platform and before activation is finished.]

# [SWS\_UCM\_00192] Software Cluster life-cycle state transition from kAdded to kPresent

Upstream requirements: RS\_UCM\_00011

[A Software Cluster state shall change from kAdded to kPresent after a successful activation of a newly added Software Cluster with Finish method call.]

#### [SWS\_UCM\_00195] Software Cluster life-cycle state kUpdating

Upstream requirements: RS\_UCM\_00011



#### [SWS\_UCM\_00193] Software Cluster life-cycle state transition from kUpdating to kPresent

Upstream requirements: RS\_UCM\_00011

[A Software Cluster state shall change from kUpdating to kPresent after a successful activation of the updated Software Cluster with Finish method call, or after reverting the Software Cluster update with a RevertProcessedSwPack-ages method call.]

#### [SWS\_UCM\_00196] Software Cluster life-cycle state kRemoved

Upstream requirements: RS\_UCM\_00011

[A Software Cluster state shall be kRemoved after successful completion of method ProcessSwPackage which involves the removal of the existed Software Cluster and before activation is finished.]

#### [SWS\_UCM\_00194] Software Cluster life-cycle state transition from kRemoved to kPresent in Case of RevertProcessedSwPackages Call

Upstream requirements: RS\_UCM\_00011

[A Software Cluster state shall change from kRemoved to kPresent after a successful call to RevertProcessedSwPackages method in case the Software Cluster was previously requested to be removed by ProcessSwPackage method call.]

#### [SWS\_UCM\_00286] Software Cluster life-cycle state transition from kRemoved to kPresent in case of Finish call

Upstream requirements: RS\_UCM\_00011

[A Software Cluster state shall change from kRemoved to kPresent after a successful call to Finish method in case a Software Cluster being removed has to be rolled back after a failing activation.]

# [SWS\_UCM\_00197] End of Software Cluster life-cycle state from state kAdded in case of RevertProcessedSwPackages call

Upstream requirements: RS\_UCM\_00011

[A Software Cluster shall reach the end of its life-cycle from kAdded after a successful removal of a newly added Software Cluster with RevertProcessedSw-Packages method call in case the Software Cluster was previously requested to be added by ProcessSwPackage method call.]



# [SWS\_UCM\_00287] End of Software Cluster life-cycle state from state kAdded in case of Finish call

Upstream requirements: RS\_UCM\_00011

[A Software Cluster shall reach the end of its life-cycle from kAdded after a successful removal of a newly added Software Cluster with Finish method call in case the newly added Software Cluster has to be rolled back after a failing activation.]

#### [SWS\_UCM\_00198] End of Software Cluster life-cycle state from state kRemoved

Upstream requirements: RS\_UCM\_00011

[A Software Cluster shall reach the end of its life-cycle if it is successfully removed with a Finish method call and the Software Cluster is in state kRemoved.]

## [SWS\_UCM\_00199] Reporting of Software Cluster reaching end of life-cycle

Upstream requirements: RS\_UCM\_00011

[Any Software Cluster reaching the end of its life-cycle shall not be reported by UCM any more.]

### 7.2 Technical Overview

One of the declared goals of AUTOSAR Adaptive Platform is the ability to flexibly update the software and its configuration through over-the-air updates. During the life-cycle of an AUTOSAR Adaptive Platform, UCM is responsible to perform software modifications on the machine and to retain consistency of the whole system.

The UCM Functional Cluster provides a service interface that exposes its functionality to retrieve AUTOSAR Adaptive Platform software information and consistently execute software updates. Since ara::com is used, the client using the UCM service interface can be located on the same AUTOSAR Adaptive Platform, but also remote clients are possible.

The service interface has been primarily designed with the goal to make it possible to use standard diagnostic services for downloading and installing software updates for the AUTOSAR Adaptive Platform. However, the methods and fields in the service interface are designed in such a way that they can be used in principle by any Adaptive Application. UCM does not impose any specific protocol on how data is transferred to the AUTOSAR Adaptive Platform and how package processing is controlled. In particular UCM does not expose diagnostic services.

It is not possible for UCM to identify its clients and there could be several clients involved in one update. Therefore, clients have the responsibility to avoid conflicting interaction



on the same UCM service interface. There might be a list of clients (handled by IAM) which are allowed to access the server.

As shown in Figure 7.2, whether the use case is an over-the-air update or garage update done through diagnostics, it is not visible to the UCM. The UCM Client abstracts the use case from the UCM and forwards the data stream and sequence control commands to the UCM. Later in this document, the term UCM Client is used to describe an Adaptive Application that consumes UCM PackageManagement services through UCM ara::com API. Diagnostic Application and V-UCM are two examples of such UCM Clients.

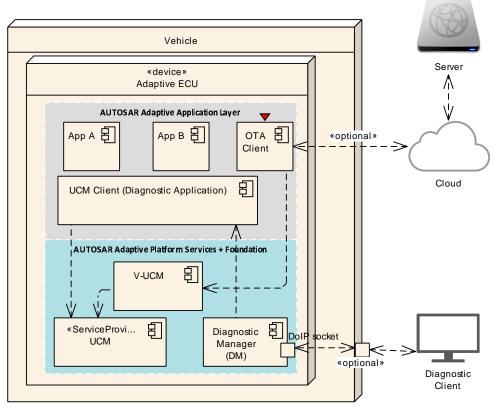


Figure 7.2: Architecture overview for diagnostic use case

#### 7.2.1 UCM Diagnostic Application

	Diagnostic Application as UCM Client	Diagnostic Application as V-UCM Client
Purpose	Update standalone ECU/Machine with- out involvement of V-UCM	Update ECU/Machine as part of vehi- cle update through V-UCM
Flow	Diagnostic Tool -> Diagnostic Manager -> Diagnostic App -> UCM	Diagnostic Tool -> Diagnostic Manager ->Diagnostic App -> V-UCM
Instance	One instance per standalone Adaptive ECU/Machine	One instance per vehicle
Artifacts handled	Receives Software Packages	Receives Vehicle Packages and Soft- ware Packages



	Diagnostic Application as UCM Client	Diagnostic Application as V-UCM Client
UCM API (Service)	Package Management	Vehicle Package Management
ECUs/Machines	Adaptive only (incl. Classic Machines	Any ECU (Adaptive, Classic, Propri-
being updated	on Adaptive ECU, if needed)	etary)
Implemented by	ECU Vendor and/or OEM	OEM
References	<ul> <li>Figure 7.2, Figure 11.1, Figure 11.2, Figure 11.4 in this document</li> <li>Figure "Vehicle Update Architecture" in AUTOSAR_EXP_PlatformDesign [9]</li> <li>Figure "Interfaces of UCM" in AUTOSAR_EXP_SWArchitecture [7]</li> </ul>	

 Table 7.1: The usage of UCM Diagnostic Application

#### 7.2.2 Software Package Management

As Software Packages are the starting point of any update performed by UCM the management of these is a essential part of the specification. Getting from transferred Software Packages to activated Software Clusters in an update cycle is done in three phases:

- Preparation phase: The phase in which Software Packages can be transferred from the UCM Client to the UCM and processed to alter (Install, Update or Remove) each relevant Software Cluster included in the actual update. For detailed informations see chapter 7.3.
- Activation phase: The critical phase of an update cycle in which the UCM performs dependency checks of the involved Software Clusters (compare chapter 7.2.3) then the activation of Software Clusters and finally the verification of the installation, with the help of State Management, prior to finishing the update. For further informations see chapter 7.4.
- Cleanup phase: The phase in which the system is restored into a clean state with all unnecessary artifacts, like <u>Software Packages</u>, removed and prepared to be ready for the next update cycle. More information can be found in chapter 7.5.



#### 7.2.2.1 Software Package

#### [SWS\_UCM\_00122] Software Package utilization

Upstream requirements: RS\_UCM\_00026

[The unit for deployment that the UCM shall take as input is called Software Package, see [1]. Each Software Package shall address a single SoftwareCluster.]

A SoftwareCluster can act in two roles:

- 'Sub'-SoftwareCluster : It is a SoftwareCluster without diagnostic target address, containing processes, executables and further elements
- 'Root'-SoftwareCluster : It is a SoftwareCluster with a diagnostic target address that may reference several other 'Sub'-SoftwareClusters, which thus form a logical group.

A SoftwareCluster can be of the following categories expressed by the attribute SoftwareCluster.category:

- APPLICATION\_LAYER: the SoftwareCluster can be removed by UCM
- PLATFORM\_CORE: the SoftwareCluster cannot be removed as it would break the system.
- PLATFORM: the SoftwareCluster is part of the platform software and can be removed

#### [SWS\_UCM\_00245] Software Cluster category

Upstream requirements: RS\_UCM\_00028, RS\_UCM\_00029

[UCM shall not remove a SoftwareCluster that has installationBehavior set to value cannotBeRemoved. In case of such an attempt, UCM shall raise Applica-tionError kSwclRemovalDenied.]

A Software Package has to be modelled as a so-called SoftwareCluster which describes the content of a Software Package that is downloaded or uploaded to the AUTOSAR Adaptive Platform, see [11].

The term Software Package is used for the "physical", uploadable Software Package that is processed by UCM whereas the term SoftwareCluster is used for the modeling element. In the model, the content of a SoftwareCluster is define by references to all required model elements. The SoftwareCluster and the related model elements define the content of the manifest that is part of the Software Package. The Software Package format and the update scope are described in chapter "Content of a Software Package" as well as in [9].



#### [SWS\_UCM\_CONSTR\_00012]

Upstream requirements: RS\_UCM\_00012

[The SoftwareCluster aggregation of ArtifactChecksum shall not include the uri of this same SoftwareCluster manifest.]

The uri attribute in ArtifactChecksum is referring to the artifact contained in the SoftwareCluster.

#### 7.2.2.2 Content of a Software Package

Each Software Package addresses a single SoftwareCluster and contains manifests, executables and further data (depending on the role of the SoftwareCluster) as the example sketched in Figure 7.3.

Software Package A	
Signed container	
SoftwareCluster A	
Signed container	
Executables	
Data	
Manifests	
Software Cluster Manifest	
Authentication tag	
Software Package Manifest	
Authentication tag	

Figure 7.3: Software Package content description

A single Software Package is designed in a way that it could contain one or several executables of Adaptive Applications, kernel or firmware updates, or updated configuration and calibration data to be deployed on the AUTOSAR Adaptive Platform.



The Software Package manifest is recommended to be sent at the beginning in order for UCM to have early information of for instance memory usage or streaming.

An exemplary implementation of the adaptive workflow with Software Packages can be seen in chapter Methodology and Manifest in [9]. For more details on the Software Package class, you can refer to SoftwarePackage

#### [SWS\_UCM\_00112] Software Cluster and version

Upstream requirements: RS\_UCM\_00002

[SoftwareCluster's manifest shall include a name and a version following description of StrongRevisionLabelString.]

#### [SWS\_UCM\_00319] Semantic versionning

Upstream requirements: RS\_UCM\_00002

[UCM shall compute SoftwareCluster dependency check comparing only MajorVersion and MinorVersion.]

#### [SWS\_UCM\_CONSTR\_00001]

Upstream requirements: RS\_UCM\_00002, RS\_UCM\_00010, RS\_UCM\_00011

[If any content (for instance an executable or persistent data) of an already installed SoftwareCluster is modified by an incoming Software Package, then the version number of the incoming SoftwareCluster indicated in the Software Package shall be higher than the version number of the already installed SoftwareCluster.]

If the constraint is violated, an error will be raised according to [SWS\_UCM\_00103].

A higher version number is achieved by an increment of any of the MajorVersion, the MinorVersion, or the PatchVersion.

For SoftwareCluster dependency check [SWS\_UCM\_00319] or Software Package compatibility against UCM [SWS\_UCM\_00161], PatchVersion and additional labels of StrongRevisionLabelString are not considered.

If there is a need to downgrade a failing <u>SoftwareCluster</u> (for instance, malfunction in the field that was not detected at activation), it will therefore be needed to repackage the same old <u>SoftwareCluster</u> that was properly working with an higher version number.

#### [SWS\_UCM\_00130] Software Cluster and version error

Upstream requirements: RS\_UCM\_00002

[If SoftwareCluster's manifest does not contain any SoftwareCluster.version following description of StrongRevisionLabelString, UCM shall raise the ApplicationError kPackageManifestInvalid.]



#### [SWS\_UCM\_CONSTR\_00014] Software Package and Software Cluster short-Names

Upstream requirements: RS\_UCM\_00002, RS\_UCM\_00011

[SoftwarePackage and the referenced SoftwareCluster shall share the same shortName in order to be able to compare their versions.]

The shortName of SoftwareCluster is by definition unique in its context as described into TPS Manifest document [11]. The applicable context for a SoftwareCluster is the complete vehicle, otherwise there would be conflicts of SoftwareCluster shortNames within a dependency model for instance. It is responsibility of integrator and tooling to make sure about shortName uniqueness within vehicle and it is typically applied by adding information to SoftwareCluster shortName like uri or architectural tree position (example: VirtualMachinename-SWCLshortName or filesystemUri-SWCLshortName)

#### 7.2.2.3 Applications Persisted Data

Updating and rolling back of persisted data is handled completely by the application using persistency without involvement of UCM. A detailed explanation can be found in the Persistency Specification [12]. An exception here is the removal of persistent data after a SoftwareCluster is removed.

#### [SWS\_UCM\_00184] Persistent data clean-up after Software Cluster removal

Upstream requirements: RS\_UCM\_00026, RS\_UCM\_00005, RS\_UCM\_00004

[UCM shall remove persistent data of a removed <code>SoftwareCluster</code> by using the information given in the application manifest, namely <code>deploymentUri.uri</code> and <code>persis-tencyCentralStorageURI</code>, in order to leave the <code>AUTOSAR</code> Adaptive <code>Platform</code> and the file system clean.]

# [SWS\_UCM\_00273] Persistent data clean-up after Software Cluster update that removes a process

Upstream requirements: RS\_UCM\_00026, RS\_UCM\_00005, RS\_UCM\_00004

[UCM shall remove persistent data of a removed process by using the information given in the execution manifest, namely deploymentUri.uri and persistency-CentralStorageURI in order to leave the AUTOSAR Adaptive Platform and the file system clean.]

Persistent data can include administrative and backup data.



#### [SWS\_UCM\_00305] Persistent data uri at Software Cluster installation

Upstream requirements: RS\_UCM\_00001, RS\_UCM\_00013

[In the case of installation of a Software Cluster, UCM shall create the new uris following content of execution manifest, namely deploymentUri.uri and persistencyCentralStorageURI.]

#### [SWS\_UCM\_00306] Persistent data uri change at update

Upstream requirements: RS\_UCM\_00003, RS\_UCM\_00028

[In the case of uri change of persistent storages, UCM shall move the existing folders containing the persistent storages from the old uri to the new uri following content of execution manifest, namely deploymentUri.uri and persistencyCentral-StorageURI.]

#### [SWS\_UCM\_00329] Activate kPersistencyAllocationFailed

Upstream requirements: RS\_UCM\_00013

[After Activate method is called and if the sum of all Software Clusters maximumAllowedSize exceeds available size defined by maxAvailablePersistencyStorageSpace which is reserved for persistency, then UCM shall raise ApplicationError kPersistencyAllocationFailed.]

#### 7.2.3 Runtime dependencies

Processes within a SoftwareCluster can have functional dependencies toward other SoftwareClusters.

Dependencies are described in the SoftwareCluster metamodel, see [11]. This dependency model allows to confirm for instance if there are missing or conflicting services within Machine or within the whole vehicle, or if required libraries located in another Software Cluster would be missing or conflicting with the being updated Software Cluster.

The rationale is, if UCM has to process several Software Packages, then execution dependencies may not be fulfilled at all times during the Software Packages process but must be fulfilled before changes can be activated.

At activation, UCM is starting a session with State Management (SM) which is requesting Execution Management (EM) to change states of FunctionGroups (Sequence diagram 10.4). Execution Management uses Execution Manifest which contains modelled execution dependencies. If those dependencies are not met at Verify state, Execution Management will report an error to State Management forwarding this error to UCM which will rollback.



#### 7.2.4 Update scope and State Management

Software Package processed by UCM can contain Adaptive Applications, updates to AUTOSAR Adaptive Platform itself or to the underlying OS. Update type depends on the content of the Software Package.

#### [SWS\_UCM\_00099] Update of Adaptive Application

Upstream requirements: RS\_UCM\_00001

[UCM shall be able to update Adaptive Applications]

#### [SWS\_UCM\_00100] Update of Functional Clusters

Upstream requirements: RS\_UCM\_00028

[UCM shall be able to update all Functional Clusters, including UCM itself.]

#### [SWS\_UCM\_00101] Update of Host

Upstream requirements: RS\_UCM\_00029

[UCM shall be able to update the underlying OS hosting the <code>AUTOSAR</code> Adaptive <code>Platform.]</code>

Definition of an updatable state with respect to the system setup is the OEM responsibility. Based on the system setup and the application, the system might need to be switched into a predefined state, to free resource to speed up the update, to block normal usage of software which might cause interruptions to update process and to block using functionality which might be interrupted by the update sequence.

#### [SWS\_UCM\_00257] Update session

Upstream requirements: RS\_UCM\_00026, RS\_UCM\_00003

[To confirm the system is in an updatable state, UCM shall start an update session by calling State Management UpdateRequest Service Interface RequestUpdate-Session method after its dependency check triggered by Activate method call successfully completes.]

#### [SWS\_UCM\_00258] Update session rejected

Upstream requirements: RS\_UCM\_00026, RS\_UCM\_00024

[If State Management UpdateRequest Service Interface RequestUpdateSession method call raises error kRejected, UCM shall transition from kActivating to kPreparing state, report FAILED to the UCM\_UPDATE\_SESSION\_REJECTED production error and Activate method call shall return ApplicationError kUpdate-SessionRejected. When the update session accepted, a PASSED shall be reported alternatively.]



If update session could be recurrently rejected, it is up to implementer to cache the dependency check result in order to avoid unnecessary computation and compute it only once.

During the update session, the minimum applications required for the Update process should be executed. This way system is more robust, more resources are free and user is blocked from using applications, of which failure could cause safety risk to the user.

Update of some components require a Machine reset to be performed. These components should be configured to be part of Function Group MachineFG, as the update sequence of Function Group MachineFG includes a Machine reset. Execution Management, State Management, Communication Management and UCM itself are good examples which probably require a Machine reset to activate the update. Other such components could be applications involved in the update sequence or applications involved in safety monitoring. Further details on Function Group MachineFG can be found in State Management.

## 7.3 Preparation Phase

The Preparation phase includes transferring and processing of Software Packages. It is possible to only transfer (see chapter 7.3.1) and perform the processing of a Software Package (see chapter 7.3.3) in a later point of time, or combine both steps and process directly from a stream, as described in chapter 7.3.2.

#### 7.3.1 Transferring Software Packages

In the Preparation phase, it is possible to transfer <u>Software Packages</u> decoupled from the processing. This section describes requirements for initiation of a data transfer, the data transmission and ending of the data transmission.

Each Software Package gets two states assigned as soon as the transfer has been started. The two states are defined by the TransferStateType and the Process-ingStateType. With the combination of both and the information returned from the method GetSwPackages a client of UCM has access to the current progress and status of a Software Package. The state machines in Fig. 7.4 are showing the lifecycle of a Software Package that is transferred to and processed by UCM. During this lifecy-cle, a Software Package is uniquely identified with an id that UCM provides to the client.

The UCM has the possibility to keep the Software Package in kTransferred state in case it failed the processing of the Software Package and retry later: transferring Software Package can be costly, for instance if it is authenticated, there could be no reason to delete it if the update has failed.



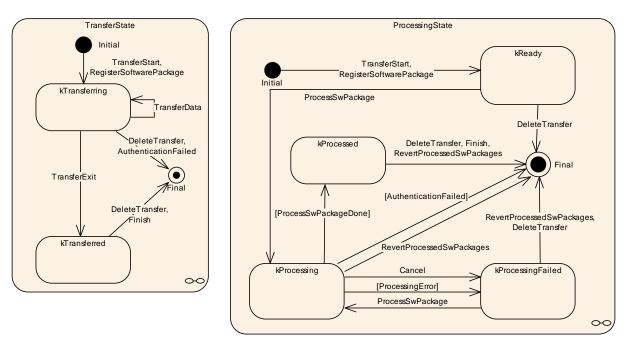


Figure 7.4: State machines for transferring and processing state which, in combination, are representing the lifecycle of <u>Software Packages</u>. This diagram only represents the life cycle without storing a Software Package (usually referred to as *streaming*).

A Software Package can be processed again if it is stored and reachable to UCM, and if it does not break the Old Version protection [SWS\_UCM\_00103] (it did not have successful activation).

#### [SWS\_UCM\_00007] Data transfer at any time

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00019, RS\_UCM\_00025

[UCM shall provide support to transfer Software Packages at any time when UCM is running. Transferring is decoupled from the UCM Package Management states.]

#### [SWS\_UCM\_00272] Transfer block size

Upstream requirements: RS\_UCM\_00025

[TransferStart shall return blockSize parameter to indicate the maximum block size (unit: bytes, as defined by maxBlockSize) to be allowed to transfer in one TransferData method call.]

The block size should be aligned to flashing capability in case of Classic Platform capability for instance.

#### [SWS\_UCM\_00088] Preparation of data transfer

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00019, RS\_UCM\_00025

[Data transfer shall be prepared with the method TransferStart or Register-SoftwarePackage. In the preparation step the number of bytes to be transferred is



provided by the client and UCM assigns an id for the Software Package to be transferred. The Transfer State of a Software Package (transferState) shall be set to kTransferring.]

While a Software Package is being transferred, if UCM receives a subsequent TransferStart call targeting another Software Package, UCM should make sure that the sum of the size of both Software Packages (the one being transferred and the one requested to be transferred) does not exceed the size of the UCM buffer. Otherwise, the TransferStart should raise the ApplicationError kMemoryInsufficient and the newly requested transmission should be rejected as described above.

### [SWS\_UCM\_00008] Executing the data transfer

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00019, RS\_UCM\_00025

[After successful call of TransferStart method, the transmission of the Software Package block-wise shall be supported by the method TransferData. The Transfer State of a Software Package (transferState) shall stay in kTransferring.]

### [SWS\_UCM\_00145] Sequential order of data transfer

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00019, RS\_UCM\_00025

[The method TransferData shall support the parameter blockCounter that shall start with 0x01 and be incremented by one for each subsequent block.]

## [SWS\_UCM\_00010] End of data transfer

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00019, RS\_UCM\_00025

[After transmission of a Software Package is completed, the transmission can be finished with method TransferExit. The Transfer State of a Software Package( transferState) shall be set to kTransferred.]

Software Package contains authentication and integrity tags, which are used during the transfer sequence to authenticate the content of the Software Package.

### [SWS\_UCM\_00075] Multiple data transfers in parallel

Upstream requirements: RS\_UCM\_00019

[Handling of multiple data transfers in parallel shall be supported by UCM.]

If UCM provide enough buffering resources for Software Packages, several packages could be transferred (in parallel) before they are processed one after the other. The processing (i.e. unpacking and actually applying changes to the AUTOSAR Adaptive Platform) of Software Packages described by the state kPreparing is further detailed in Sect. 7.3.3.



### [SWS\_UCM\_00021] Deleting transferred Software Packages

Upstream requirements: RS\_UCM\_00018

[UCM shall provide a method DeleteTransfer that shall delete the targeted Software Package and free the resources reserved to store that Software Package.]

### [SWS\_UCM\_00069] Report information on Software Packages

Status: OBSOLETE Upstream requirements: RS\_UCM\_00010, RS\_UCM\_00002

[UCM shall provide a method GetSwPackages of the interface service PackageManagement to provide the Software Packages' identifiers, names, versions, states, consecutive bytes received and consecutive blocks received.]

Returned value of GetSwPackages can be used to monitor changes in the consecutiveBytesReceived to determine any progress the transferring of a Software Package is making.

At the invocation of method GetSwPackages of the service interface PackageManagement, UCM returns the Software Packages' identifiers, names, versions, states, consecutive bytes received and consecutive blocks received.

### [SWS\_UCM\_00330] GetSwPackages method at Software Packages kTransferring state

Upstream requirements: RS\_UCM\_00010, RS\_UCM\_00002

[When Software Package is in kTransferring state, GetSwPackages should return empty values except for TransferID, ConsecutiveBytesReceived and ConsecutiveBlocksReceived.]

When Software Package is in kTransferring state, it is not possible to get versions or names as manifest could not be complete or accessible.

### [SWS\_UCM\_00216] Validity of TransferId

Upstream requirements: RS\_UCM\_00019

[The Transferld of a Software Package shall be invalidated for further use when it reaches final lifecycle state.]

### 7.3.1.1 Error handling in TransferStart

TransferStart allocates resources for the client transfer.



### [SWS\_UCM\_00140] UCM insufficient memory

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00019, RS\_UCM\_00025

[TransferStart method shall raise the ApplicationError kMemoryInsufficient if the UCM buffer has not enough resources to store the corresponding Software Package.]

# 7.3.1.2 Error handling in TransferData

TransferData executes the following checks. It is recommended to follow the specified order.

### [SWS\_UCM\_00275] TransferData error handling order

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00019, RS\_UCM\_00025

[TransferData method shall check the following error conditions and return the respective error code.

- 1. [SWS\_UCM\_00208]
- 2. [SWS\_UCM\_00203]
- 3. [SWS\_UCM\_00204]
- 4. [SWS\_UCM\_00243]
- 5. [SWS\_UCM\_00205]
- 6. [SWS\_UCM\_00206]
- 7. [SWS\_UCM\_00289]
- 8. [SWS\_UCM\_00207]
- 9. [SWS\_UCM\_00294]
- 10. [SWS\_UCM\_00098]
- 11. [SWS\_UCM\_00092]
- 12. [SWS\_UCM\_00245]
- 13. [SWS\_UCM\_00103]

### [SWS\_UCM\_00208] TransferData OperationNotPermitted

Upstream requirements: RS\_UCM\_00019

[Calling TransferData after calling TransferExit for a specific TransferId shall raise the error ApplicationError kOperationNotPermitted]



### [SWS\_UCM\_00203] TransferData InvalidTransferId

Upstream requirements: RS\_UCM\_00019

[TransferData shall raise the error ApplicationError kTransferIdInvalid in case an invalid Transferld (An ID that was not initiated by TransferStart or marked invalid by DeleteTransfer) is sent by the client.]

### [SWS\_UCM\_00204] TransferData IncorrectBlock

Upstream requirements: RS\_UCM\_00014, RS\_UCM\_00019

[TransferData shall raise ApplicationError kBlockIncorrect upon receipt of a block counter value that is successfully transmitted to UCM before or upon receipt of an unexpected block counter value.]

### [SWS\_UCM\_00243] Too big block size received by UCM

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00014, RS\_UCM\_00025

[In the case the received block size with TransferData exceeds the block size returned by TransferStart for the same TransferId, UCM shall raise the ApplicationError kBlockSizeIncorrect.]

### [SWS\_UCM\_00205] TransferData IncorrectSize

Upstream requirements: RS\_UCM\_00014, RS\_UCM\_00019

[In case the transferred Software package size exceeds the provided size in TransferStart, TransferData shall raise ApplicationError kSizeIncorrect]

### [SWS\_UCM\_00206] TransferData InsufficientMemory

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00019, RS\_UCM\_00025

[TransferData shall raise the error ApplicationError kMemoryInsufficient if resources to store the Software Package ceased to exist during the transfer operation.]

### [SWS\_UCM\_00289] TransferData TransferFailed

Upstream requirements: RS\_UCM\_00013

[TransferData shall raise the error ApplicationError kTransferFailed if UCM cannot persist transferred block.]

### [SWS\_UCM\_00207] TransferData BlockInconsistent

Upstream requirements: RS\_UCM\_00012

[If UCM checks consistency of Block for each TransferData, UCM shall raise the error ApplicationError kBlockInconsistent in case Consistency check for transferred block fails.]



The kBlockInconsistent error is intended to be used by the Flashing Adapter. The Flashing Adapter can calculate additional consistency information for each block internally, e.g. a CRC32 checksum. It can then use UDS protocol to send block data and checksum to the target ECU. In case checksum verification fails, the Flashing Adapter can report the kBlockInconsistent error to the V-UCM or diagnostic client application.

As described in section 7.2.2.2 and [11], each Software Package has an authentication tag CryptoServiceCertificate which protects integrity and authenticity. Therefore additional consistency check information is not needed. If authentication check fails, kAuthenticationFailed error is intended to be used instead.

### [SWS\_UCM\_00294] Unsupported package format for UCM

Upstream requirements: RS\_UCM\_00025

[In the case the Software Package archiving format is not supported, UCM TransferData method shall return ApplicationError kPackageFormatUnsupported.]

### [SWS\_UCM\_00098] Software Package Authentication failure

Upstream requirements: RS\_UCM\_00006, RS\_UCM\_00019, RS\_UCM\_00025

[UCM shall raise the ApplicationError kAuthenticationFailed, if the Software Package authentication check fails.]

This error can happen when TransferData, TransferExit and ProcessSwPackage methods are called. When kAuthenticationFailed error is raised, it is up to client to decide if a DeleteTransfer will be called or not. The behaviour may vary depending on the life cycle, meaning R&D phase or on the field phase.

TransferData checks the package version format in accordance to [SWS\_UCM\_00161] (kPackageVersionIncompatible).

TransferData checks if the Software Cluster to be removed has attribute installationBehavior set to cannotBeRemoved. If this is the case, UCM shall not remove it in accordance to [SWS\_UCM\_00245].

TransferData checks if the Software Cluster version being updated is older than currently present in Machine in accordance to [SWS\_UCM\_00103] (koldVersion).



# 7.3.1.3 Error handling in TransferExit

### [SWS\_UCM\_00276] TransferExit error handling order

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00019, RS\_UCM\_00025

[TransferExit method shall check the following error conditions and return the respective error code.

- 1. [SWS\_UCM\_00148]
- 2. [SWS\_UCM\_00212]
- 3. [SWS\_UCM\_00087]
- 4. [SWS\_UCM\_00294]
- 5. [SWS\_UCM\_00098]
- 6. [SWS\_UCM\_00092]
- 7. [SWS\_UCM\_00161]
- 8. [SWS\_UCM\_00213]
- 9. [SWS\_UCM\_00245]
- 10. [SWS\_UCM\_00103]

### [SWS\_UCM\_00148] Transfer sequence order

Upstream requirements: RS\_UCM\_00019

[Calling TransferExit without calling TransferData at least once or after TransferExit is called for a specific TransferID, shall raise the ApplicationError kOperationNotPermitted.]

### [SWS\_UCM\_00212] TransferExit InvalidTransferId

Upstream requirements: RS\_UCM\_00019

[TransferExit shall raise the error ApplicationError kTransferIdInvalid in case an invalid TransferId is sent by the client.]

### [SWS\_UCM\_00087] Insufficient amount of data transferred

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00019, RS\_UCM\_00025

[When TransferExit method is called, UCM shall check if all blocks of the Software Package have been transferred according to the size parameter of TransferStart. If not UCM shall return ApplicationError kDataInsufficient.]



TransferExit checks if the Software Package archiving format is supported in accordance to [SWS\_UCM\_00294] (kPackageFormatUnsupported).

TransferExit checks authentication in accordance to [SWS\_UCM\_00098] (kAu-thenticationFailed).

### [SWS\_UCM\_00092] Software Package integrity

Upstream requirements: RS\_UCM\_00012, RS\_UCM\_00006

[When TransferData or TransferExit method is called, UCM shall raise the ApplicationError kPackageInconsistent if the Software Package integrity check fails. This Software Package integrity check may be realized by the UCM via a Software Package Checksum check or via other mechanisms.]

TransferExit checks the package version format in accordance to [SWS\_UCM\_00161] (kPackageVersionIncompatible).

### [SWS\_UCM\_00213] TransferExit kPackageManifestInvalid

Upstream requirements: RS\_UCM\_00012

[TransferExit shall raise the error ApplicationError kPackageManifestInvalid upon receival of an invalid manifest.]

TransferExit checks if the Software Cluster to be removed has attribute installationBehavior set to cannotBeRemoved. If this is the case, UCM shall not remove it in accordance to [SWS\_UCM\_00245].

TransferExit checks if the Software Cluster version being updated is older than currently present in Machine in accordance to [SWS\_UCM\_00103] (koldVersion).

## 7.3.1.4 Error handling in DeleteTransfer

#### [SWS\_UCM\_00283] DeleteTransfer error handling order

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00019, RS\_UCM\_00025

 $\ensuremath{\lceil \texttt{DeleteTransfer}\xspace}$  method shall check the following error conditions and return the respective error code.

- 1. [SWS\_UCM\_00214]
- 2. [SWS\_UCM\_00215]

DeleteTransfer checks if the supplied parameter Transferld is valid.



### [SWS\_UCM\_00214] DeleteTransfer InvalidTransferId

Upstream requirements: RS\_UCM\_00019

[DeleteTransfer shall raise the error ApplicationError kTransferIdInvalid in case an invalid TransferId is sent by the client.]

### [SWS\_UCM\_00215] DeleteTransfer OperationNotPermitted

Upstream requirements: RS\_UCM\_00019

[Calling DeleteTransfer during processing or during the processing stream shall raise the error ApplicationError kOperationNotPermitted.]

### 7.3.2 Processing of Software Packages from a stream

It is also possible to process a Software Package while the transfer is still ongoing. The following requirements apply for this use case.

### [SWS\_UCM\_00165] Processing from stream

Upstream requirements: RS\_UCM\_00001, RS\_UCM\_00003, RS\_UCM\_00004, RS\_UCM\_00025

[The UCM may support calling ProcessSwPackage directly from stream without waiting to receive the Software Package completely.]

### [SWS\_UCM\_00166] Processing from stream states

Upstream requirements: RS\_UCM\_00024, RS\_UCM\_00025

[The UCM shall set the Transferring State to kTransferring and the Processing State to kProcessing if a Software Package is streamed.]

### [SWS\_UCM\_00167] Cancelling streamed packages

Upstream requirements: RS\_UCM\_00020, RS\_UCM\_00025

[When Cancel is called, UCM shall remove all temporary data of a streamed Soft-ware Package.]

### [SWS\_UCM\_00168] Transferring while processing from stream

Upstream requirements: RS\_UCM\_00024, RS\_UCM\_00025

[The Processing State of a Software Package (processingState) state shall remain in kProcessing when TransferData is called.]



### [SWS\_UCM\_00169] Finishing transfer while processing from stream

Upstream requirements: RS\_UCM\_00024, RS\_UCM\_00025

[The streamed Software Package TransferStateType shall be set to kTransferred and the Processing State shall be set to kProcessed when TransferExit is called and the Software Package is completely processed.]

### [SWS\_UCM\_00200] Failing authentication

Upstream requirements: RS\_VUCM\_00039, RS\_UCM\_00006

[UCM shall delete the Software Package and its related data processed by ProcessSwPackage call if authentication is failing at TransferExit Or ProcessSw-Package call.]

### 7.3.3 Processing Software Packages

In contrast to package transmission, only one Software Package can be processed at the same time to ensure consistency of the system. In the following, a software or package processing can involve any combination of an installation, update or removal of applications, configuration data, calibration data or manifests. It is up to the vendor-specific metadata inside a Software Package to describe the tasks UCM has to perform for its processing. For a removal, this might involve metadata describing which data needs to be deleted. Nevertheless, the communication sequence between the triggering application of the software modification and UCM is the same in any case. For an update of an existing application, the Software Package can contain only partial data, e.g. just an updated version of the execution manifest. Any UCM Client need to confirm that the UCM has its CurrentStatus UpdateStateType set to kPreparing state before starting an update cycle.

### [SWS\_UCM\_00001] Starting the package processing

Status: OBSOLETE Upstream requirements: RS\_UCM\_00001, RS\_UCM\_00004

[UCM shall provide a method ProcessSwPackage to process transferred Software Package. id corresponding to Software Package shall be provided for this method.]

### [SWS\_UCM\_00391] Processing a Software Package

Upstream requirements: RS\_UCM\_00001, RS\_UCM\_00004

[Calling ProcessSwPackage shall set the Processing State (processingState) to kProcessing until the processing is finished, which shall transition to kProcessed state.]



### [SWS\_UCM\_00392] Failed processing a Software Package

Upstream requirements: RS\_UCM\_00001, RS\_UCM\_00004

[If the processing of a Software Package failed, the Processing State (processingState) shall transistion to kProcessingFailed.]

At the invocation of method ProcessSwPackage, UCM processes transferred Software Package with id argument corresponding to this Software Package.

### [SWS\_UCM\_00137] Processing several update Software Packages

Upstream requirements: RS\_UCM\_00001, RS\_UCM\_00004

[UCM shall support processing of several Software Packages, not in parallel, by calling method ProcessSwPackage several times in one update cycle.]

### [SWS\_UCM\_00018] Providing Progress Information

Status: OBSOLETE Upstream requirements: RS\_UCM\_00023

[UCM shall provide a method GetSwProcessProgress to query the progress of executing the ProcessSwPackage method call for provided TransferId. Parameter progress shall be set to a value representing the progress between 0% and 100% (0x00 ... 0x64).]

The UCM state machine provides some states where no progress (returned by Get-Progress) is to be made by the UCM, namingly kActivated, kRolledBack, kRollingBackFailed. In these states the progress information should be set to values which are indicating that no progress is to be expected (for instance 100%), but fundamentally the values are meaningless for those states. When processing a Software Package, the returned progress value and estimated duration correspond to currently active processing.

## [SWS\_UCM\_00003] Cancelling the package processing

#### Upstream requirements: RS\_UCM\_00020

[On call of Cancel method, UCM shall abort the running package processing task, undo the changes to the Software Cluster for which processing started and free the reserved resources used for it. The Processing State of a Software Package ( processingState) shall transition to kProcessingFailed.]

Cancelling the processing of a Software Package should be treated as an error, because an error is returned, and therefore set the kProcessingFailed Processing State (processingState), from where a new start of the processing is possible.



### [SWS\_UCM\_00024] Revert all processed Software Packages

Upstream requirements: RS\_UCM\_00008

[UCM shall provide a method  ${\tt RevertProcessedSwPackages}$  to revert all changes done with  ${\tt ProcessSwPackage.}]$ 

The main difference between a RevertProcessedSwPackages and a Rollback is that the former can only be performed before the successful activation of the targeted Software Package(s) while the latter can only be performed after such activation.

Depending on the capabilities of UCM and of the updated target, RevertProcessedSwPackages is used to revert all the changes that have been applied by ProcessSwPackage. Cancel is also used to revert the changes of the Software Package for which processing started by ProcessSwPackage method call and identified by Transferld. For example, if an application with large resource files is updated "in place" (i.e. in the same partition) then it might not be feasible to revert the update. In this case, to perform a rollback the triggering application could download a Software Package to restore a stable version of the application.

## 7.3.3.1 Error handling during Processing Software Packages

#### [SWS\_UCM\_00277] ProcessSwPackage error handling order

Upstream requirements: RS\_UCM\_00026

[ProcessSwPackage method shall check the following error conditions and return the respective error code.

- 1. [SWS\_UCM\_00219]
- 2. [SWS\_UCM\_00017]
- 3. [SWS\_UCM\_00218]
- 4. [SWS\_UCM\_00098]
- 5. [SWS\_UCM\_00161]
- 6. [SWS\_UCM\_00029]
- 7. [SWS\_UCM\_00285]
- 8. [SWS\_UCM\_00231]
- 9. [SWS\_UCM\_00217]
- 10. [SWS\_UCM\_00267]
- 11. [SWS\_UCM\_00104]
- 12. [SWS\_UCM\_00245]



13. [SWS\_UCM\_00103]

14. [SWS\_UCM\_00150]

## [SWS\_UCM\_00219] ProcessSwPackage OperationNotPermitted

Upstream requirements: RS\_UCM\_00025, RS\_UCM\_00026

[ProcessSwPackage shall raise the error ApplicationError kOperationNot-Permitted in case the Update State (updateState) is not kPreparing.]

## [SWS\_UCM\_00017] Sequential Software Package Processing

Upstream requirements: RS\_UCM\_00001, RS\_UCM\_00003, RS\_UCM\_00026

[Once method ProcessSwPackage has been called by a client, further calls to the same method shall be rejected with ApplicationError kServiceBusy as long as the processing is still ongoing.]

## [SWS\_UCM\_00218] ProcessSwPackage InvalidTransferId

Upstream requirements: RS\_UCM\_00026

[ProcessSwPackage shall raise the error ApplicationError kTransferIdInvalid in case an invalid TransferId is sent by the client. The Processing State of a Software Package (processingState) shall transition to kProcessing-Failed.]

 $\tt ProcessSwPackage$  checks authentication in accordance to [SWS\_UCM\_00098] ( <code>kAuthenticationFailed</code>)

# [SWS\_UCM\_00161] Check Software Package version compatibility against UCM version

Upstream requirements: RS\_UCM\_00007

[At ProcessSwPackage, TransferData or TransferExit calls, UCM shall raise ApplicationError kPackageVersionIncompatible if the MajorVersion and MinorVersion of minimumSupportedUcmVersion attribute of the Software Package is less than the current MajorVersion and MinorVersion of UCM as available in version attribute. The Processing State of a Software Package (processingState) shall be transition back to kProcessingFailed.]

The Software Package is generated by a tooling including a packager which version could not match with the UCM version, leading to manifest interpretation issues for instance.



### [SWS\_UCM\_00029] Consistency Check of Manifest

Upstream requirements: RS\_UCM\_00012

[UCM shall validate the content of the manifest against the schema defined for the metadata(eg: for missing parameter or for value out of range of the parameter) and shall raise the ApplicationError kPackageManifestInvalid if it finds discrepancies there. The Processing State of a Software Package (processingState) shall transition to kProcessingFailed.]

# [SWS\_UCM\_00285] Removing or updating a Software Cluster not existing in the Machine

Upstream requirements: RS\_UCM\_00015

[If a Software Package's action is to remove or update a Software Cluster that is not at one of the states kPresent, kRemoved, kUpdating and kAdded, UCM shall raise ApplicationError kSoftwareClusterMissing when ProcessSwPackage is called. The Processing State of a Software Package (processingState) shall transition to kProcessingFailed.]

### [SWS\_UCM\_00231] ProcessSwPackage IncompatibleDelta

Upstream requirements: RS\_UCM\_00007

[ProcessSwPackage shall raise the error ApplicationError kDeltaIncompatible if deltaPackageApplicableVersion is different from the currently installed version of the referenced SoftwareCluster.]

#### [SWS\_UCM\_00217] ProcessSwPackage InsufficientMemory

Upstream requirements: RS\_UCM\_00013, RS\_UCM\_00025

[ProcessSwPackage method shall raise the ApplicationError kMemoryInsufficient if the UCM buffer has not enough resources to process the corresponding Software Package. The Processing State of a Software Package (processingState) shall transition to kReady.]

## [SWS\_UCM\_00267] Error when checksum is not recognised at processing time

Upstream requirements: RS\_UCM\_00012

[If checksum attribute of ArtifactChecksum or CryptoProvider are not recognised, UCM shall raise the ApplicationError kChecksumDescriptionInvalid. The Processing State of a Software Package (processingState) shall transition to kProcessingFailed.]

### [SWS\_UCM\_00104] Integrity Check of processed Package

Upstream requirements: RS\_UCM\_00012

[UCM shall raise the ApplicationError kProcessedSoftwarePackageInconsistent if integrity check of the processed Software Packages fails. The



Processing State of a Software Package (processingState) shall transition to
kProcessingFailed.

This operation is realized by the UCM to verify that it did not corrupt any files during the processing. This integrity check is vendor specific and may be realized by the UCM by checking the payload Checksum or by any other mechanisms.

ProcessSwPackage checks if the Software Cluster to be removed has attribute installationBehavior set to cannotBeRemoved. If this is the case, UCM shall not remove it in accordance to [SWS\_UCM\_00245].

ProcessSwPackage checks if the Software Cluster version being updated is older than currently present in Machine in accordance to [SWS\_UCM\_00103] (kOld-Version).

### [SWS\_UCM\_00150] Cancellation of a Software Package processing

Upstream requirements: RS\_UCM\_00024

[ProcessSwPackage method shall raise the ApplicationError kProcessSw-PackageCanceled if the Cancel method has been called during the processing of a Software Package. The Processing State of a Software Package (processingState) shall transition to kProcessingFailed.]

## [SWS\_UCM\_00364] Update session failure is triggering production error

Upstream requirements: RS\_UCM\_00026, RS\_UCM\_00024

[If UpdateRequest State Management PrepareUpdate method returns Application-Error kFailed, UCM shall report FAILED to the UCM\_UPDATE\_SESSION\_FAILED. When the update session succeeds, a PASSED shall be reported alternatively.]

## 7.3.3.2 Error handling for Cancel

### [SWS\_UCM\_00278] Cancel error handling order

Upstream requirements: RS\_UCM\_00020

[Cancel method shall check the following error conditions and return the respective error code.

- 1. [SWS\_UCM\_00234]
- 2. [SWS\_UCM\_00235]



### [SWS\_UCM\_00234] Cancel OperationNotPermitted

Upstream requirements: RS\_UCM\_00020

[Cancel shall raise the error ApplicationError kOperationNotPermitted in case the targeted Software Package processing has not yet started or has been already finished.]

### [SWS\_UCM\_00235] Cancel InvalidTransferId

Upstream requirements: RS\_UCM\_00020

[Cancel shall raise the error ApplicationError kTransferIdInvalid in case an invalid TransferId is sent by the client.]

## [SWS\_UCM\_00372] Cancel Failing is triggering production error

Upstream requirements: RS\_UCM\_00020

[When Cancel is failing, UCM shall report FAILED to the UCM\_CANCEL\_FAILED production error. When the Cancel succeeds, a PASSED shall be reported alternatively.]

## 7.3.3.3 Error handling for RevertProcessedSwPackages

### [SWS\_UCM\_00279] RevertProcessedSwPackages error handling order

Upstream requirements: RS\_UCM\_00020

 $\label{eq:linear} $$ $$ $ {\rm RevertProcessedSwPackages method shall check the following error conditions and return the respective error code. } $$$ 

- 1. [SWS\_UCM\_00237]
- 2. [SWS\_UCM\_00236]

#### 

### [SWS\_UCM\_00237] RevertProcessedSwPackages OperationNotPermitted

Upstream requirements: RS\_UCM\_00020

[RevertProcessedSwPackages method call shall raise the error Application-Error kOperationNotPermitted in case the processed Software Packages are successfully activated or it is called at other states than kReady (Software Package(s) are finished being processed) or kProcessing states.



## [SWS\_UCM\_00236] RevertProcessedSwPackages NotAbleToRevertPackages

Upstream requirements: RS\_UCM\_00020

[RevertProcessedSwPackages shall raise the error ApplicationError kNotAbleToRevertPackages in case reverting of processed Software Packages have failed.]

# 7.3.3.4 Error handling for GetSwProcessProgress

### [SWS\_UCM\_00220] GetSwProcessProgress InvalidTransferId

Status: OBSOLETE Upstream requirements: RS\_UCM\_00023

[GetSwProcessProgress shall raise the error ApplicationError kTransferIdInvalid in case an invalid TransferId is sent by the client.]

# 7.4 Activation Phase

The Activation Phase is the critical part of an update cycle in which the processed software is started and verified. If something does not work as expected the only way out is a rollback of all involved Software Clusters to previous versions and ending the update cycle.

UCM should notify the activation or rollback of Software Packages to other Functional Clusters of the AUTOSAR Adaptive Platform. Vendor specific solution dictates to which modules this information is available, in which form and if this is done directly when change is done or when change is executed.

## 7.4.1 Activation

The SoftwareCluster state kPresent does not express whether a SoftwareCluster is currently executed or not. You can refer to chapter 7.1 Software Cluster Lifecycle for more details about kPresent state and sequence diagram 11.4 for more details about activation.

An activation of SoftwareClusters is triggered by an Activate method call. At beginning of activation, UCM is asking State Management for an update session. Once granted, UCM is requesting State Management to stop running processes from the outdated SoftwareClusters. When processes stopped, UCM makes available to the AUTOSAR Adaptive Platform the updated or installed SoftwareClusters, the core action step of the activation. A verification of the activated SoftwareClusters



is then performed by requesting State Management changing the SoftwareClusters Function Groups modes to Verify. For an example of activation sequence, you can refer to chapter 11.4

### [SWS\_UCM\_00293] VerifyUpdate method

Upstream requirements: RS\_UCM\_00024

[At kVerifying state and before triggering to kActivated state, UCM shall call the State Management UpdateRequest Service Interface VerifyUpdate method passing the list of Function Groups defined in SoftwareCluster claimedFunctionGroup attribute of the class.]

In the case a removal of a Software Cluster, the ClaimedFunctionGroups are removed in the Machine configuration. Therefore, UCM will call VerifyUpdate method passing an empty vector of Function Groups.

## [SWS\_UCM\_00107] Activated state

Upstream requirements: RS\_UCM\_00008, RS\_UCM\_00030

[UCM state kActivated shall be set after the new versions of updated Soft-wareClusters have been verified.]

The state management [4] on the level of execution is handled by the UCM's client controlling the update process.

UCM has to be able to update several <u>SoftwareClusters</u> for an update campaign. However, these <u>SoftwareClusters</u> could have dependencies not satisfied if updates are processed and activated one by one. Therefore, <u>UCM</u> splits the activation action from the general package processing.

### [SWS\_UCM\_00027] Delta Package version applicability

Status: OBSOLETE Upstream requirements: RS\_UCM\_00007

[Applicable version of SoftwareCluster on which to apply delta shall be included into related SoftwarePackage's deltaPackageApplicableVersion attribute.]

Applicable version of a <code>SoftwareCluster</code> on which to apply delta is included into related <code>SoftwarePackage's</code> deltaPackageApplicableVersion attribute

## [SWS\_UCM\_00025] Activation of SoftwareClusterS

Status: OBSOLETE Upstream requirements: RS\_UCM\_00021

[At the invocation of method Activate, UCM shall enable execution of any pending changes from the previously processed Software Packages.]



Every call to ProcessSwPackage makes necessary preparations of possible actions on the Software Cluster (ActionType [SWS\_UCM\_00132]) : kInstall, kRemove, kUpdate, kUpdateConfiguration. The Activate call finalises the started actions during processing and then UCM applies changes at activation that were still pending from processing, like for instance updating the list of processes managed by Execution Management.

After Activate, the new set of SoftwareClusters can be started. Activation covers all the processed Software Packages for all the clients.

### [SWS\_UCM\_00022] Activation of Software Clusters

Upstream requirements: RS\_UCM\_00021

[UCM shall activate all the Software Clusters extracted from the Software Packages when Activate is called.]

The activation method could lead to a full system reset. When Software Package updates underlying OS, AUTOSAR Adaptive Platform or any Adaptive Application which is configured to be part of Function Group MachineFG, the execution of updated software occurs through system reset by calling State Management UpdateRequest Service Interface ResetMachine method. Meta-data of Software Package defines the activation method.

### [SWS\_UCM\_00371] UCM rollback after failed Machine restart

Upstream requirements: RS\_UCM\_00008

[After the Machine Reset, update sequence is continued by UCM if UpdateRequest ResetMachineNotifier field equal kSuccessful otherwise if the ResetMachineNotifier equals kFailed, update verification failed which triggers a rollback.]

In principle, it is possible to activate multiple versions of the same <u>SoftwareCluster</u> in one activation step. This could be useful for example with delta package updates but does not apply to firmware updates. The specification does not prohibit to create this kind of chained updates. The decision to use chained updates should be based on safety aspects and the applicability of the underlying update technology, if the update is for a classic or an adaptive platform, if a file system is involved or if the used platform even support it.

## [SWS\_UCM\_00342] Update configuration only

Upstream requirements: RS\_UCM\_00028, RS\_UCM\_00029, RS\_UCM\_00003

[If SoftwarePackage.ActionType is set to updateConfiguration, then UCM shall use empty vector with UpdateRequest interface.]



### 7.4.1.1 Error handling for Activate

### [SWS\_UCM\_00281] Activate error handling order

Upstream requirements: RS\_UCM\_00026

 $[{\tt Activate}$  method shall check the following error conditions and return the respective error code.

- 1. [SWS\_UCM\_00241]
- 2. [SWS\_UCM\_00329]
- 3. [SWS\_UCM\_00026]
- 4. [SWS\_UCM\_00258]
- 5. [SWS\_UCM\_00242]
- 6. [SWS\_UCM\_00280]

### [SWS\_UCM\_00241] Activate OperationNotPermitted

Upstream requirements: RS\_UCM\_00021

[Activate shall raise the error ApplicationError kOperationNotPermitted in case the UCM Update State (updateState) of CurrentStatus is not kPreparing or there are no processed Software Packages.]

### [SWS\_UCM\_00026] Dependency Check

Upstream requirements: RS\_UCM\_00007

[During the Update State (updateState) kActivating, UCM shall perform a dependency check to ensure that all the Software Clusters having dependencies are not missing any necessary Software Cluster as defined by dependsOn and do not conflict towards each other as defined by conflictsTo, otherwise return ApplicationError kDependencyMissing.]

#### [SWS\_UCM\_00363] Missing dependencies is triggering production error

Upstream requirements: RS\_UCM\_00007

[When at least one or several dependencies are missing, UCM shall report FAILED to the UCM\_MISSING\_DEPENDENCIES production error. When no missing dependencies, a PASSED shall be reported alternatively.]

If Activate method cannot establish an Update Session with State Management, it returns kUpdateSessionRejected, see [SWS\_UCM\_00258].



### [SWS\_UCM\_00242] Activate PrepareUpdateFailed

Upstream requirements: RS\_SM\_00001

[Activate shall raise the error ApplicationError kPrepareUpdateFailed in case of activation state transition failure from State Management side.]

### [SWS\_UCM\_00280] Activate VerificationFailed

Upstream requirements: RS\_UCM\_00021

[Activate shall raise the error ApplicationError kVerificationFailed in case of verification failure returned by State Management.]

## 7.4.2 Rollback

### [SWS\_UCM\_00005] Rollback to the software prior to Finish the update process

Status: OBSOLETE Upstream requirements: RS\_UCM\_00008

[UCM shall provide a method Rollback to recover from an activation that went wrong.]

Rollback can be called in the case of A/B partitions or UCM uses some other solution to maintain backups of updated or removed Software Packages.

### [SWS\_UCM\_00110] Rolling-back the software update

Upstream requirements: RS\_UCM\_00008

[At Update State kRollingBack, UCM shall disable the changes done by the software update by calling State Management UpdateRequest Service Interface PrepareRollback method for each Function Group of the processed Software Cluster in the update cycle. Then UCM shall call State Management UpdateRequest Service Interface ResetMachine method if any Software Cluster requires a machine reboot to be rolled back.]

If a reset of the Machine is not necessary, an implementation specific way to inform Execution Management that a Software Cluster was updated can be performed.

### [SWS\_UCM\_00299] Verify rolled back Software Clusters

Upstream requirements: RS\_UCM\_00008

[After a UCM successful Rollback using call State Management UpdateRequest Service Interface PrepareRollback method and optional Machine reset or manifest



reparse, UCM shall call State Management UpdateRequest Service Interface VerifyUpdate method to confirm that all Software Clusters impacted by update are still safe to be launched.]

### [SWS\_UCM\_00302] Rollback failing is triggering production error

Upstream requirements: RS\_UCM\_00045, RS\_UCM\_00008, RS\_UCM\_00027

[When a Rollback is failing, UCM shall report FAILED to the UCM\_ROLLBACK\_-FAILED production error. When the Rollback succeeds, a PASSED shall be reported alternatively.]

# [SWS\_UCM\_00368] UCM FAILED PREPAREROLLBACK is triggering production error

Upstream requirements: RS\_UCM\_00045

[If any call of the State Management UpdateRequest Service Interface PrepareRollback returns error kFailed too many times (maximumNumberOfRetries) or for too long (retryIntervalTime with role prepareUpdate), UCM shall report FAILED to the UCM\_PREPAREROLLBACK\_FAILED production error. When the Prepare Rollback succeeds, a PASSED shall be reported alternatively]

# [SWS\_UCM\_00369] UCM REJECTED PREPAREROLLBACK is triggering production error

Upstream requirements: RS\_UCM\_00045

[If any call of the State Management UpdateRequest Service Interface PrepareRollback returns error kRejected too many times (maximumNumberOfRetries) or for too long (retryIntervalTime with role prepareUpdate), UCM shall report FAILED to the UCM\_PREPAREROLLBACK\_REJECTED production error. When the Prepare Rollback accepted, a PASSED shall be reported alternatively.]

### 7.4.2.1 Error handling for Rollback

### [SWS\_UCM\_00282] Rollback error handling order

Upstream requirements: RS\_UCM\_00008

 $[{\tt Rollback}$  method shall check the following error conditions and return the respective error code.

```
1. [SWS_UCM_00239]
```

」



### [SWS\_UCM\_00239] Rollback OperationNotPermitted

Upstream requirements: RS\_UCM\_00020

[Rollback shall raise the error ApplicationError kOperationNotPermitted in case UCM current Update State (updateState) is not kActivated, kVerifying nor kRollingBackFailed.]

## 7.4.3 Boot options

During update process the executed software is switched from original software to updated software and in case of rollback, from updated software to original version. Which version of software is executed is dependent on the UCM state and this is managed by the UCM. In case of platform and OS update the switch between software versions occurs through system reset and depending on the system design the Execution Management [3] might be started before UCM. In this case there can't be direct interface between UCM and Execution Management [3] to define which versions of software would be executed. Instead this would be controlled through persistent controls which are referred as Boot options in this document.

### [SWS\_UCM\_00094] Management of executable software

Upstream requirements: RS\_UCM\_00021

[UCM shall manage which version of software is available for the Execution Management [3] to launch.]

During the kActivating Update State (updateState), UCM modifies the Boot options so that in the next restart for the updated software the new versions will be executed. In the kRollingBack state, UCM modifies the Boot options so that in the next restart of the updated software the original versions will be executed.

# 7.5 Cleanup Phase

In the last step of an update cycle the UCM removes every artifact of the performed update which is not of use anymore and leaves the system in a state in which a new update cycle can be performed.



## 7.5.1 Cleanup

### [SWS\_UCM\_00020] Finishing the packages activation

Upstream requirements: RS\_UCM\_00015

[UCM shall provide a method Finish to commit all the changes and clean up all temporary data of the processed Software Packages.]

UCM should also remove Software Packages, logs or any older versions of changed software to save storage space. It is up to implementer to remove or not the Software Packages.

### [SWS\_UCM\_00259] Ending the update session

Upstream requirements: RS\_UCM\_00021, RS\_UCM\_00018

[UCM shall call State Management UpdateRequest Service Interface StopUpdateSession method when UCM is exiting the kCleaningUp state.]

## [SWS\_UCM\_00240] Finish OperationNotPermitted

Upstream requirements: RS\_UCM\_00001, RS\_UCM\_00026

[Finish shall raise the error ApplicationError kOperationNotPermitted in case there are no activated nor rolled-back Software Packages pending finalization (i.e UCM Update State (updateState) is not kActivated nor kRolledBack.]

For UCM to be able to free all unneeded resources while processing the Finish request, it is up to the vendor and platform specific implementation to make sure that obsolete versions of changed SoftwareClusters aren't executed anymore.

# 7.6 Status Reporting

Once Software Packages are transferred to UCM, they are ready to be processed to finally apply changes to the AUTOSAR Adaptive Platform. In contrast to the transmission, the processing and activation tasks have to happen in a strict sequential order.

To give an overview of the update sequence, the global state of UCM is described in this section. The details of the processing and activation phases and the methods are specified in the 7.3.3 and 7.4.1.

The global state of UCM can be queried using the field CurrentStatus. The field consists of a tuple of states, an Update State which indicates the state of the state machine, shown in Fig. 7.5, and the Running State which describes if the current Update state is running or suspended. This diagram does not include behaviour after a reset.



Examples can be found of how UCM and its CurrentStatus field behave including reset management in chapter 11 Sequence Diagram.

### [SWS\_UCM\_00019] Status Field of Package Management

Upstream requirements: RS\_UCM\_00024

[The global state of UCM shall be provided using the field CurrentStatus]

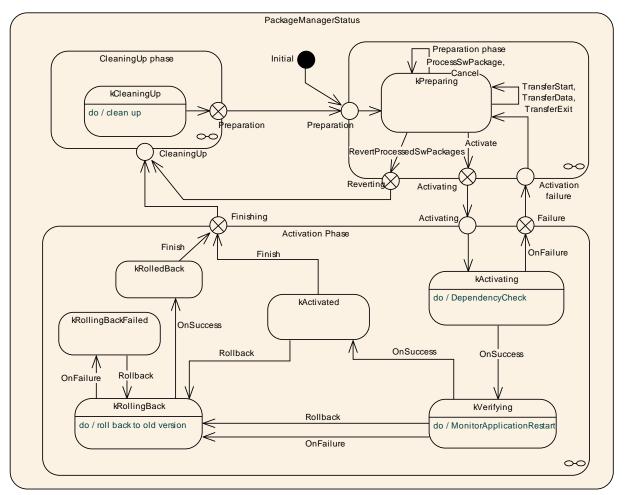


Figure 7.5: State Machine for an update cycle with the PackageManagement service interface including the update phases.

UCM supported method calls for each value of the Update State (updateState) of the field CurrentStatus are shown in Fig. 7.5.



### 7.6.1 Preparation phase of Package Management

### [SWS\_UCM\_00080] Default state of Package Management

Upstream requirements: RS\_UCM\_00024

[kPreparing shall be the default state.]

### [SWS\_UCM\_00149] Stay in Preparing state

Upstream requirements: RS\_UCM\_00024

[kPreparing shall be kept as CurrentStatus when ProcessSwPackage returns with error code kProcessSwPackageCanceled.]

# [SWS\_UCM\_00151] Entering the Ready state of Package Management after a Cancel call

Status: OBSOLETE Upstream requirements: RS\_UCM\_00024

[If ProcessSwPackage has been cancelled, UCM shall return error code kProcessSwPackageCanceled and set state to kReady only if at least one other Software Package was previously processed during this processing operation.]

### [SWS\_UCM\_00081] Processing of Software Packages.

Upstream requirements: RS\_UCM\_00024

[Calling ProcessSwPackage shall preserve the Update State (updateState) kPreparing. Processing shall only be possible in the Update State (updateState) kPreparing.]

### [SWS\_UCM\_00266] OperationNotPermitted error and UCM state

Upstream requirements: RS\_UCM\_00001, RS\_UCM\_00004

[UCM shall return ApplicationError kOperationNotPermitted if ProcessSwPackage is called by a client with UCM at Update State (updateState) of CurrentStatus different than kPreparing.]

# [SWS\_UCM\_00083] Entering the Ready state of Package Management after a successful processing operation

Status: OBSOLETE Upstream requirements: RS\_UCM\_00024



### [SWS\_UCM\_00265] state transition due to ProcessSwPackage error

Status: OBSOLETE Upstream requirements: RS\_UCM\_00015, RS\_UCM\_00026

[If ProcessSwPackage raises an ApplicationError other than kProcessSwPackageCanceled, it shall transition from kProcessing to kIdle if no other Software Packages were previously processed during this processing operation, or kReady if at least one other Software Package was previously processed before the failed processing operation, and shall perform clean-up actions.]

# [SWS\_UCM\_00152] Entering the Preparing state of Package Management after a missing dependency

Upstream requirements: RS\_UCM\_00024

[kPreparing state shall be set when Activate fails due to an ApplicationError kDependencyMissing.]

### 7.6.2 Activation phase of Package Management

[SWS\_UCM\_00084] Entering the kActivating Update State (updateState) of Package Management

Upstream requirements: RS\_UCM\_00024

[kActivating shall be set as Update State (updateState) when Activate is called. This triggers the dependency check and returns ApplicationError kDependencyMissing if this check fails.]

## [SWS\_UCM\_00153] Action in kActivating Update State (updateState) of Package Management

*Upstream requirements:* RS\_UCM\_00024

[When kActivating is set as Update State (updateState) and after the State Management UpdateRequest Service Interface RequestUpdateSession method call by UCM, the UCM shall call the State Management UpdateRequest Service Interface PrepareUpdate method for the concerned Software Cluster including a list of all Function Groups belonging to that Software Cluster.]

In the case of installation of a new Software Cluster, the ClaimedFunctionGroups of this new Software Cluster are not yet configured in the Machine. Therefore, UCM will call PrepareUpdate method passing an empty vector of Function Groups.



### [SWS\_UCM\_00260] PrepareUpdate, VerifyUpdate and PrepareRollback orders

Upstream requirements: RS\_UCM\_00007, RS\_UCM\_00021, RS\_UCM\_00030

[UCM shall compute the order of the State Management UpdateRequest Service Interface PrepareUpdate, VerifyUpdate and PrepareRollback method calls from the dependency model included in the Software Cluster manifests.]

# [SWS\_UCM\_00261] PrepareUpdate, VerifyUpdate and PrepareRollback synchronous calls

Upstream requirements: RS\_UCM\_00026

[Calls to State Management UpdateRequest Service Interface PrepareUpdate, VerifyUpdate and PrepareRollback methods shall not be concurrent.]

### [SWS\_UCM\_00373] Update preparation rejected

*Upstream requirements:* RS\_UCM\_00026

[If any call of the State Management UpdateRequest Service Interface Prepare-Update method returns error kRejected too many times (maximumNumberOfRetries) or for too long (retryIntervalTime with role prepareUpdate), UCM shall transition from kActivating to kPreparing state and report FAILED to the UCM\_-PREPAREUPDATE\_REJECTED. When the update preparation of the update accepted, a PASSED shall be reported alternatively.]

### [SWS\_UCM\_00263] Update preparation failure

Upstream requirements: RS\_UCM\_00026

[If any one of the State Management UpdateRequest Service Interface Prepare-Update method returns error kFailed, UCM shall transition from kActivating to kPreparing states and report FAILED to the UCM\_PREPAREUPDATE\_FAILED. When the update preparation of the update succeeds, a PASSED shall be reported alternatively.]

### [SWS\_UCM\_00154] Entering the Verifying state of Package Management

Upstream requirements: RS\_UCM\_00024

[kVerifying shall be set as Update State (updateState) when the dependency check have been performed successfully (all dependencies are satisfied) and that the preparation of the Software Clusters by the State Management has been successfully performed.]

The machine could most likely be restarted in case a A/B partition is used. In case the A/B partition is not used, all affected Function Groups or the platform could be restarted. Immediately after the processed Software Package has been restarted, a system check has to be performed in order to make sure the machine is able to start up as expected. With this check it is verified that other safety relevant software like



Functional Cluster Platform Health Management [5] is running and user can be protected from any issues caused by the update after the update has finished.

An update could most likely require to reparse the manifests after performing the atomic activation of the <u>Software Clusters</u> (switching A/B partition, changing symlinks, etc.) if a machine reset is not needed.

# [SWS\_UCM\_00085] Entering the kActivated Update State (updateState) of Package Management

#### Upstream requirements: RS\_UCM\_00024

[kActivated Update State (updateState) shall be set when the VerifyUpdate method of State Management service interface UpdateRequest is returned successfully.]

By a successful return of VerifyUpdate, UCM assumes all impacted Function Groups (the ones related to the processed Software Package) have been successfully restarted and verified.

kVerifying state gives the client controlling the update process a chance to perform verification test by calling State Management UpdateRequest Service Interface [SWS\_SM\_91017] VerifyUpdate method, though functionality in verify state can be limited. Client can also coordinate the results over several AUTOSAR Adaptive Platforms and still perform a Rollback if verification indicates the need for it.

If the system check is successful, the client can decide either to Rollback the current active processing so that the previous processed working software gets started, or to perform Finish so that the changes of processed software become permanent. By calling Finish a clean-up is initiated and in case of A/B partition, a swap between the partitions happens and the newly inactive partition becomes a copy of the newly active partition. In case Finish succeeds (including the clean-up), the current CurrentStatus changes to kPreparing.

For Rollback the update software needs to be deactivated and possibly reactivated from original version, e.g. self-update of UCM. For this reason Rollback is also performed through two states, similarly as activation. Calling Rollback sets UCM into kRollingBack state where original software version is made executable and where original software is activated by the State Management. This is started by calling State Management UpdateRequest Service Interface [SWS\_SM\_91017] PrepareRollback method for each Software Cluster. On success, UCM goes to kRollingBack state. In this state all the changes introduced during update process have been deactivated and can be cleaned by calling Finish.

### [SWS\_UCM\_00126] Entering the kRollingBack state after a Rollback call

Upstream requirements: RS\_UCM\_00008, RS\_UCM\_00030

[The state kRollingBack shall be set when Rollback is called.]



## [SWS\_UCM\_00155] Entering the kRolling-Back state after a failure in the kVerifying state

Upstream requirements: RS\_UCM\_00008, RS\_UCM\_00030

[The state kRollingBack shall be set if any of the State Management UpdateRequest Service Interface VerifyUpdate method calls returns the result kFailed.]

### [SWS\_UCM\_00264] Update verification rejected

Upstream requirements: RS\_UCM\_00030, RS\_UCM\_00008

[If any call of the State Management UpdateRequest Service Interface VerifyUpdate returns error kRejected too many times (maximumNumberOfRetries) or for too long (retryIntervalTime with role prepareUpdate), UCM shall transition to kRollingBack state and report FAILED to the UCM\_UPDATE\_VERIFICATION\_-REJECTED production error. When the update verification accepted, a PASSED shall be reported alternatively.]

### [SWS\_UCM\_00370] UCM FAILED Verification is triggering production error

Upstream requirements: RS\_UCM\_00030

[If any call of the State Management UpdateRequest Service Interface VerifyUpdate returns error kFailed too many times (maximumNumberOfRetries) or for too long (retryIntervalTime with role prepareUpdate), UCM shall transition to kRollingBack state and report FAILED to the UCM\_VERIFICATION\_FAILED production error. When the update verification accepted, a PASSED shall be reported alternatively.]

### [SWS\_UCM\_00111] Entering the kRollingBack state

Upstream requirements: RS\_UCM\_00008, RS\_UCM\_00030

[The state kRollingBack shall be set when UCM calls State Management UpdateRequest Service Interface PrepareRollback method.]

## [SWS\_UCM\_00300] Software Cluster failing to rollback

Upstream requirements: RS\_UCM\_00024

[If Rollback is failing, UCM CurrentStatus shall transition from kRollingBack to kRollingBackFailed.]

# [SWS\_UCM\_00301] Retry ro Rollback again when UCM is in kRollingBackFailed state

Upstream requirements: RS\_UCM\_00024

[If Rollback method is called while being at kRollingBackFailed, UCM CurrentStatus shall transition from kRollingBackFailed to kRollingBack.]



### 7.6.3 Cleaning up phase of Package Management

### [SWS\_UCM\_00146] Entering the Cleaning-up state after a Finish call

Upstream requirements: RS\_UCM\_00008, RS\_UCM\_00030

[The state kCleaningUp shall be set when Finish is called and the UCM starts to perform cleanup actions.]

### [SWS\_UCM\_00331] Delete Software Package at kCleaningUp

Upstream requirements: RS\_UCM\_00015

[When UCM is entering kCleaningUp from kActivated then UCM shall delete the activated Software Packages.]

Due to downgrade protection of [SWS\_UCM\_00103], the successfully activated Soft-ware Packages cannot be used anymore by UCM.

### [SWS\_UCM\_00162] Entering the Cleaning-up state after a RevertProcessedSw-Packages call

Upstream requirements: RS\_UCM\_00008, RS\_UCM\_00030

[The state kCleaningUp shall be set when RevertProcessedSwPackages is called in kPreparing Update State (updateState) and the UCM starts to perform cleanup actions.]

### [SWS\_UCM\_00163] Action in Cleaning-up state

Upstream requirements: RS\_UCM\_00008, RS\_UCM\_00030

[When kCleaningUp state is set, the UCM shall clean up all data of the processed packages that are not needed anymore.]

### [SWS\_UCM\_00164] Cleaning up of Software Packages

Upstream requirements: RS\_UCM\_00008, RS\_UCM\_00030

[In kCleaningUp state, the UCM may remove (from the UCM buffer for instance) the "physical" Software Package (e.g. zip file) that was used to transport the the SoftwareCluster to the UCM.]

### [SWS\_UCM\_00127] Finishing update sequence

Upstream requirements: RS\_UCM\_00008, RS\_UCM\_00030

[kPreparing shall be set when Finish is called and the clean-up has been successfully performed. This finishes the update sequence and next sequence can be started.]



### [SWS\_UCM\_00147] Return to the Prpearing state from Cleaning-up state

Upstream requirements: RS\_UCM\_00024

[kPreparing state shall be set when the Clean-up operation has been completed successfully.]

### 7.6.4 Suspend and resume

Some steps in the UCM state machine are able to take up valuable time and resources which have to be released in some scenarios, e.g. shutting down the ECU. To handle such situations in uncritical states, UCM provides the methods Suspend and Resume to be able to pause and continue the execution.

### [SWS\_UCM\_00385] Suspend and resume support

Upstream requirements: RS\_UCM\_00047

 $\left\lceil \text{UCM} \right.$  may be able to pause and save any long lasting work currently done and resume it later.]

### [SWS\_UCM\_00386] Suspend and resume not support

Upstream requirements: RS\_UCM\_00047

[If suspend and resume is not supported by UCM implementation the methods Suspend and Resume shall always return the error kOperationNotPermitted.]

# [SWS\_UCM\_00387] Suspend the execution of potentially long running Update States

Upstream requirements: RS\_UCM\_00047

[If suspend and resume is supported the Running State (runningState) of the CurrentStatus shall be set to kSuspended if Suspend has been called and any work shall be saved. This shall at least be supported for the Update States (updateState) kPreparing or kCleaningUp]

# [SWS\_UCM\_00388] Resume the execution of potentially long running Update States

Upstream requirements: RS\_UCM\_00047

[The Running State of the CurrentStatus shall be set to kRunning if Resume has been called. Any work previously saved shall be resumed.]



### [SWS\_UCM\_00389] Error behaviour for resume

Upstream requirements: RS\_UCM\_00047

[If Resume has been called and the Running State is not set to kSuspended, UCM shall return the error kOperationNotPermitted.]

Even while being suspended, the UCM still returns information about the currently available Software Packages and the Software Clusters which are handled in the current update cycle, as well as the history and the progress of a possible processing of a Software Package.

### [SWS\_UCM\_00390] Error behaviour of service interface during suspension

Upstream requirements: RS\_UCM\_00047

[If the Running State is set to kSuspended all methods of the PackageManagement service interface shall return the error kOperationNotPermitted with exception of:

- GetHistory
- GetId
- GetSwClusterChangeInfo
- GetSwClusterInfo
- GetSwClusterManifestInfo
- GetSwPackages
- GetProgress

# 7.7 Robustness against reset

Failure during over-the-air updates could lead into corrupted or inconsistent software configuration and further updates might be blocked. For this reason UCM needs to be robust against interruptions like power downs.

## [SWS\_UCM\_00157] Detection of reset

Upstream requirements: RS\_UCM\_00027

[At start up UCM shall identify if uncontrolled reset occurred.]

The way for UCM to detect uncontrolled reset is project specific. UCM could use hardware platform specific registers to detect Soft/Hard reset. Or it could access PHM



Functional Cluster to detect uncontrolled reset. UCM could also check that the CurrentStatus persistent field does not contain the expected Update State (updateState) e.g. expecting the Update State to be kVerifying if the reset occured during the critical phase of an update cycle.

### [SWS\_UCM\_00158] Cleanup of interrupted actions

#### Upstream requirements: RS\_UCM\_00027

[After an uncontrolled reset, UCM shall check non volatile memory integrity, recover processed artifacts in case it is corrupted and resume interrupted actions in order to return the system into a state from where UCM can continue serving its Clients.]

After an uncontrolled reset, it can be possible as an example for UCM to confirm consistency of any processed artifacts based on ArtifactChecksum class associated to SoftwareCluster. If checksum value of an artifact does not match, it can be deleted and processed again.

### [SWS\_UCM\_00270] UCM internal state persistency

Upstream requirements: RS\_UCM\_00027

[UCM shall persist CurrentStatus state field to be able to resume on-going update after an intended or unintended reboot.]

## 7.7.1 Boot monitoring

Activation failure during OS and Platform-self updates can lead to a state in which the system is not able to reach a point where UCM and the client are able to function as expected and thus not able to execute the rollback. For these cases the system should include component which is responsible to monitor that the OS and platform will start up correctly. In case of failure, the Boot monitoring component should trigger a reset or modify the boot options to trigger a rollback.

# 7.8 History

## [SWS\_UCM\_00115] History

*Upstream requirements:* RS\_UCM\_00032

[GetHistory method shall retrieve all actions that have been performed by UCM within a specific time window input parameter.]

In the case the UCM Client requests a rollback after a successful activation, CurrentStatus field transitioning to kActivated, GetHistory method will later return



HistoryType, with subelement resolution of type ResultType equal to kActivatedAndRolledBack.

## [SWS\_UCM\_00292] History elements ordering

Upstream requirements: RS\_UCM\_00032

[UCM shall return from GetHistory method a vector of HistoryType sorted in an increasing chronological order.]

### [SWS\_UCM\_00160] Processing results records

Upstream requirements: RS\_UCM\_00032

[When UCM is entering kVerifying, UCM shall save activation time based on time-BaseResource and activation result of processed Software Packages in the history.]

### [SWS\_UCM\_00271] Keeping history of failure error code

Upstream requirements: RS\_UCM\_00032

[UCM shall keep in HistoryType subelement failureError the last failure error code as described in [SWS\_UCM\_00136]. If no error occurred, the stored value shall be 0.]

## [SWS\_UCM\_00303] failing to record history

Upstream requirements: RS\_UCM\_00045

[If UCM is failing to record a new entry in history, UCM shall report a production error: UCM\_HISTORY\_RECORD\_FAILED. Any successful history update shall report a pass to this production error.]

# 7.9 Version Reporting

### [SWS\_UCM\_00004] Report software information

Status: OBSOLETE Upstream requirements: RS\_UCM\_00002

[UCM shall provide a method GetSwClusterInfo of the interface service Package-Management to provide the identifiers and versions of the SoftwareClusters that are in state kPresent.]



## [SWS\_UCM\_00030] Report changes

Upstream requirements: RS\_UCM\_00011

[UCM shall provide a method GetSwClusterChangeInfo of the interface service PackageManagement to provide the identifiers and versions of the SoftwareCluster that are in state kAdded, kUpdating Or kRemoved.]

### [SWS\_UCM\_00185] Provide SoftwareCluster general information

Status: OBSOLETE Upstream requirements: RS\_UCM\_00002, RS\_UCM\_00011

[At the invocation of method GetSwClusterDescription, UCM shall return the version, type approval, license and release notes of the SoftwareCluster that are in state kPresent.]

### [SWS\_UCM\_00311] Provide SoftwareCluster general information

Upstream requirements: RS\_UCM\_00002, RS\_UCM\_00011

[In case the SoftwareCluster referred by its name as input parameter to GetSwClusterManifestInfo is not in state kPresent, UCM shall raise ApplicationError kSoftwareClusterMissing.]

# 7.10 Securing Software Updates

UCM provides service interface using ara::com. There is no authentication of the client in UCM's update sequence.

For authentication of the Software Package, you can refer to 7.3.1

## [SWS\_UCM\_00103] Update to <u>Software Cluster</u> version which is not newer than currently present and than previously removed

Upstream requirements: RS\_UCM\_00031

[If the version of a SoftwarePackage (returned by GetSwPackages) is less than or equal to the version of SoftwareCluster (currently present returned by GetSwClusterInfo or currently processed returned by GetSwClusterChange-Info), the UCM methods TransferExit, TransferData Or ProcessSwPackage shall raise the ApplicationError kOldVersion, report a production error UCM\_-OLD\_VERSION\_PACKAGE and delete the rejected Software Package.]



## [SWS\_UCM\_00190] Reinstallation of older <u>Software</u> Cluster version than previously removed

Upstream requirements: RS\_UCM\_00003, RS\_UCM\_00031

[New Software Clusters getting installed shall be compared with the history of all installed Software Clusters to prevent installation of a Software Cluster with a lower or equal version than previously installed.]

## [SWS\_UCM\_00202] Trusted Platform compliance

Upstream requirements: RS\_EM\_00014

[UCM shall ensure that after processing updates, all the necessary changes to comply with the Trusted Platform are applied.]

The authentication tag of the Trusted Platform corresponding to the updated/removed/added executable files should also be updated/removed/added. See also Chapter "Trusted Platform" of the Execution Management [3] for details on the Trusted Platform.

# 7.11 Functional cluster lifecycle

### 7.11.1 Startup

### [SWS\_UCM\_00274] UCM initialization

Upstream requirements: RS\_UCM\_00044

[UCM shall offer its services only after its internal initialization has been completed, and then report **Running** state to Execution Management.]

This requirement prevents calling UCM subordinate API while internal initialization is on-going. The concrete initialization tasks are implementation specific.

### 7.11.2 Shutdown

There are no requirements of shutdown behaviour from UCM functional cluster.



# 7.12 Reporting

#### 7.12.1 Security Events

#### [SWS\_UCM\_00403] Security events for UCM

Status: DRAFT Upstream requirements: RS\_lds\_00810

Γ

Name	Description	ID
SEV_SW_UPDATE_FAILED	A SW update operation was requested, but it was not successful.	93
SEV_SW_UPDATE_SUCCESS	A SW update operation was executed successfully.	94

#### [SWS\_UCM\_00399] SEV SW UPDATE FAILED

*Upstream requirements:* RS\_lds\_00810

[Upon failure to execute a SW update operation (ResultType kActivatedAndRolled-Back, or kVerificationFailed), UCM Shall raise SEV\_SW\_UPDATE\_FAILED.]

# [SWS\_UCM\_00407] Mapping of context data elements for SEV SW UPDATE FAILED

Upstream requirements: RS\_lds\_00810

[UCM shall construct the SEv context data using the following mappings:

- Action: ActionType
- Error Code: Error Code with the application error that was raised during operation, see [SWS\_UCM\_00136], failureError
- Resolution: ResultType
- SW Name: SwNameType
- SW Version: StrongRevisionLabelString



#### [SWS\_UCM\_00404] Security event context data definition: SEV\_SW\_UPDATE\_ FAILED

Status: DRAFT Upstream requirements: RS\_Ids\_00810

Γ

SEV Name	SEV_SW_UPDATE_FAILED		
ID	93		
Description	A SW update operation was rec	A SW update operation was requested, but it was not successful.	
Context Data Version	1	1	
Context Data	Data Type Allowed Values		
Action	uint8		
ErrorCode	uint8		
Resolution	uint8		
SwName	uint16 [128, encoding UTF-8]		
ReceivedSwVersion	uint16 [32, encoding UTF-8]		

#### [SWS\_UCM\_00400] SEV SW UPDATE SUCCESS

Upstream requirements: RS\_lds\_00810

[Upon successful execution of a SW update operation (ResultType kActivated), UCM Shall raise SEV\_SW\_UPDATE\_SUCCESS.]

#### [SWS\_UCM\_00408] Mapping of context data elements for SEV SW UPDATE SUC-CESS

Upstream requirements: RS\_lds\_00810

[UCM shall construct the SEv context data using the following mappings:

- Action: ActionType
- SW Name: SwNameType
- Received SW Version: StrongRevisionLabelString



#### [SWS\_UCM\_00405] Security event context data definition: SEV\_SW\_UPDATE\_ SUCCESS

Status: DRAFT Upstream requirements: RS\_lds\_00810

Γ

SEV Name	SEV_SW_UPDATE_SUCCESS		
ID	94		
Description	A SW update operation was ex	A SW update operation was executed successfully.	
Context Data Version	1		
Context Data	Data Type Allowed Values		
Action	uint8		
SwName	uint16 [128, encoding UTF-8]		
ReceivedSwVersion	uint16 [32, encoding UTF-8]		

#### [SWS\_UCM\_00401] string in the context data is shorter than SecurityEventContextDataElement.maxLength

*Upstream requirements:* RS\_lds\_00810

[If a string in the context data is shorter than SecurityEventContextDataElement.maxLength, UCM shall construct the context data so that the string is terminated with a termination character and the following context data element is directly appended without any padding.]

#### [SWS\_UCM\_00402] string in the context data is longer than SecurityEventContextDataElement.maxLength

*Upstream requirements:* RS\_lds\_00810

[If a string in the context data is longer than SecurityEventContextDataElement.maxLength, UCM shall truncate the string to SecurityEventContextDataElement.maxLength.]

#### 7.12.2 Log Messages

#### 7.12.2.1 Standardized Logging

During the update process UCM interacts with V–UCM and other function clusters. There are multiple events based on the response during the interaction as part of the update process. Therefore, it is important to provide a way to trace update process events within the UCM. The following trace points are introduced to be able to do analysis of important events during an update process.



# [SWS\_UCM\_00332] Software Package transfer - Log successful Software Package transfer

Upstream requirements: RS\_UCM\_00046

[Whenever UCM successfully receives a Software Package (see [SWS\_UCM\_00010] ), UCM shall log a DltMessage of type SoftwarePackageReceived.]

# [SWS\_UCM\_00333] Software Package transfer - Log failure of Software Package transfer

Upstream requirements: RS\_UCM\_00046

[Whenever there is failure during TransferData/TransferExit in UCM (see [SWS\_UCM\_00275] and [SWS\_UCM\_00276] ), UCM shall log a DltMessage of type SoftwarePackageTransferFailed.]

# [SWS\_UCM\_00334] Software Package processing - Log successful Software Package processing

Upstream requirements: RS\_UCM\_00046

[Whenever UCM is able to process the Software Package successfully (see  $[SWS\_UCM\_00131]$  ), UCM shall log a DltMessage of type SoftwarePackageProcessed.]

# [SWS\_UCM\_00335] Software Package processing - Log failure of Software Package processing

Upstream requirements: RS\_UCM\_00046

[Whenever there is failure during Software Package processing (see [SWS\_UCM\_00277] ), UCM shall log a DltMessage of type SoftwarePackageProcessingFailed.]

# [SWS\_UCM\_00336] Software Cluster activation - Log installation of new Software Cluster

Upstream requirements: RS\_UCM\_00046

[Whenever UCM has successfully activated new Software Cluster (see [SWS\_UCM\_00022]), UCM shall log a DltMessage of type SoftwareClusterInstalled.]

# [SWS\_UCM\_00337] Software Cluster activation - Log update of existing Software Cluster

Upstream requirements: RS\_UCM\_00046

[Whenever UCM has successfully updated Software Cluster to a newer version (see [SWS\_UCM\_00022]), UCM shall log a DltMessage of type SoftwareClusterUp-dated.]



# [SWS\_UCM\_00338] Software Cluster activation - Log removal of existing Software Cluster

Upstream requirements: RS\_UCM\_00046

[Whenever UCM has successfully removed a Software Cluster (see [SWS\_UCM\_00022]), UCM shall log a DltMessage of type SoftwareClusterRemoved.]

#### [SWS\_UCM\_00339] Software Cluster activation failure - Log failure of Software Cluster activation

Upstream requirements: RS\_UCM\_00046

[Whenever UCM fails to activate Software Cluster (see [SWS\_UCM\_00281]), UCM shall log a DltMessage of type SoftwareClusterActivationFailed.]

# [SWS\_UCM\_00340] Software Cluster rollback - Log rollback of Software Cluster

Upstream requirements: RS\_UCM\_00046

[Whenever UCM performs rollback of Software Cluster (see [SWS\_UCM\_00110] ), UCM shall log a DltMessage of type SoftwareClusterRolledback.]

#### [SWS\_UCM\_00376] LogMessage SoftwarePackageReceived

Status: DRAFT Upstream requirements: RS\_UCM\_00046

Dlt-Message	SoftwarePackageReceived			
Description	Message that is sent by UCM after receiving	Message that is sent by UCM after receiving software package.		
Messageld	0x8000c000	0x8000c000		
MessageType Info	DLT_LOG_INFO			
Dlt-Argument	ArgumentDescription	ArgumentType	ArgumentUnit	
SoftwarePackage WithTransferId	Software package with transferid	predefined text		
TransferId	TransferId	uint8 [16]	NoUnit	
Italisienu				



# [SWS\_UCM\_00377] LogMessage SoftwarePackageTransferFailed

Status:

Upstream requirements: RS\_UCM\_00046

DRAFT

Dlt-Message	SoftwarePackageTransferFailed			
Description	Message that is sent by UCM after failure in TransferData/TransferExit.			
Messageld	0x8000c001			
MessageType Info	DLT_LOG_ERROR			
Dlt-Argument	ArgumentDescription ArgumentType ArgumentUnit			
TransferOf SoftwarePackage WithTransferId	Transfer of software package with transferid	predefined text		
TransferId	TransferId	uint8 [16]	NoUnit	
FailedWith ApplicationError Code	failed with application error code	predefined text		
ErrorCode	ErrorCode	uint8 [encoding UTF-8]	NoUnit	

# ┘

#### [SWS\_UCM\_00378] LogMessage SoftwarePackageProcessed

Status: DRAFT Upstream requirements: RS\_UCM\_00046

Γ

Dlt-Message	SoftwarePackageProcessed		
Description	Message that is sent by UCM after processing software package.		
Messageld	0x8000c002		
MessageType Info	DLT_LOG_INFO		
Dlt-Argument	ArgumentDescription	ArgumentType	ArgumentUnit
SoftwarePackage WithTransferId	Software package with transferid	predefined text	
TransferId	TransferId	uint8 [16]	NoUnit
IsProcessed	is processed	predefined text	



# [SWS\_UCM\_00379] LogMessage SoftwarePackageProcessingFailed

Status:

Upstream requirements: RS\_UCM\_00046

DRAFT

Dlt-Message	SoftwarePackageProcessingFailed			
Description	Message that is sent by UCM after failure in processing of software package.			
Messageld	0x8000c003			
MessageType Info	DLT_LOG_ERROR			
Dlt-Argument	ArgumentDescription ArgumentType ArgumentUni			
ProcessingOf SoftwarePackage WithTransferId	Processing of software package with transferid	predefined text		
TransferId	TransferId	uint8 [16]	NoUnit	
FailedWith ApplicationError Code	failed with application error code	predefined text		
ErrorCode	ErrorCode	uint8 [encoding UTF-8]	NoUnit	

# ┘

#### [SWS\_UCM\_00380] LogMessage SoftwareClusterInstalled

Status: DRAFT Upstream requirements: RS\_UCM\_00046

Γ

Dit-Message	SoftwareClusterInstalled			
Description	Message that is sent by UCM after successful installat	Message that is sent by UCM after successful installation of new software cluster.		
Messageld	0x8000c004			
MessageType Info	DLT_LOG_INFO			
Dlt-Argument	ArgumentDescription	ArgumentType	ArgumentUnit	
SoftwareCluster ShortName	Software cluster shortname	uint8 [encoding UTF-8]	NoUnit	
Version	Version	uint8 [encoding UTF-8]	NoUnit	



#### [SWS\_UCM\_00381] LogMessage SoftwareClusterUpdated

Status: DRAFT

Upstream requirements: RS\_UCM\_00046

Dit-Message	SoftwareClusterUpdated		
Description	Message that is sent by UCM after successful update of existing software cluster.		
Messageld	0x8000c005		
MessageType Info	DLT_LOG_INFO		
Dlt-Argument	ArgumentDescription	ArgumentType	ArgumentUnit
SoftwareCluster ShortName	Software cluster shortname	uint8 [encoding UTF-8]	NoUnit
Version	Version	uint8 [encoding UTF-8]	NoUnit
Updated	updated	predefined text	

#### [SWS\_UCM\_00382] LogMessage SoftwareClusterRemoved

Status: DRAFT Upstream requirements: RS\_UCM\_00046

Γ

Dlt-Message	SoftwareClusterRemoved		
Description	Message that is sent by UCM after successful removal of existing software cluster.		
Messageld	0x8000c006		
MessageType Info	DLT_LOG_INFO		
Dlt-Argument	ArgumentDescription	ArgumentType	ArgumentUnit
SoftwareCluster ShortName	Software cluster shortname	uint8 [encoding UTF-8]	NoUnit
Version	Version	uint8 [encoding UTF-8]	NoUnit
Removed	removed	predefined text	

⅃

#### [SWS\_UCM\_00383] LogMessage SoftwareClusterActivationFailed

Status: DRAFT Upstream requirements: RS\_UCM\_00046

Γ

Dlt-Message	SoftwareClusterActivationFailed
Description	Message that is sent by UCM after failure during software cluster activation.
Messageld	0x8000c007
MessageType Info	DLT_LOG_ERROR

 $\nabla$ 



 $\triangle$ 

Dlt-Argument	ArgumentDescription	ArgumentType	ArgumentUnit
ActivationOf SoftwareCluster	Activation of software cluster	predefined text	
SoftwareCluster ShortName	Software cluster shortname	uint8 [encoding UTF-8]	NoUnit
Version	Version	uint8 [encoding UTF-8]	NoUnit
FailedWith ApplicationError Code	failed with application error code	predefined text	
ErrorCode	ErrorCode	uint8 [encoding UTF-8]	NoUnit

### 

Γ

#### [SWS\_UCM\_00384] LogMessage SoftwareClusterRolledback

Status: DRAFT Upstream requirements: RS\_UCM\_00046

Dlt-Message SoftwareClusterRolledback Description Message that is sent by UCM after successful implicit/explicit rollback of software cluster. 0x8000c008 Messageld MessageType DLT\_LOG\_INFO Info **Dlt-Argument** ArgumentDescription ArgumentType ArgumentUnit uint8 [encoding UTF-8] NoUnit SoftwareCluster Software cluster shortname ShortName Version Version uint8 [encoding UTF-8] NoUnit Rolledback rolledback predefined text

#### 7.12.3 Violation Messages

This functional cluster does not define any violation messages (i.e., DLT messages logged for Violations according to [SWS CORE 00021]).

#### 7.12.4 Production Errors

This chapter lists all production errors of the UCM.



### 7.12.4.1 UCM ROLLBACK FAILED

#### [SWS\_UCM\_00323] Diagnostic Event: RollBack failed

Upstream requirements: RS\_UCM\_00045

Γ

Diagnostic Event (Error Name)	UCM_ROLLBACK_FAILED
Description	UCM failed to rollback one or several Software Clusters
Monitoring condition	This DTC is set during an Updating context
Failed condition	UCM fails to rollback one or several Software Clusters
Passed condition	UCM succeeds in retrying Rollback

#### 7.12.4.2 HISTORY RECORD FAILED

#### [SWS\_UCM\_00320] Diagnostic Event: History recording failed

Upstream requirements: RS\_UCM\_00045

Γ

Diagnostic Event (Error Name)	UCM_HISTORY_RECORD_FAILED
Description	UCM failed to record history entry
Monitoring condition	This DTC is set during an Updating context
Failed condition	UCM fails to record history

### 7.12.4.3 CANCEL FAILED

#### [SWS\_UCM\_00325] Diagnostic Event: Campaign cancelling failed

Upstream requirements: RS\_UCM\_00045

Γ

Diagnostic Event (Error Name)	UCM_CANCEL_FAILED
Description	UCM failed to stop the ongoing Processing after a call of Cancel method was received
Monitoring condition	This DTC is set during an Updating context
Failed condition	UCM fails to stop the processing of a software package on call of Cancel

 $\bigtriangledown$ 



 $\triangle$ 

Passed condition	Update sequence is completed with Finish()

## 7.12.4.4 MISSING DEPENDENCIES

### [SWS\_UCM\_00326] Diagnostic Event: Activation not possible because of missing dependencies

Upstream requirements: RS\_UCM\_00045

 Diagnostic Event (Error Name)
 UCM\_MISSING\_DEPENDENCIES

 Description
 Software Cluster dependencies are not fulfilled with the set of currently processed Software Clusters

 Monitoring condition
 This DTC is set during an Updating context

 Failed condition
 UCM detects an unmet dependency among the new Software Cluster set

 Passed condition
 UCM detects all dependencies are fulfilled

Γ

### 7.12.4.5 OLD VERSION PACKAGE

#### [SWS\_UCM\_00327] Diagnostic Event: Installing old software is not allowed

Upstream requirements: RS\_UCM\_00045

Γ

Diagnostic Event (Error Name)	UCM_OLD_VERSION_PACKAGE
Description	Attempt to update to older Software Cluster version than currently present and than previously removed
Failed condition	If there has been an attempt to update a Software Cluster to older version than currently present and than previously removed, UCM shall report this failure as diagnostic error
Passed condition	If UCM succeeded to update, UCM shall report the Prepassed status



### 7.12.4.6 PREPAREUPDATE FAILED

#### [SWS\_UCM\_00322] Diagnostic Event: PrepareUpdate call to SM failed

Upstream requirements: RS\_UCM\_00045

Γ

Diagnostic Event (Error Name)	UCM_PREPAREUPDATE_FAILED
Description	SM returned a negative result on call of PrepareUpdate()
Monitoring condition	This DTC is set during an Updating context
Failed condition	UCM fails to call PrepareUpdate
Passed condition	Subsequent call of PrepareUpdate succeeds

7.12.4.7 PREPAREUPDATE Rejected

#### [SWS\_UCM\_00262] Diagnostic Event: Update preparation rejected

Upstream requirements: RS\_UCM\_00026

Γ

Diagnostic Event (Error Name)	UCM_PREPAREUPDATE_REJECTED
Description	SM returned a negative result on call of PrepareUpdate()
Monitoring condition	This DTC is set during an Updating context
Failed condition	UCM fails to call PrepareUpdate
Passed condition	Subsequent call of PrepareUpdate succeeds

### 7.12.4.8 UPDATE SESSION FAILED

#### [SWS\_UCM\_00321] Diagnostic Event: Update session with SM rejected

Upstream requirements: RS\_UCM\_00045

Diagnostic Event (Error Name)	UCM_UPDATE_SESSION_FAILED
Description	UCM failed to start an update session
Monitoring condition	This DTC is set during an Updating context



 $\triangle$ 

Failed condition	SM returns kFailed on RequestUpdateSession
Passed condition	Subsequent call of RequestUpdateSession succeeds

### 7.12.4.9 UPDATE SESSION REJECTED

#### [SWS\_UCM\_00375] Diagnostic Event: Update session with SM rejected

Upstream requirements: RS\_UCM\_00045

Diagnostic Event (Error Name) UCM_UPDATE_SESSION_REJECTED					
Description	CM failed to start an update session				
Monitoring condition	This DTC is set during an Updating context				
Failed condition	SM returns kRejected on RequestUpdateSession				
Passed condition	Subsequent call of RequestUpdateSession succeeds				

Γ

### 7.12.4.10 VERIFICATION FAILED

#### [SWS\_UCM\_00324] Diagnostic Event: Verification with SM at activation failed

Upstream requirements: RS\_UCM\_00045

 Diagnostic Event (Error Name)
 UCM\_VERIFICATION\_FAILED

 Description
 SM returned a negative result on the VerifyUpdate call

 Monitoring condition
 This DTC is set during an Updating context

 Failed condition
 UCM receives a negative result on calling VerifyUpdate

 Passed condition
 Update sequence is completed with Finish()



### 7.12.4.11 VERIFICATION REJECTED

#### [SWS\_UCM\_00374] Diagnostic Event: Update verification rejected

Upstream requirements: RS\_UCM\_00030, RS\_UCM\_00008

Γ

Diagnostic Event (Error Name)	UCM_UPDATE_VERIFICATION_REJECTED			
Description	SM returned a negative result on the VerifyUpdate call			
Monitoring condition	This DTC is set during an Updating context			
Failed condition	UCM receives a negative result on calling VerifyUpdate			
Passed condition	Update sequence is completed with Finish()			

7.12.4.12 PREPAREROLLBACK FAILED

#### [SWS\_UCM\_00366] Diagnostic Event: for UCM

Upstream requirements: RS\_UCM\_00045

Γ

Diagnostic Event (Error Name)	UCM_PREPAREROLLBACK_FAILED					
Description	M returned a negative result on the PrepareRollback call					
Monitoring condition	This DTC is set during an Updating context					
Failed condition	UCM receives a negative result on calling PrepareRollback					
Passed condition	Prepare rollback sequence is completed with Finish()					

# 7.12.4.13 PREPAREROLLBACK REJECTED

#### [SWS\_UCM\_00367] Diagnostic Event: for UCM

Upstream requirements: RS\_UCM\_00045

Diagnostic Event (Error Name)	iagnostic Event (Error Name) UCM_PREPAREROLLBACK_REJECTED			
Description	SM returned a negative result on the PrepareRollback call			
Monitoring condition	This DTC is set during an Updating context			



 $\triangle$ 

Failed condition	UCM receives a negative result on calling PrepareRollback			
Passed condition	Prepare rollback sequence is completed with Finish()			



Specification of Update and Configuration Management AUTOSAR AP R24-11

# 8 API specification

There are no APIs defined in this release.



# 9 Service Interfaces

# 9.1 Type definitions

This chapter lists all types provided by the UCM.

The following figure is informative and only meant to support reader having global view of UCM types and service interface.



Specification of Update and Configuration Management AUTOSAR AP R24-11

«primitive» TransferldType	«dataType» StrongRevisionLabe			«primitive» ByteVectorType		orimitive» dentifierT			«da CMIdentifie		ype»	ionType		C	«dataType» urrentStateType																				
requirements SWS_UCM_00031	requirements SWS_UCM_00175	3		requirements SWS_UCM_00032	req	quirement	s	+ id	: UCMIdent	ifie	rType			ing	State: RunningStateType																				
3W3_0CM_00031						requirements			+ updateState: UpdateStateType requirements																										
«interface» PackageManagement				Ĺ.		SWS	_UCM_0030	)9			SWS_U	CM_	_00044																						
+ CurrentStatus: CurrentStateType					«(	dataTy	oe»	Sv	wClu		aType» ifoVectorT	vpe																							
+ Activate(): void + Cancel(Transfe + DeleteTransfer		d					SwPackageNameType swInfoTypes: SwClusterInfoType [1]																												
+ Finish(): void + GetHistory(unsi	gned long, unsigned entifierAndVersionT	l long)	: His	storyVectorType			UCM_0		_sws_ucn	И_0		rements																							
+ GetProgress(Tr	ansferldType): char nfo(): SwClusterInfoV		уре				dataTy				«data	Туре»		7-																					
+ GetSwClusters	lanifestInfo(SwName ChangeInfo(): SwClu	sterInfo	oVe	ctorType	Туре		equiren	meTyp nents	-			rInfoType erNameTy	ne	F	«enumeration» RunningStateType																				
+ ProcessSwPack	s(): SwPackageInfoV age(TransferldType) rePackage(UriString)	): void				SWS	_UCM_	00071	+ size:u	iinte	64	rStateType			<i>literals</i> kRunning = 0x00																				
+ Resume(): void			oron	urypo					+ version			RevisionLa	abelStrin		kSuspended = 0x01																				
+ Rollback(): void + Suspend(): void	Ł						vice» CM	钌	SWS_UCM			ementa		sv	requirements VS_UCM_00395																				
+ TransferExit(Tr	ransferIdType, Byte ansferIdType): void						<b>}</b>				4	7			«enumeration»																				
	int64, TransferldTyp requiren		agne	ed Int"): vold		Ţ	- Packag	eMana	gement	S	«enumeration» SwClusterStateType				UpdateStateType literals																				
SWS_UCM_00131						<-0	) «d:		2 N	Ę		<i>iterals</i> sent = 0x0	0	kA	reparing = 0x02 ctivating = 0x03																				
	аТуре» ryType	] [	«dataType» HistoryVectorType			«dataType» ProgressInformationType				kAdded = 0x01 kUpdating = 0x02		kActivated = 0x04 kRollingBack = 0x05 kRolledBack = 0x06																							
+ action: ActionT + failureError: uir	2 C		+ history: HistoryType [1n]			<ul> <li>+ currentStatus: UpdateStateTyp</li> <li>+ estimatedDuration: uint32</li> <li>+ progress: uint8</li> </ul>			kRemoved = 0x03 requirements		x03	kV	erifying = $0x08$ leaningUp = $0x07$																						
+ name: SwClust + resolution: Res	erNameType	5	SWS	requirements			req	uiremer	nts		VS_UC	CM_00077	<u></u>	kR	ollingBackFailed = 0x09 requirements																				
+ time: uint64 + version: Strong	RevisionLabelString			«enumeration»		SWS_U	См_00						SV	VS_	UCM_00396																				
requit SWS_UCM_00134	rements	k		ActionType		_	S		neration» geStateTyp	e					aType» geInfoType																				
L	<u>À</u>	kUpdate = 0x00				kUpdate = 0x00				kUpdate = 0x00		kUpdate = 0x00				kUpdate = 0x00		kUpdate = 0x00		kUpdate = 0x00		kUpdate = 0x00		kUpdate = 0x00				ransfer	terals ing = 0x00						Received: uint64 Received: uint64
« enum	eration»		kRemove = 0x02 kUpdateConfiguration = 0x03 requirements SWS_UCM_00132			kUpdateConfiguration = 0x03 requirements		kRemove = 0x02							kP	d = 0x01 d = 0x02 d = 0x03	+ state: Swi + swCluster			SwPack	ackageStateType ame: SwClusterNameType														
	ItType erals	sw						-	kProcessingStream =			+ transferId:			ferld: Tra	eName: SwPackageNameType TransferldType rongRevisionLabelString																			
kActivated = 0 kActivatedAnd	x00 RolledBack = 0x01												sws_	UCM_0	irements )0038			+ versio		-	rements														
kVerificationFa	ailed = 0x02 rements		Sv	«dataType» wPackageInfoVector	Туре		$\diamond$					SWS_UC	CM_0003	9																					
SWS_UCM_0013		swP	acka	igeInfo: SwPackage	InfoTy	ype [1n]	-				«	enumerat	ion»																						
	s	ws_u	ICM_	requirements _00040							Depe	endencyRo			" on um orațion n																				
	dataType»		7		« (	dataType	»			1		<i>literals</i> dependsOr conflictsTo	n = 0x00		«enumeration» LogicalOperationType																				
	rManifestInfoType del: DependencyVe	ctorTyp	pe	+ category: string	Depe	endencyT	уре					requireme	nts		literals kLogicalAnd = 0x00																				
+ license: string + name: SwCluste				+ compareCondition + dependencyRole					ditionType		5005	S_UCM_00	3318		kLogicalOr = 0x01 requirements																				
+ releaseNotes: st + typeApproval: s + version: Strong		+ logicalOperator: Logi			-	alOperati									SWS_UCM_00317																				
re	quirements		-	SWS_UCM_00314	10	quinement					، / /	<u>-</u>	· – – {		«enumeration»																				
SWS_UCM_00312	A			·ı ``					«dəi	taT	ype»	V Ż		De	ependencyOperatorType																				
«	dataType»	—		«dataTyp SwNameVersio		e			ndencyCon	npa	reCon	ditionTyp	e		GreaterThan = 0x00 Equal = 0x01																				
	lencyVectorType pendencyType [1n]			name: SwClusterNa version: StrongRevi	meTy	pe	+ de	pende		oer:: bool or: DependencyOperatorType vNameVersionType			atorType	kLessThan = 0x02																					
re SWS_UCM_00313	quirements	requirements SWS_UCM_00176			nts		sws_	requirements requ			requirements _UCM_00316																								

Figure 9.1: UCM composite structure



#### 9.1.1 UCMIdentifierType

#### [SWS\_UCM\_00173] Definition of ImplementationDataType UCMIdentifierType

Upstream requirements: RS\_VUCM\_00036

Γ

Name	UCMIdentifierType			
Namespace	a::ucm			
Kind	STRING			
Derived from	-			
Description	UCM Module Instantiation Identifier.			

」

### 9.1.2 UCMIdentifierAndVersionType

### [SWS\_UCM\_00309] Definition of ImplementationDataType UCMIdentifierAndVersionType

Upstream requirements: RS\_VUCM\_00035

Γ

Name	UCMIdentifierAndVersionType				
Namespace	ara::ucm				
Kind	STRUCTURE				
Sub-elements	id UCMIdentifierType				
	version StrongRevisionLabelString				
Derived from	-				
Description	Represents UCM Module Instantion number and version of UCM.				



### 9.1.3 TransferIdType

#### [SWS\_UCM\_00031] Definition of ImplementationDataType TransferIdType

Upstream requirements: RS\_UCM\_00019, RS\_UCM\_00025

Γ

Name	TransferIdType					
Namespace	ara::ucm					
Kind	ARRAY <uint8_t></uint8_t>					
Array size	16					
Derived from	-					
Description	Represents a handle identifier used to reference a particular transfer request.					

#### 9.1.4 SwPackageNameType

# [SWS\_UCM\_00362] Definition of ImplementationDataType SwPackageNameType

Upstream requirements: RS\_UCM\_00002

Γ

Name	SwPackageNameType				
Namespace	ara::ucm				
Kind	STRING				
Derived from	-				
Description	SoftwarePackage shortName attribute inherited from referrable metaClass.				

#### 9.1.5 ProcessingStateType

#### [SWS UCM 00394] Definition of ImplementationDataType ProcessingStateType

Upstream requirements: RS\_UCM\_00006, RS\_UCM\_00010, RS\_UCM\_00011, RS\_UCM\_00012

Name	ProcessingStateType			
Namespace	ara::ucm			
Kind	TYPE_REFERENCE			
$\nabla$				



#### $\triangle$

Derived from	uint8_t					
Description	Represents the processing state of a Software Package on the Platform.					
Range / Symbol	Limit Description					
kReady	0x00	Software package is being transferred.				
kProcessing	0x02 Software package is currently being processed.					
kProcessed	0x03 Software package processing finished.					
kProcessingFailed	0x05 Processing of the software package failed.					

### 9.1.6 TransferStateType

#### [SWS\_UCM\_00393] Definition of ImplementationDataType TransferStateType

Upstream requirements: RS\_UCM\_00006, RS\_UCM\_00010, RS\_UCM\_00011, RS\_UCM\_00012

Γ

Name	TransferStateType		
Namespace	ara::ucm	ara::ucm	
Kind	TYPE_REFERENCE		
Derived from	uint8_t		
Description	Represents the transfer state of a Software Package on the Platform.		
Range / Symbol	Limit Description		
kTransferring	0x00	Software package is being transferred, i.e. not completely received.	
kTransferred	0x01 Software package is completely transferred and ready to be processed.		

Γ

#### 9.1.7 SwClusterNameType

#### [SWS\_UCM\_00071] Definition of ImplementationDataType SwClusterNameType

Upstream requirements: RS\_UCM\_00002

Name	SwClusterNameType
Namespace	ara::ucm
Kind	STRING
Derived from	-



 $\triangle$ 

Description	SoftwareCluster shortName attribute inherited from referrable metaClass.

#### 9.1.8 StrongRevisionLabelString

# [SWS\_UCM\_00175] Definition of ImplementationDataType StrongRevisionLabel String

Upstream requirements: RS\_UCM\_00002

Γ

Name	StrongRevisionLabelString		
Namespace	ara::ucm		
Kind	STRING		
Derived from	-		
Description	Primitive type representing SoftwareCluster (SoftwarePackage) version.		

#### 9.1.9 SwNameVersionType

#### [SWS\_UCM\_00176] Definition of ImplementationDataType SwNameVersionType

Upstream requirements: RS\_UCM\_00002

Γ

Name	SwNameVersionType		
Namespace	ara::ucm		
Kind	STRUCTURE		
Sub-elements	swClusterName SwClusterNameType		
	version StrongRevisionLabelString		
Derived from	·		
Description	Represents the information of a Software Package (Software Cluster) name and version.		



## 9.1.10 ProgressInformationType

# [SWS\_UCM\_00341] Definition of ImplementationDataType ProgressInformation Type

Upstream requirements: RS\_UCM\_00023, RS\_UCM\_00024

Γ

Name	ProgressInformationType
Namespace	ara::ucm
Kind	STRUCTURE
Sub-elements	currentStatus UpdateStateType
	<pre>progress uint8_t</pre>
	estimatedDuration uint32_t
Derived from	-
Description	Provides progress information of the work done in current Package Management global state. The progress will be set to a value representing the progress between 0% and 100% (0x00 0x64). The estimatedDuration will be set in seconds, where 0 determines that no estimation is available if the progress is not equal to 100%. The currentStatus will be set to the current state of the Package Management state machine.

### 9.1.11 ByteVectorType

#### [SWS\_UCM\_00032] Definition of ImplementationDataType ByteVectorType

Upstream requirements: RS\_UCM\_00025

Γ

Name	ByteVectorType
Namespace	ara::ucm
Kind	VECTOR <uint8_t></uint8_t>
Derived from	-
Description	Byte vector representing raw data.

⅃



### 9.1.12 SwPackageStateType

# [SWS\_UCM\_00038] Definition of ImplementationDataType SwPackageStateType

Name	SwPackageStateType
Namespace	ara::ucm
Kind	STRUCTURE
Sub-elements	transferState TransferStateType
	<pre>processingState ProcessingStateType</pre>
Derived from	-
Description	Represents the current status of a software package on the platform.

Γ

### 9.1.13 SwPackageInfoType

#### [SWS\_UCM\_00039] Definition of ImplementationDataType SwPackageInfoType

*Upstream requirements:* RS\_UCM\_00002, RS\_UCM\_00006, RS\_UCM\_00010, RS\_UCM\_00011, RS\_UCM\_00012

Name	SwPackageInfoType	
Namespace	ara::ucm	
Kind	STRUCTURE	
Sub-elements	swClusterName SwClusterNameType	
	swPackageName SwPackageNameType	
	version StrongRevisionLabelString	
	transferId TransferIdType	
	consecutiveBytesReceived uint 64_t	
	consecutiveBlocksReceived uint64_t	
	<pre>state SwPackageStateType</pre>	
Derived from	-	
Description	Represents the information of a Software Package.	



#### 9.1.14 SwPackageInfoVectorType

# [SWS\_UCM\_00040] Definition of ImplementationDataType SwPackageInfoVector Type

*Upstream requirements:* RS\_UCM\_00002, RS\_UCM\_00006, RS\_UCM\_00010, RS\_UCM\_00011, RS\_UCM\_00012

Γ

Name	SwPackageInfoVectorType
Namespace	ara::ucm
Kind	VECTOR <swpackageinfotype></swpackageinfotype>
Derived from	-
Description	Represents a dynamic size array of Software Packages

### 9.1.15 SwClusterStateType

#### [SWS\_UCM\_00077] Definition of ImplementationDataType SwClusterStateType

*Upstream requirements:* RS\_UCM\_00002, RS\_UCM\_00006, RS\_UCM\_00010, RS\_UCM\_00011, RS\_UCM\_00012

Γ

Name	SwClusterStateType		
Namespace	ara::ucm		
Kind	TYPE_REFEREN	TYPE_REFERENCE	
Derived from	uint8_t		
Description	Represents the state of a SoftwareCluster on the adaptive platform.		
Range / Symbol	Limit Description		
kPresent	0x00	State of a SoftwareCluster that is installed on the adaptive platform and installation has finished.	
kAdded	0x01 State of a SoftwareCluster that has been newly installed.		
kUpdating	0x02 State of a SoftwareCluster that has been updated.		
kRemoved	0x03	0x03 State of a SoftwareCluster that is being updated.	



### 9.1.16 SwClusterInfoType

### [SWS\_UCM\_00078] Definition of ImplementationDataType SwClusterInfoType

Upstream requirements: RS\_UCM\_00002, RS\_UCM\_00011

Г	-
1	
I	

Name	SwClusterInfoType	
Namespace	ara::ucm	
Kind	STRUCTURE	
Sub-elements	name SwClusterNameType	
	version StrongRevisionLabelString	
	<pre>state SwClusterStateType</pre>	
	size uint64_t	
Derived from	-	
Description	Represents the information of a SoftwareCluster.	

### 9.1.17 SwClusterInfoVectorType

# [SWS\_UCM\_00079] Definition of ImplementationDataType SwClusterInfoVector Type

*Upstream requirements:* RS\_UCM\_00002, RS\_UCM\_00006, RS\_UCM\_00010, RS\_UCM\_00011, RS\_UCM\_00012

Γ

Name	SwClusterInfoVectorType	
Namespace	ara::ucm	
Kind	VECTOR <swclusterinfotype></swclusterinfotype>	
Derived from	-	
Description	Represents a dynamic size array of SoftwareClusters	



### 9.1.18 CurrentStatusType

#### [SWS\_UCM\_00044] Definition of ImplementationDataType CurrentStatusType

Upstream requirements: RS\_UCM\_00024, RS\_UCM\_00026

Γ

Name	CurrentStatusType	
Namespace	ara::ucm	
Kind	STRUCTURE	
Sub-elements	updateState UpdateStateType	
	<pre>runningState RunningStateType</pre>	
Derived from	-	
Description	Represents the current status as a combination of the update state and the associated running state.	

### 9.1.19 UpdateStateType

#### [SWS\_UCM\_00396] Definition of ImplementationDataType UpdateStateType

Upstream requirements: RS\_UCM\_00024, RS\_UCM\_00026

Γ

Name	UpdateState	UpdateStateType		
Namespace	ara::ucm	ara::ucm		
Kind	TYPE_REFE	RENCE		
Derived from	uint8_t			
Description	Represents t	Represents the state of UCM.		
Range / Symbol	Limit	Description		
kPreparing	0x02	UCM is ready to prepare an update cycle by getting software packages transferred or processing them.		
kActivating	0x03	UCM is performing the dependency check and preparing the activation of the processed Software packages.		
kActivated	0x04	Software changes introduced with processed Software Packages has been activated and executed.		
kRollingBack	0x05	UCM is reverting changes introduced with processed packages.		
kRolledBack	0x06	Software changes introduced with processed Software Packages has been deactivated and original software is executed.		
kCleaningUp	0x07	Making sure that the system is in a clean state.		
kVerifying	0x08	UCM (via State Management) is checking that the processed packages have been properly restarted.		
kRollingBackFailed	0x09	UCM failed to revert changes introduced with processed packages.		



### 9.1.20 RunningStateType

### [SWS\_UCM\_00395] Definition of ImplementationDataType RunningStateType

Name	RunningStateType		
Namespace	ara::ucm		
Kind	TYPE_REFERENCE		
Derived from	uint8_t		
Description	Represents the running status of a update state.		
Range / Symbol	Limit	Description	
kRunning	0x00	The current update state is running.	
kSuspended	0x01	The current update state is suspended.	

Γ

### 9.1.21 ActionType

### [SWS\_UCM\_00132] Definition of ImplementationDataType ActionType

Upstream requirements: RS\_UCM\_00032

Name ActionType Namespace ara::ucm TYPE\_REFERENCE Kind Derived from uint8\_t Description Represents the UCM action. Range / Symbol Limit Description kUpdate 0x00 Update of a SoftwareCluster. kInstall 0x01 Installation of a new SoftwareCluster. kRemove 0x02 Removal of a SoftwareCluster. kUpdateConfiguration 0x03 Update the configuration of a SoftwareCluster.

⅃



### 9.1.22 ResultType

#### [SWS\_UCM\_00133] Definition of ImplementationDataType ResultType

Upstream requirements: RS\_UCM\_00032

Γ

Name	ResultType			
Namespace	ara::ucm	ara::ucm		
Kind	TYPE_REFERENCE			
Derived from	uint8_t			
Description	Represents the result of UCM action.			
Range / Symbol	Limit	Description		
kActivated	0x00	Activation was successful.		
kActivatedAndRolledBack	0x01	UCM was activated but rolled back by its Client.		
kVerificationFailed	0x02	UCM's action failed.		

┘

### 9.1.23 HistoryType

#### [SWS\_UCM\_00134] Definition of ImplementationDataType HistoryType

Upstream requirements: RS\_UCM\_00032

Γ

Name	HistoryType		
Namespace	ara::ucm		
Kind	STRUCTURE		
Sub-elements	time uint64_t		
	swClusterName SwClusterNameType		
	Version StrongRevisionLabelString		
	action ActionType		
	resolution ResultType		
	failureError uint64_t		
Derived from	-		
Description	Time refers to the verification time of the software cluster (when UCM Subordinate enters kVerifying). UCM shall get time from Time Sync Functional Cluster via UcmToTimeBase ResourceMapping.timeBaseResource		

┘



### 9.1.24 HistoryVectorType

#### [SWS\_UCM\_00135] Definition of ImplementationDataType HistoryVectorType

Upstream requirements: RS\_UCM\_00032

Γ

Name	HistoryVectorType	
Namespace	ara::ucm	
Kind	VECTOR <historytype></historytype>	
Derived from	-	
Description	Represents a list of UCM actions	

Γ

#### 9.1.25 SwClusterManifestInfoType

# [SWS\_UCM\_00312] Definition of ImplementationDataType SwClusterManifest InfoType

Upstream requirements: RS\_UCM\_00002, RS\_UCM\_00011

Name SwClusterManifestInfoType Namespace ara::ucm STRUCTURE Kind Sub-elements swClusterName SwClusterNameType version StrongRevisionLabelString typeApproval StringType license StringType releaseNotes StringType dependencyModel DependencyVectorType Derived from Description Represents the manifest information of a Software Cluster.



### 9.1.26 DependencyType

### [SWS\_UCM\_00314] Definition of ImplementationDataType DependencyType

Upstream requirements: RS\_UCM\_00007, RS\_VUCM\_00037

_	
- I	

Name	DependencyType	
Namespace	ara::ucm	
Kind	STRUCTURE	
Sub-elements	dependencyRole DependencyRoleType	
	category StringType	
	logicalOperator LogicalOperationType	
	compareCondition DependencyCompareConditionType	
Derived from	-	
Description	Represents dependencies between Software Clusters	

### 9.1.27 DependencyVectorType

# [SWS\_UCM\_00313] Definition of ImplementationDataType DependencyVector Type

Upstream requirements: RS\_UCM\_00007, RS\_VUCM\_00037

Γ

Name	DependencyVectorType	
Namespace	ara::ucm	
Kind	VECTOR <dependencytype></dependencytype>	
Derived from	-	
Description	Represents a vector of dependencies between Software Clusters	



### 9.1.28 DependencyRoleType

### [SWS\_UCM\_00318] Definition of ImplementationDataType DependencyRoleType

Upstream requirements: RS\_UCM\_00007, RS\_VUCM\_00037

Γ

Name	DependencyRoleType		
Namespace	ara::ucm		
Kind	TYPE_REFERENCE		
Derived from	uint8_t		
Description	Represents the logical operation to be applied between dependencies of Software Clusters		
Range / Symbol	Limit	Description	
kDependOn	0x00	depends of	
kConflictsTo	0x01	conflicts to	

⅃

# 9.1.29 LogicalOperationType

#### [SWS\_UCM\_00317] Definition of ImplementationDataType LogicalOperationType

Upstream requirements: RS\_UCM\_00007, RS\_VUCM\_00037

Γ

Name	LogicalOperation	LogicalOperationType	
Namespace	ara::ucm	ara::ucm	
Kind	TYPE_REFEREN	TYPE_REFERENCE	
Derived from	uint8_t	uint8_t	
Description	Represents the logical operation to be applied between dependencies of Software Clusters		
Range / Symbol	Limit	Description	
kLogicalAnd	0x00	Logical AND	
kLogicalOr	0x01	Logical OR	

⅃



## 9.1.30 DependencyCompareConditionType

# [SWS\_UCM\_00315] Definition of ImplementationDataType DependencyCompare ConditionType

Upstream requirements: RS\_UCM\_00007, RS\_VUCM\_00037

Γ

Name	DependencyCompareConditionType	
Namespace	ara::ucm	
Kind	STRUCTURE	
Sub-elements	targetSwCluster SwNameVersionType	
	dependencyOperator DependencyOperatorType	
	considerBuildNumber bool	
Derived from	-	
Description	operator to be applied to target Software Cluster's version	

┘

#### 9.1.31 DependencyOperatorType

# [SWS\_UCM\_00316] Definition of ImplementationDataType DependencyOperator Type

Upstream requirements: RS\_UCM\_00007, RS\_VUCM\_00037

Γ

Name	DependencyOp	DependencyOperatorType	
Namespace	ara::ucm	ara::ucm	
Kind	TYPE_REFERE	NCE	
Derived from	uint8_t	uint8_t	
Description	Represents the	Represents the dependency operator to be applied between versions of Software Cluster	
Range / Symbol	Limit	Description	
kGreaterThan	0x00	Greater than	
kEqual	0x01	Equal	
kLessThan	0x02	Less than	
kGreaterThanOrEqual	0x03	Greater than or equal	
kLessThanOrEqual	0x04	Less than or equal	

⅃



# 9.2 Provided Service Interfaces

#### 9.2.1 Package Management

This chapter lists all provided service interfaces of the UCM.

Port

#### [SWS\_UCM\_00073] Definition of Port PackageManagement provided by functional cluster UCM

Upstream requirements: RS\_UCM\_00001, RS\_UCM\_00003, RS\_UCM\_00004

Name	PackageManagement		
Kind	ProvidedPort	Interface	PackageManagement
Description	Provide services like receiving, processing and activating Software Packages. Providing history, update status and Software Package and Software Cluster information.		
Variation			

Γ

#### Service Interface

#### [SWS\_UCM\_00131] Definition of ServiceInterface PackageManagement

Upstream requirements: RS\_UCM\_00001, RS\_UCM\_00002, RS\_UCM\_00008, RS\_UCM\_00010, RS\_UCM\_00011, RS\_UCM\_00015, RS\_UCM\_00018, RS\_UCM\_00021, RS\_UCM\_00023, RS\_UCM\_00024, RS\_UCM\_00025, RS\_UCM\_00032

Name	PackageManagement		
Namespace	ara::ucm		
Version	1.0		
Fields	CurrentStatus		
Methods	• GetId		
	• RegisterSoftwarePackage		
	• TransferStart		
	• TransferData		
	• TransferExit		
	• DeleteTransfer		
	• ProcessSwPackage		
	• RevertProcessedSwPackages		
	• Cancel		
	• Activate		
	• Rollback		
	$\overline{\nabla}$		



$\bigtriangleup$				
	• Finish			
	• GetHistory			
	• GetSwClusterChangeInfo			
	• GetSwClusterInfo			
	• GetSwClusterManifestInfo			
	• GetSwPackages			
	• GetProgress			
	• Suspend			
	• Resume			

# Ţ

#### [SWS\_UCM\_00361] Definition of Field PackageManagement.CurrentStatus

Upstream requirements: RS\_UCM\_00018, RS\_UCM\_00024

Γ

Field	CurrentStatus
Description	The current status of UCM.
Version	1.0
Туре	CurrentStatusType
HasGetter	true
HasNotifier	true
HasSetter	false
Enclosing Service Interface	PackageManagement

#### [SWS\_UCM\_00343] Definition of Method PackageManagement.GetId

Upstream requirements: RS\_UCM\_00001, RS\_UCM\_00002, RS\_UCM\_00004, RS\_UCM\_00010

Method	GetId	
Description	Get the UCM Instance Identifier.	
Version	1.0	
FireAndForget	false	
Parameter	id	
	Description UCM Module Instantiation Identifier.	
	Type         UCMIdentifierType	
	Variation	
	Direction	OUT



 $\triangle$ 

Enclosing Service Interface	PackageManagement
-----------------------------------	-------------------

⅃

# [SWS\_UCM\_00344] Definition of Method PackageManagement.RegisterSoftware Package

Upstream requirements: RS\_UCM\_00019, RS\_UCM\_00025

Γ

Method	RegisterSoftwarePackage	
Description	registers a package that could already be in Machine's file system or to be downloaded.	
Version	1.0	
FireAndForget	false	
Parameter	uri	
	Description	uri pointing to software package.
	Туре	UriString
	Variation	
	Direction	IN
Parameter	transferld	
	Description	transfer identifier.
	Туре	TransferIdType
	Variation	
	Direction	OUT
Application Errors	kInvalidUri	Provided URI is not valid.
Enclosing Service Interface	PackageManagement	

#### 

#### [SWS\_UCM\_00345] Definition of Method PackageManagement.TransferStart

Upstream requirements: RS\_UCM\_00019, RS\_UCM\_00025

Γ

Method	TransferStart		
Description	Start the transfer of a Software Package after having received a Vehicle Package. The size of the Software Package to be transferred to UCM must be provided. UCM will generate a Transfer ID for subsequent calls to TransferData, TransferExit, ProcessSwPackage, DeleteTransfer.		
Version	1.0		
FireAndForget	false		
Parameter	size           Description         Size (in bytes) of the Software Package to be transferred.		
	Type uint64_t		

 $\bigtriangledown$ 



 $\triangle$ 

	Variation	
	Direction	IN
Parameter	id	
	Description	Return TransferId.
	Туре	TransferIdType
	Variation	
	Direction	OUT
Parameter	blockSize	
	Description	Size of the blocks to be received with TransferData method.
	Туре	uint32_t
	Variation	
	Direction	OUT
Application Errors	kMemoryIn- sufficient	Insufficient memory to perform operation.
Enclosing Service Interface	PackageManage	ement

## [SWS\_UCM\_00346] Definition of Method PackageManagement.TransferData

Upstream requirements: RS\_UCM\_00019, RS\_UCM\_00025

### Γ

Method	TransferData	
Description	Block-wise transfer of a Software Package to UCM.	
Version	1.0	
FireAndForget	false	
Parameter	id	
	Description	Transfer ID.
	Туре	TransferIdType
	Variation	
	Direction	IN
Parameter	data	
	Description	Data block of the Software Package.
	Туре	ByteVectorType
	Variation	
	Direction	IN
Parameter	blockCounter	
	Description	Block counter value of the current block.
	Туре	uint64_t
	Variation	
	Direction	IN
Application Errors	kOpera- tionNotPer- mitted	The operation is not supported in the current context.
Application Errors	kTransferId- Invalid	The Transfer ID is invalid.

 $\nabla$ 



		$\bigtriangleup$
Application Errors	kBlockIncor- rect	The same block number is received twice.
Application Errors	kBlock- SizeIncor- rect	The size of the block exceeds the provided block size from TransferStart or Transfer VehiclePackage.
Application Errors	kSizeIncor- rect	The size of the Software or Vehicle Package exceeds the provided size in Transfer Start.
Application Errors	kMemoryIn- sufficient	Insufficient memory to perform operation.
Application Errors	kTransfer- Failed	UCM cannot persist transferred block.
Application Errors	kBlockIncon- sistent	Consistency check for transferred block failed.
Application Errors	kPackageFor- matUnsup- ported	The Vehicle Package or Software Package archiving format is not supported.
Application Errors	kAuthentica- tionFailed	Package authentication failed.
Application Errors	kPackageMan- ifestInvalid	Package manifest could not be read.
Application Errors	kPackageVer- sionIncom- patible	The version of the Software or Vehicle Package to be processed is not compatible with the current version of UCM or V-UCM.
Application Errors	kPackageIn- consistent	Package integrity check failed.
Application Errors	kSwclRe- movalDenied	Attempt to remove PLATFORM_CORE Software Cluster.
Application Errors	kOldVersion	Software Package version is too old.
Enclosing Service Interface	PackageManager	ment

## [SWS\_UCM\_00347] Definition of Method PackageManagement.TransferExit

Upstream requirements: RS\_UCM\_00019, RS\_UCM\_00025

```
Γ
```

	(	
Method	TransferExit	
Description	Finish the transfer	of a Software Package to UCM.
Version	1.0	
FireAndForget	false	
Parameter	id	
	Description	Transfer ID of the currently running request.
	Туре	TransferIdType
	Variation	
	Direction	IN
Application Errors	kOpera- tionNotPer- mitted	The operation is not supported in the current context.
Application Errors	kTransferId- Invalid	The Transfer ID is invalid.

 $\bigtriangledown$ 



 $\triangle$ 

Application Errors	kDataInsuf- ficient	TransferExit has been called but total transferred data size does not match expected data size provided with TransferStart call.
Application Errors	kAuthentica- tionFailed	Package authentication failed.
Application Errors	kPackageFor- matUnsup- ported	The Vehicle Package or Software Package archiving format is not supported.
Application Errors	kPackageIn- consistent	Package integrity check failed.
Application Errors	kPackageVer- sionIncom- patible	The version of the Software or Vehicle Package to be processed is not compatible with the current version of UCM or V-UCM.
Application Errors	kPackageMan- ifestInvalid	Package manifest could not be read.
Application Errors	kSwclRe- movalDenied	Attempt to remove PLATFORM_CORE Software Cluster.
Application Errors	kOldVersion	Software Package version is too old.
Enclosing Service Interface	PackageManager	nent

## [SWS\_UCM\_00348] Definition of Method PackageManagement.DeleteTransfer

Upstream requirements: RS\_UCM\_00019, RS\_UCM\_00025

Γ

Method	DeleteTransfer		
Description	Delete a transferre	Delete a transferred Software Package.	
Version	1.0		
FireAndForget	false	false	
Parameter	id		
	Description	Transfer ID of the currently running request.	
	Туре	TransferIdType	
	Variation		
	Direction	IN	
Application Errors	kTransferId- Invalid	The Transfer ID is invalid.	
Application Errors	kOpera- tionNotPer- mitted	The operation is not supported in the current context.	
Enclosing Service Interface	PackageManagement		



Γ

## [SWS\_UCM\_00349] Definition of Method PackageManagement.ProcessSwPackage

Upstream requirements: RS\_UCM\_00005, RS\_UCM\_00015, RS\_UCM\_00026

Method	ProcessSwPackage	
Description	Process a previously transferred Software Package or a partly transferred Software Package from a stream.	
Version	1.0	
FireAndForget	false	
Parameter	id	
	Description	The Transfer ID of the Software Package which should be processed.
	Туре	TransferIdType
	Variation	
	Direction	IN
Application Errors	kOpera- tionNotPer- mitted	The operation is not supported in the current context.
Application Errors	kServiceBusy	Another processing is already ongoing and therefore the current processing reques has to be rejected.
Application Errors	kTransferId- Invalid	The Transfer ID is invalid.
Application Errors	kAuthentica- tionFailed	Package authentication failed.
Application Errors	kPackageVer- sionIncom- patible	The version of the Software or Vehicle Package to be processed is not compatible with the current version of UCM or V-UCM.
Application Errors	kPackageMan- ifestInvalid	Package manifest could not be read.
Application Errors	kSoft- wareCluster- Missing	The Software Cluster is not present in the Machine.
Application Errors	kDeltaIncom- patible	Delta package dependency check failed.
Application Errors	kMemoryIn- sufficient	Insufficient memory to perform operation.
Application Errors	kChecksumDe- scriptionIn- valid	Checksum attribute not recognised.
Application Errors	kProcessed- Soft- warePack- ageInconsis- tent	The processed Software Package integrity check has failed.
Application Errors	kSwclRe- movalDenied	Attempt to remove PLATFORM_CORE Software Cluster.
Application Errors	kOldVersion	Software Package version is too old.
Application Errors	kProcessSw- PackageCan- celed	The processing operation has been interrupted by a Cancel() call.
Enclosing Service Interface	PackageManagement	



# [SWS\_UCM\_00350] Definition of Method PackageManagement.RevertProcessed SwPackages

Upstream requirements: RS\_UCM\_00026

-

Method	RevertProcessedSwPackages	
Description	Revert the changes done by processing (ProcessSwPackage) of one or several software packages.	
Version	1.0	
FireAndForget	false	
Application Errors	kOpera- tionNotPer- mitted	The operation is not supported in the current context.
Application Errors	kNotAble- ToRevert- Packages	RevertProcessedSwPackages failed.
Enclosing Service Interface	PackageManagement	

## [SWS\_UCM\_00351] Definition of Method PackageManagement.Cancel

Upstream requirements: RS\_UCM\_00020

```
Γ
```

Method	Cancel	Cancel	
Description	This method aborts an ongoing processing of a Software Package.		
Version	1.0		
FireAndForget	false		
Parameter	id		
	Description	The Transfer ID.	
	Туре	TransferIdType	
	Variation		
	Direction	IN	
Application Errors	kOpera- tionNotPer- mitted	The operation is not supported in the current context.	
Application Errors	kTransferId- Invalid	The Transfer ID is invalid.	
Enclosing Service Interface	PackageManager	nent	



## [SWS\_UCM\_00352] Definition of Method PackageManagement.Activate

Upstream requirements: RS\_UCM\_00021, RS\_UCM\_00030

Method Activate Description This method activates the Software Clusters extracted from the processed Software Packages. Version 1.0 FireAndForget false Application kOpera-The operation is not supported in the current context. Errors tionNotPermitted Application kDependen-Activation is not allowed because dependencies are missing. Errors cyMissing Application kPersisten-UCM failed to allocate persistent data. Errors cyAllocationFailed Application kUpdateSes-Start of an update session was rejected by State Management Errors sionRejected Application Error during update preparation step. kPrepareUp-Errors dateFailed Application kVerifica-State Management returned verification failure Errors tionFailed Enclosing PackageManagement Service Interface

Γ

## [SWS\_UCM\_00353] Definition of Method PackageManagement.Rollback

Upstream requirements: RS\_UCM\_00008

Γ

Method	Rollback	
Description	Rollback the system to the state before the packages were processed.	
Version	1.0	
FireAndForget	false	
Application Errors	kOpera- tionNotPer- mitted	The operation is not supported in the current context.
Enclosing Service Interface	PackageManagement	

⅃



## [SWS\_UCM\_00354] Definition of Method PackageManagement.Finish

Upstream requirements: RS\_UCM\_00015

```
Γ
```

Method	Finish	
Description	This method finishes the processing for the current set of processed Software Packages. It does a cleanup of all data of the processing including the sources of the Software Packages.	
Version	1.0	
FireAndForget	false	
Application Errors	kOpera- tionNotPer- mitted	The operation is not supported in the current context.
Enclosing Service Interface	PackageManagement	

⅃

## [SWS\_UCM\_00355] Definition of Method PackageManagement.GetHistory

Upstream requirements: RS\_UCM\_00032

Γ

Method	GetHistory							
Description	Getter method to	retrieve all actions that have been performed by UCM.						
Version	1.0							
FireAndForget	false							
Parameter	timestampGE							
	Description	Earliest timestamp (inclusive)						
	Туре	uint64_t						
	Variation							
	Direction	IN						
Parameter	timestampLT							
	Description Latest timestamp (exclusive)							
	Туре	Type uint64_t						
	Variation	on						
	Direction	irection IN						
Parameter	history							
	<b>Description</b> The history of all actions that have been performed by UCM.							
	Type HistoryVectorType							
	Variation							
	Direction	OUT						
Enclosing Service Interface	PackageManagement							



# [SWS\_UCM\_00356] Definition of Method PackageManagement.GetSwCluster ChangeInfo

Upstream requirements: RS\_UCM\_00011, RS\_UCM\_00018

Γ

Method	GetSwClusterChangeInfo							
Description	This method returns a list pending changes to the set of SoftwareClusters on the adaptive platform. The returned list includes all SoftwareClusters that are to be added, updated or removed. The list of changes is extended in the course of processing Software Packages.							
Version	1.0							
FireAndForget	false							
Parameter	swInfo							
	Description	Description List of SoftwareClusters that are in state kAdded,kUpdating or kRemoved.						
	Туре	Type SwClusterInfoVectorType						
	Variation	Variation						
	Direction	OUT						
Enclosing Service Interface	PackageManagement							

## [SWS\_UCM\_00357] Definition of Method PackageManagement.GetSwClusterInfo

Upstream requirements: RS\_UCM\_00002

Γ

Method	GetSwClusterInfo								
Description	This method returns a list with information of all SoftwareClusters that are in state kPresent.								
Version	1.0								
FireAndForget	false								
Parameter	swInfo								
	Description	List of installed SoftwareClusters that are in state kPresent.							
	Туре	Type SwClusterInfoVectorType							
	Variation	Variation							
	Direction OUT								
Enclosing Service Interface	PackageManagement								

┘



# [SWS\_UCM\_00358] Definition of Method PackageManagement.GetSwCluster ManifestInfo

Upstream requirements: RS\_UCM\_00002

Method	GetSwClusterManifestInfo					
Description	This method retu	Irns the general information of a Software Cluster that are in state kPresent.				
Version	1.0					
FireAndForget	false					
Parameter	swClusterName					
	Description	Name of the Software Cluster that is in state kPresent.				
	Туре	SwClusterNameType				
	Variation					
	Direction	IN				
Parameter	swInfo					
	Description	Manifest information of Software Cluster at state kPresent.				
	Туре	SwClusterManifestInfoType				
	Variation					
	Direction	OUT				
Enclosing Service Interface	PackageManag	ement				

### 

Γ

#### [SWS\_UCM\_00359] Definition of Method PackageManagement.GetSwPackages

Upstream requirements: RS\_UCM\_00011, RS\_UCM\_00018

Γ

Method	GetSwPackages							
Description	This method return	ns the Software Packages that available in UCM.						
Version	1.0							
FireAndForget	false							
Parameter	packages							
	Description List of Software Packages.							
	Type         SwPackageInfoVectorType							
	Variation	Variation						
	Direction OUT							
Enclosing Service Interface	PackageManagement							



## [SWS\_UCM\_00360] Definition of Method PackageManagement.GetProgress

Upstream requirements: RS\_UCM\_00011, RS\_UCM\_00018

```
Г
```

Method	GetProgress							
Description	Get the progress i	nformation of the currently active state in the Package Management state machine.						
Version	1.0							
FireAndForget	false							
Parameter	progressInformation							
	Description	The progress information of the current active state in the Package Management state machine.						
	Туре	ProgressInformationType						
	Variation	Variation						
	Direction OUT							
Enclosing Service Interface	PackageManagement							

```
⅃
```

Γ

## [SWS\_UCM\_00397] Definition of Method PackageManagement.Suspend

Upstream requirements: RS\_UCM\_00047

```
Method
                  Suspend
Description
                  This method suspends ongoing time consuming actions or actions related to specific states.
Version
                  1.0
FireAndForget
                  false
Application
                  kOpera-
                                     The operation is not supported in the current context.
Errors
                  tionNotPer-
                  mitted
Enclosing
                  PackageManagement
Service
Interface
```

## ┘

## [SWS\_UCM\_00398] Definition of Method PackageManagement.Resume

Upstream requirements: RS\_UCM\_00047

Γ

Method	Resume
Description	This method resumes ongoing time consuming actions or actions related to specific states.
Version	1.0
FireAndForget	false

 $\bigtriangledown$ 



$\Delta$					
Application Errors	kOpera- tionNotPer- mitted	The operation is not supported in the current context.			
Enclosing Service Interface	PackageManagem	nent			

## 9.3 Required Interface

### 9.3.1 State Management Update Request

UCM requires the UpdateRequest Service Interface [SWS\_SM\_91017] provided by State Management

Port

# [SWS\_UCM\_00288] Definition of Port UpdateRequest required by functional cluster UCM $\car{\car{l}}$

Name	UpdateRequest				
Kind	RequiredPort Interface UpdateRequest				
Description	The UpdateRequest interface is intended to be used by UCM to interact with StateManagement to perform updates, installation and removal of SoftwareClusters.				
Variation					

## 9.4 Application Errors

## 9.4.1 Application Error Domain

#### 9.4.1.1 UCMErrorDomain

This section lists all application errors of the UCM.



# [SWS\_UCM\_00136] Definition of Application Error Domain of functional cluster UCM

*Upstream requirements:* RS\_UCM\_00006, RS\_UCM\_00007, RS\_UCM\_00012, RS\_UCM\_00013, RS\_UCM\_00014

Γ

Name	Code	Description		
kAuthenticationFailed	8	Package authentication failed.		
kBlockInconsistent	25	Consistency check for transferred block failed.		
kBlockIncorrect	2	The same block number is received twice.		
kBlockSizeIncorrect	30	The size of the block exceeds the provided block size from Transfer Start or TransferVehiclePackage.		
kChecksumDescriptionInvalid	35	Checksum attribute not recognised.		
kDataInsufficient	6	TransferExit has been called but total transferred data size does not match expected data size provided with TransferStart call.		
kDeltaIncompatible	29	Delta package dependency check failed.		
kDependencyMissing	21	Activation is not allowed because dependencies are missing.		
kInvalidUri	43	Provided URI is not valid.		
kMemoryInsufficient	1	Insufficient memory to perform operation.		
kNotAbleToRevertPackages	15	RevertProcessedSwPackages failed.		
kOldVersion	9	Software Package version is too old.		
kOperationNotPermitted	5	The operation is not supported in the current context.		
kPackageFormatUnsupported	40	The Vehicle Package or Software Package archiving format is not supported.		
kPackageInconsistent	7	Package integrity check failed.		
kPackageManifestInvalid	13	Package manifest could not be read.		
kPackageUnexpected	32	The Software Package name does not correspond to the RequestedPackage field value.		
kPackageVersionIncompatible	24	The version of the Software or Vehicle Package to be processed is not compatible with the current version of UCM or V-UCM.		
kPersistencyAllocationFailed	41	UCM failed to allocate persistent data.		
kPrepareUpdateFailed	19	Error during update preparation step.		
kProcessSwPackageCanceled	22	The processing operation has been interrupted by a Cancel() call.		
kProcessedSoftwarePackageInconsistent	23	The processed Software Package integrity check has failed.		
kServiceBusy	12	Another processing is already ongoing and therefore the current processing request has to be rejected.		
kSizeIncorrect	SizeIncorrect 3 The size of the Software or Vehicle Package e size in TransferStart.			
kSoftwareClusterMissing	37	The Software Cluster is not present in the Machine.		
kSwclRemovalDenied	39	Attempt to remove PLATFORM_CORE Software Cluster.		
kTransferFailed	38	UCM cannot persist transferred block.		
kTransferIdInvalid	4	The Transfer ID is invalid.		
kUpdateSessionRejected	33	Start of an update session was rejected by State Management		
kVerificationFailed	36	State Management returned verification failure		

Ţ



# 10 Configuration

The configuration model of this functional cluster is defined in [7]. This chapter defines the default values for attributes and semantic constraints for elements specified in [7] that are part of the configuration model of this functional cluster.

## 10.1 Default Values

This functional cluster does not define any default values for attributes specified in [7].

## **10.2 Semantic Constraints**

This functional cluster does not define any semantic constraints for elements specified in [7].



# **11** Sequence diagrams

The following sequence charts are simplified examples and have no normative meaning. The relevant definitions are in chapter 7 only.

## 11.1 Update process

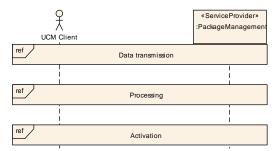


Figure 11.1: Sequence diagram showing the update process

## 11.2 Data transmission

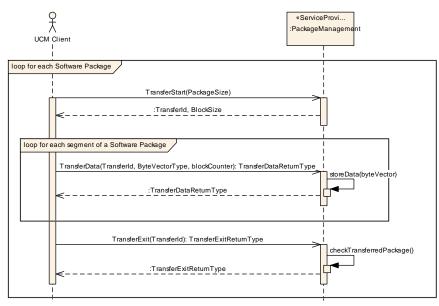


Figure 11.2: Sequence diagram showing the data transmission



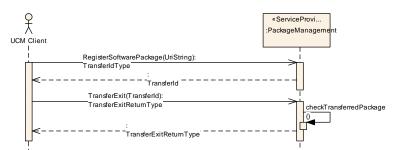


Figure 11.3: Sequence diagram showing Software Package registration

# 11.3 Package processing

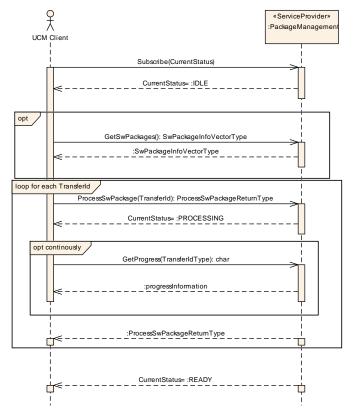


Figure 11.4: Sequence diagram showing the package processing



Specification of Update and Configuration Management AUTOSAR AP R24-11

## 11.4 Activation

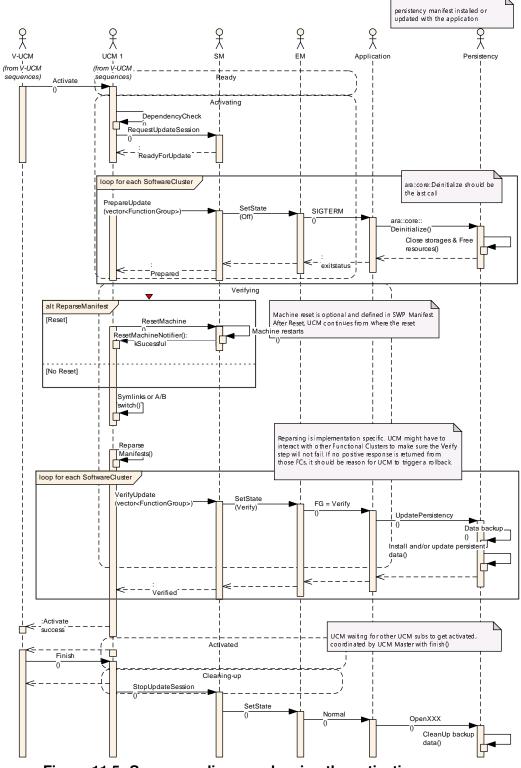


Figure 11.5: Sequence diagram showing the activation process



# 11.5 Failing activation

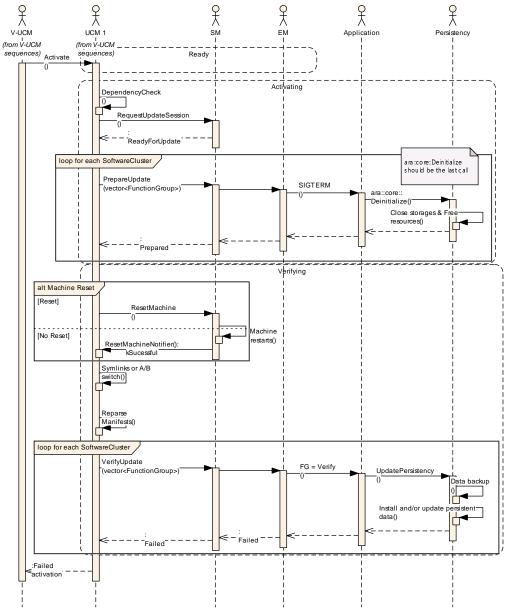


Figure 11.6: Sequence diagram showing an activation failing



Specification of Update and Configuration Management AUTOSAR AP R24-11

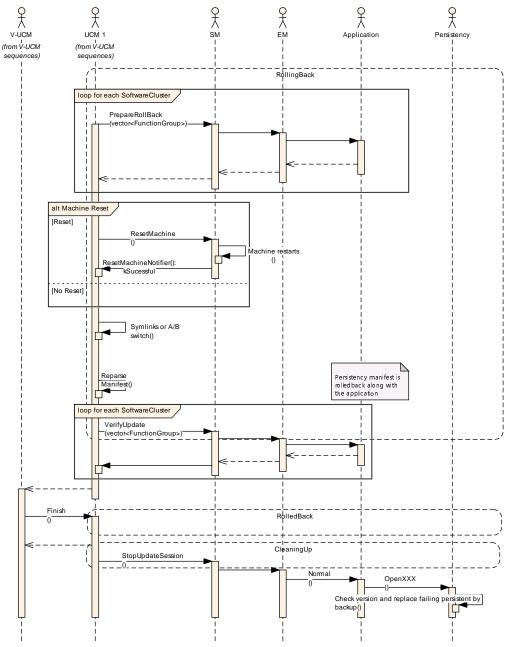
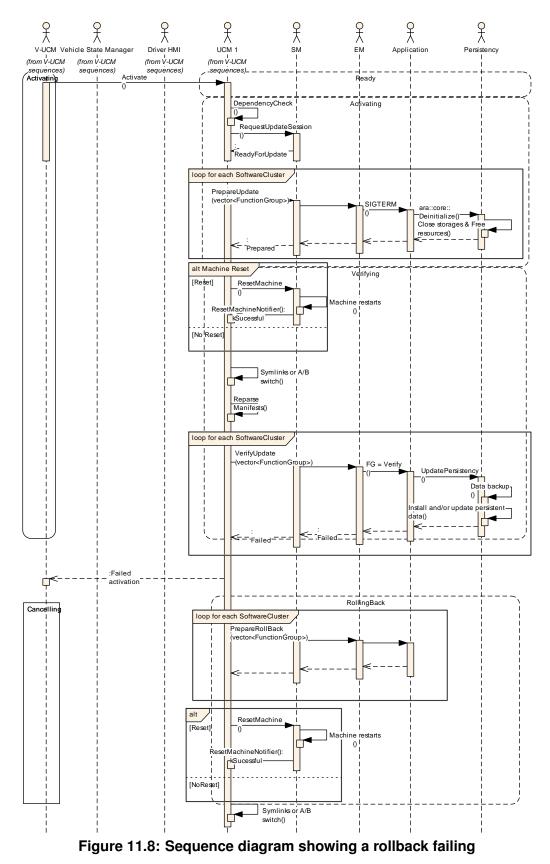


Figure 11.7: Sequence diagram showing an activation failing

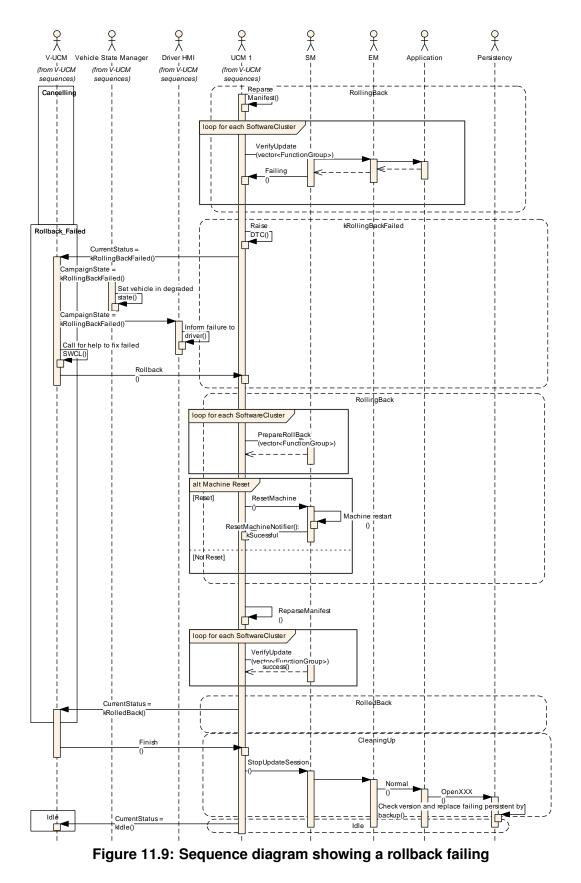


# 11.6 Failing rollback





Specification of Update and Configuration Management AUTOSAR AP R24-11





# 11.7 V-UCM simplified vehicle update

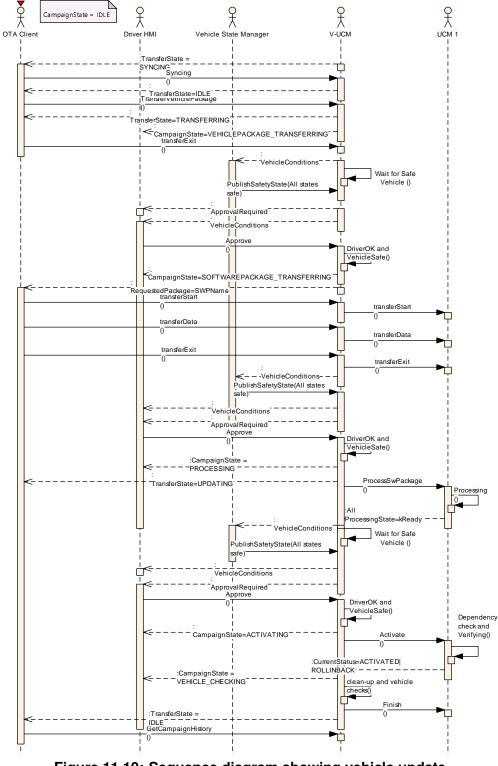


Figure 11.10: Sequence diagram showing vehicle update



# A Mentioned Manifest Elements

For the sake of completeness, this chapter contains a set of class tables representing meta-classes mentioned in the context of this document but which are not contained directly in the scope of describing specific meta-model semantics.

Chapter is generated.

Class	ArtifactChecksum				
Package	M2::AUTOSARTemplates::AdaptivePlatform::SoftwareDistribution				
Note	This meta-class provides	the ability	to associa	ate a checksum with a given artifact identified by its URI.	
Base	ARObject, Identifiable, Mu	ARObject, Identifiable, MultilanguageReferrable, Referrable			
Aggregated by	SoftwareCluster.artifactChecksum				
Attribute	Туре	Mult.	Kind	Note	
checksumValue	String	01	attr	This attributes carries the serialized checksum of the corresponding artifact.	
uri	UriString	01	attr	This attribute represents the URI of the artifact on which the checksum shall be computed.	
				Stereotypes: atpldentityContributor	

#### Table A.1: ArtifactChecksum

Class	CryptoServiceCertificate				
Package	M2::AUTOSARTemplates::SystemTemplate::SecureCommunication				
Note	This meta-class represent	s the abili	ty to mod	el a cryptographic certificate.	
	Tags: atp.recommendedF	ackage=0	CryptoSer	viceCertificates	
Base		ARElement, ARObject, CollectableElement, Identifiable, MultilanguageReferrable, Packageable Element, Referrable, UploadableDesignElement, UploadablePackageElement			
Aggregated by	ARPackage.element				
Attribute	Туре	Mult.	Kind	Note	
algorithmFamily	CryptoCertificate AlgorithmFamilyEnum	01	attr	This attribute represents a description of the family of crypto algorithm used to generate public key and signature of the cryptographic certificate.	
format	CryptoCertificateFormat Enum	01	attr	This attribute can be used to provide information about the format used to create the certificate	
maximum Length	PositiveInteger	01	attr	This attribute represents the ability to define the maximum length of the certificate in bytes.	
nextHigher Certificate	CryptoService Certificate	01	ref	The reference identifies the next higher certificate in the certificate chain.	
serverName Identification	String	01	attr	Server Name Indication (SNI) is needed if the IP address hosts multiple servers (on the same port), each of them using a different certificate.	
				If the client sends the SNI to the Server in the client hello, the server looks the SNI up in its certificate list and uses the certificate identified by the SNI.	

Table A.2: CryptoServiceCertificate



Specification of Update and Configuration Management AUTOSAR AP R24-11

Class	Identifiable (abstract)
Package	M2::AUTOSARTemplates::GenericStructure::GeneralTemplateClasses::Identifiable
Note	Instances of this class can be referred to by their identifier (within the namespace borders). In addition to this, Identifiables are objects which contribute significantly to the overall structure of an AUTOSAR description. In particular, Identifiables might contain Identifiables.
Base	ARObject, MultilanguageReferrable, Referrable
Subclasses	ARPackage, AbstractDolpLogicAddressProps, AbstractEvent, AbstractFunctionalClusterDesign, AbstractImplementationDataTypeElement, AbstractSecurityEventFilter, AbstractSecurityAtismInstance Filter, AbstractServiceInstance, AbstractSignalBasedTolSignalTiggeringMapping, AdaptiveSwoInternal Behavior, ApApplicationEndpoint, ApmcAbstractDefinition, ApmcConfigurationElementDef, Apmc ContainerElementValue, ApmcContainerValue, ApmcEnumerationLiteraIDef, ApplicationEndpoint, ApplicationEnror, AppliedStandard, ArtifactChecksum, ArtifactLocator, ApBluegrint, AtpBlueprintable, ApplicationEnror, AppleStandard, ArtifactChecksum, AntifactLocator, ApBluegrint, AtpBlueprintable, ApplicationEnror, AppletedStandard, ArtifactChecksum, AntifactLocator, ApBluegrintable, ApplicationEnror, AppletedStandard, ArtifactChecksum, ComManagementMapping, Comm ConnectorPort, CommunicationConnector, CommunicationController, Compiler, ConsistencyNeeds, ConsumedEventGroup, CouplingPort, CouplingPortAbstractShage-CouplingPortStructuralElement, CryptoServiceMapping, DataPrototypeGroup, DataPrototypeTransformationPropoleInt, Data Transformation, DdsCpDomain, DdsCpDeartion, DdsCpOosProfile, DdsCpTopic, DdsDomainRange, DependencyOnArtifact, DiagEventDebourceAlgorithm, DiagnosticDebourceAlgorithmProps, Diagnostic FunctionInhibitSource, DiagnosticParameterElement, DiagnosticDebourceAlgorithmProps, Diagnostic FunctionInhibitSource, DiagnosticParameterElement, DiagnosticDebourceAlgorithmProps, Diagnostic FunctionInhibitSource, DiagnosticParameterElement, PlagnosticAutineSubfunction, DiagnosticSov HethodPrintex, DitAppletion, DHK-aptureRestriction, FMFeatureMapCondition, FMFeatureMap Element, FMFeatureRelation, FMFeatureRestriction, FMFeatureMapCondition, FMFeatureMap Element, FMFeatureRelation, FMFeatureRestriction, FMFeatureMapCondition, FMFeatureMap Element, FMFeatureRelation, FMFeatureRestriction, FMFeatureMapping, SignalTinggering, IdentCapton, Imposition, GlobalTimeGateway, GlobalTimeMaster, GlobalTimedAster, GlobalTimedAster, GlobalTimedAster, RolfAdr
	TransformationTechnology, Trigger, UcmDescription, UcmRetryStrategy, UcmStep, VariableAccess, VariationPointProxy, VehicleRolloutStep, ViewMap, VlanConfig, WaitPoint



Class	Identifiable (abstract)			
adminData	AdminData	01	aggr	This represents the administrative data for the identifiable object. Stereotypes: atpSplitable Tags: atp.Splitkey=adminData
		*		xml.sequenceOffset=-40
annotation	Annotation	*	aggr	Possibility to provide additional notes while defining a model element (e.g. the ECU Configuration Parameter Values). These are not intended as documentation but are mere design notes.
				Tags: xml.sequenceOffset=-25
category	CategoryString	01	attr	The category is a keyword that specializes the semantics of the Identifiable. It affects the expected existence of attributes and the applicability of constraints.
				Tags: xml.sequenceOffset=-50
desc	MultiLanguageOverview Paragraph	01	aggr	This represents a general but brief (one paragraph) description what the object in question is about. It is only one paragraph! Desc is intended to be collected into overview tables. This property helps a human reader to identify the object in question.
				More elaborate documentation, (in particular how the object is built or used) should go to "introduction".
				Tags: xml.sequenceOffset=-60
introduction	DocumentationBlock	01	aggr	This represents more information about how the object in question is built or is used. Therefore it is a DocumentationBlock.
				Tags: xml.sequenceOffset=-30
uuid	String	01	attr	The purpose of this attribute is to provide a globally unique identifier for an instance of a meta-class. The values of this attribute should be globally unique strings prefixed by the type of identifier. For example, to include a DCE UUID as defined by The Open Group, the UUID would be preceded by "DCE:". The values of this attribute may be used to support merging of different AUTOSAR models. The form of the UUID (Universally Unique Identifier) is taken from a standard defined by the Open Group (was Open Software Foundation). This standard is widely used, including by Microsoft for COM (GUIDs) and by many companies for DCE, which is based on CORBA. The method for generating these 128-bit IDs is published in the standard and the effectiveness and uniqueness of the IDs is not in practice disputed. If the id namespace is omitted, DCE is assumed. An example is "DCE:2fac1234-31f8-11b4-a222-08002b34c003". The uuid attribute has no semantic meaning for an AUTOSAR model and there is no requirement for AUTOSAR tools to manage the timestamp.
				Tags: xml.attribute=true

 $\triangle$ 

Table A.3: Identifiable



Class	PersistencyDeployment (abstract)						
Package	M2::AUTOSARTemplates::AdaptivePlatform::PlatformModuleDeployment::Persistency						
Note	This abstract meta-class s persistency.	erves as	a base cla	ass for concrete classes representing different aspects of			
Base		adableDe		Identifiable, MultilanguageReferrable, Packageable Element, UploadableExclusivePackageElement,			
Subclasses	PersistencyFileStorage, P	ersistency	KeyValue	Storage			
Aggregated by	ARPackage.element						
Attribute	Туре	Mult.	Kind	Note			
deploymentUri (ordered)	PersistencyDeployment Uri	*	aggr	This aggregation represents the collection of URIs relevant for the enclosing PersistencyDeployment.			
maximum AllowedSize	PositiveUnlimitedInteger	01	attr	The value of this attribute represents the maximum size (unit: bytes) allowed at target-configuration time for the enclosing PersistencyDeployment.			
minimum SustainedSize	PositiveInteger	01	attr	The value of this attribute represents the minimum size (unit: bytes) guaranteed at deployment time for the enclosing PersistencyDeployment.			
redundancy Handling	PersistencyRedundancy Handling	*	aggr	This aggregation represents the chosen approaches to handle redundancy.			
updateStrategy	PersistencyCollection LevelUpdateStrategy Enum	01	attr	This attribute shall be used to specify the update strategy of the respective PersistencyDeployment as a whole.			
version	StrongRevisionLabel String	01	attr	The attribute represents the version of the PersistencyFileStorage Or PersistencyKeyValueStorage.			

## Table A.4: PersistencyDeployment

Class	PersistencyDeploymentUri						
Package	M2::AUTOSARTemplates	::Adaptive	Platform::	PlatformModuleDeployment::Persistency			
Note	This meta-class represen	This meta-class represents the ability to contain URIs relevant for the persistency deployment.					
Base	ARObject						
Aggregated by	PersistencyDeployment.c	leploymen	tUri				
Attribute	Туре	Type Mult. Kind Note					
uri	UriString	01	attr	This attribute holds the storage location for the concrete subclass of PersistencyDeployment, e.g. file on the file system.			

## Table A.5: PersistencyDeploymentUri

Class	ProcessToMachineMapping					
Package	M2::AUTOSARTemplates:	:Adaptive	Platform::	SubSystemDesign::MachineManifest		
Note	This meta-class has the ability to associate a Process with a Machine. This relation involves the definition of further properties, e.g. timeouts.					
Base	ARObject, Identifiable, Mu	ıltilanguag	geReferra	ble, Referrable		
Aggregated by	ProcessToMachineMappir	igSet.proc	cessToMa	chineMapping		
Attribute	Туре	Mult.	Kind	Note		
design	ProcessDesignTo MachineDesignMapping	01	ref	This reference represents the identification of the design-time representation for the ProcessToMachine Mapping that owns the reference.		



 $\triangle$ 

Class	ProcessToMachineMapping					
machine	Machine	01	ref	This reference identifies the Machine in the context of the ProcessToMachineMapping.		
nonOsModule Instantiation	NonOsModule Instantiation	01	ref	This supports the optional case that the process represents a platform module.		
persistency CentralStorage URI	UriString	01	attr	This attribute identifies a central place for the mapped Process to store the list of available storages and version information.		
process	Process	01	ref	This reference identifies the Process in the context of the ProcessToMachineMapping.		
shallNotRunOn	ProcessorCore	*	ref	This reference indicates a collection of cores onto which the mapped process shall not be executing.		
shallRunOn	ProcessorCore	*	ref	This reference indicates a collection of cores onto which the mapped process shall be executing.		

## Table A.6: ProcessToMachineMapping

Class	Referrable (abstract)						
Package	M2::AUTOSARTemplates::GenericStructure::GeneralTemplateClasses::Identifiable						
Note	Instances of this class can	be referr	ed to by tl	heir identifier (while adhering to namespace borders).			
Base	ARObject						
Subclasses	AtpDefinition, BswDistinguishedPartition, BswModuleCallPoint, BswModuleClientServerEntry, Bsw VariableAccess, CouplingPortTrafficClassAssignment, CppImplementationDataTypeContextTarget, DiagnosticEnvModeElement, EthernetPriorityRegeneration, ExclusiveAreaNestingOrder, HwDescription Entity, ImplementationProps, ModeTransition, MultilanguageReferrable, NmNetworkHandle, Pnc MappingIdent, SingleLanguageReferrable, SoConIPduldentifier, SocketConnectionBundle, Someip RequiredEventGroup, TimeSyncServerConfiguration, TpConnectionIdent						
Attribute	Туре	Mult.	Kind	Note			
shortName	Identifier	1	attr	This specifies an identifying shortName for the object. It needs to be unique within its context and is intended for humans but even more for technical reference.			
				Stereotypes: atpldentityContributor Tags: xml.enforceMinMultiplicity=true xml.sequenceOffset=-100			
shortName Fragment	ShortNameFragment	*	aggr	This specifies how the Referrable.shortName is composed of several shortNameFragments.			
				Tags: xml.sequenceOffset=-90			

### Table A.7: Referrable

Class	SoftwareCluster			
Package	M2::AUTOSARTemplates:	:Adaptive	Platform::	SoftwareDistribution
Note	This meta-class represents the ability to define an uploadable software-package, i.e. the SoftwareCluster shall contain all software and configuration for a given purpose.			
	Tags: atp.recommendedPackage=SoftwareClusters			
Base	ARElement, ARObject, CollectableElement, Identifiable, MultilanguageReferrable, Packageable Element, Referrable, UploadableDeploymentElement, UploadablePackageElement			
Aggregated by	ARPackage.element			
Attribute	Туре	Mult.	Kind	Note
			$\nabla$	



Class	SoftwareCluster			
artifact Checksum	ArtifactChecksum	*	aggr	This aggregation carries the checksums for artifacts contained in the enclosing SoftwareCluster. Please note that the value of these checksums is only applicable at the time of configuration.
				Stereotypes: atpSplitable Tags: atp.Splitkey=artifactChecksum.shortName, artifact Checksum.uri
artifactLocator	ArtifactLocator	*	aggr	This aggregation represents the artifact locations that are relevant in the context of the enclosing SoftwareCluster
claimed FunctionGroup	ModeDeclarationGroup Prototype	*	ref	Each SoftwareCluster can reserve the usage of a given functionGroup such that no other SoftwareCluster is allowed to use it
conflictsTo	SoftwareCluster DependencyFormula	01	aggr	This aggregation handles conflicts. If it yields true then the SoftwareCluster shall not be installed.
				Stereotypes: atpSplitable Tags: atp.Splitkey=conflictsTo
contained ARElement	ARElement	*	ref	This reference represents the collection of model elements that cannot derive from UploadablePackage Element and that contribute to the completeness of the definition of the SoftwareCluster.
				Stereotypes: atpSplitable Tags: atp.Splitkey=containedARElement
containedFibex Element	FibexElement	*	ref	This allows for referencing FibexElements that need to be considered in the context of a SoftwareCluster.
contained Package	UploadablePackage Element	*	ref	This reference identifies model elements that are required to complete the manifest content.
Element				Stereotypes: atpSplitable Tags: atp.Splitkey=containedPackageElement
contained Process	Process	*	ref	This reference represent the processes contained in the enclosing SoftwareCluster.
dependsOn	SoftwareCluster DependencyFormula	01	aggr	This aggregation can be taken to identify a dependency for the enclosing SoftwareCluster.
				Stereotypes: atpSplitable Tags: atp.Splitkey=dependsOn
design	SoftwareClusterDesign	*	ref	This reference represents the identification of all Software ClusterDesigns applicable for the enclosing Software Cluster.
				Stereotypes: atpUriDef
diagnostic Deployment Props	SoftwareCluster DiagnosticDeployment Props	01	ref	This reference identifies the applicable SoftwareCluster DiagnosticDeploymentProps that are applicable for the referencing SoftwareCluster.
installation Behavior	SoftwareCluster InstallationBehavior Enum	01	attr	This attribute controls the behavior of the SoftwareCluster in terms of installation.
license	Documentation	*	ref	This attribute allows for the inclusion of the full text of a license of the enclosing SoftwareCluster. In many cases open source licenses require the inclusion of the full license text to any software that is released under the respective license.
module Instantiation	AdaptiveModule Instantiation	*	ref	This reference identifies AdaptiveModuleInstantiations that need to be included with the SoftwareCluster in order to establish infrastructure required for the installation of the SoftwareCluster.
				Stereotypes: atpSplitable Tags: atp.Splitkey=moduleInstantiation



$\wedge$	
$\square$	

Class	SoftwareCluster			
releaseNotes	Documentation	01	ref	This attribute allows for the explanations of changes since the previous version. The list of changes might require the creation of multiple paragraphs of test.
typeApproval	String	01	attr	This attribute carries the homologation information that may be specific for a given country.
vendorld	PositiveInteger	01	attr	Vendor ID of this Implementation according to the AUTOSAR vendor list.
vendor Signature	CryptoService Certificate	01	ref	This reference identifies the certificate that represents the vendor's signature.
version	StrongRevisionLabel String	01	attr	This attribute can be used to describe a version information for the enclosing SoftwareCluster.

### Table A.8: SoftwareCluster

Enumeration	SoftwareClusterInstallationBehaviorEnum					
Package	M2::AUTOSARTemplates::AdaptivePlatform::SoftwareDistribution					
Note	This enumeration defines possible approaches for the installation behavior of a SoftwareCluster.					
Aggregated by	SoftwareCluster.installationBehavior					
Literal	Description					
canBeRemoved	The enclosing SoftwareCluster can be removed from the target Machine or updated with a newer version.					
	Tags: atp.EnumerationLiteralIndex=0					
cannotBeRemoved	The enclosing SoftwareCluster cannot be removed from the target Machine. It can only be updated with a newer version.					
	Tags: atp.EnumerationLiteralIndex=1					

### Table A.9: SoftwareClusterInstallationBehaviorEnum

Class	SoftwarePackage				
Package	M2::AUTOSARTemplates::AdaptivePlatform::SoftwareDistribution				
Note	This meta-class represents the ability to formalize the content of a software package. Tags: atp.recommendedPackage=SoftwarePackages				
Base		ARElement, ARObject, CollectableElement, Identifiable, MultilanguageReferrable, Packageable Element, Referrable, UploadableDeploymentElement, UploadablePackageElement			
Aggregated by	ARPackage.element	ARPackage.element			
Attribute	Туре	Mult.	Kind	Note	
actionType	SoftwarePackageAction TypeEnum	01	attr	This attribute defines the action to be taken in the step of processing the enclosing SoftwarePackage.	
activationAction	SoftwarePackage ActivationActionEnum	01	attr	This attribute governs the action to be taken after the installation of the SoftwareCluster completed.	
artifactLocator	ArtifactLocator	01	aggr	This attribute identifies the software package at configuration time, out of the context of an AUTOSAR model.	
compressed Software PackageSize	PositiveInteger	01	attr	This size represents the size of the compressed Software Package.	
deltaPackage Applicable Version	StrongRevisionLabel String	01	attr	This attribute identifies the version of the included SoftwareCluster for which the enclosing SoftwarePackage can be used as a delta update	



^	
/	

Class	SoftwarePackage			
estimated DurationOf Operation	TimeValue	01	attr	This attribute provides an estimation about how long the operation of the SoftwarePackage is going to take for its transfer, processing and activation when updated standalone (not within an update campaign)
minimum SupportedUcm Version	RevisionLabelString	01	attr	This attribute identifies the minimum supported version of the UCM for this SoftwarePackage.
packagerld	PositiveInteger	01	attr	This attribute identifies Id of the organization that provides the packager generating the SoftwarePackage.
packager Signature	CryptoService Certificate	01	ref	This reference identifies the certificate that represents the packager's signature.
purposeOf Update	Documentation	01	ref	The referenced Documentation is supposed to provide a description of the purpose of the update.
softwareCluster	SoftwareCluster	01	ref	This reference identifies the SoftwareCluster that belongs to the SoftwarePackage. The nature of this relation is actually more like an aggregation than a reference. But the relation is still modelled as a reference because two ARElements cannot aggregate each other.
uncompressed SoftwareCluster Size	PositiveInteger	01	attr	This attribute gives an indication about the storage that has to be available on the target.

## Table A.10: SoftwarePackage

Primitive	StrongRevisionLabelString
Package	M2::AUTOSARTemplates::GenericStructure::GeneralTemplateClasses::PrimitiveTypes
Note	This primitive represents a revision label which identifies an object under version control. It represents a pattern which requires three integer numbers separated by a dot, representing from left to right Major Version, MinorVersion, PatchVersion and additional labels for pre-release version and build metadata. Legal patterns are for example: 1.0.0-alpha+001 1.0.0+20130313144700 1.0.0-beta+exp.sha.5114f85
	Tags:         xml.xsd.customType=STRONG-REVISION-LABEL-STRING         xml.xsd.pattern=(0[[1-9]\d*\\.(

## Table A.11: StrongRevisionLabelString

Class	UcmModuleInstantiation (abstract)			
Package	M2::AUTOSARTemplates:	:Adaptive	Platform::	PlatformModuleDeployment::Ucm
Note	This meta-class represent	s the abili	ty to defin	e the target-configuration of a UCM instantiation.
Base	ARObject, AdaptiveModuleInstantiation, AtpClassifier, AtpFeature, AtpStructureElement, Identifiable, MultilanguageReferrable, NonOsModuleInstantiation, Referrable			
Subclasses	UcmMasterModuleInstantiation, UcmSubordinateModuleInstantiation			
Aggregated by	AtpClassifier.atpFeature, Machine.moduleInstantiation			
Attribute	Туре	Mult.	Kind	Note
identifier	String	01	attr	This represents the identification of a UCM.
maxBlockSize	PositiveInteger         01         attr         This attribute denotes the maximum block size (unit: bytes) used in the UCM implementation.			
	,		$\nabla$	



			$\triangle$	
Class	UcmModuleInstantiatio	n (abstract	t)	
version	StrongRevisionLabel String	01	attr	This attribute defines the software version of the UCM on this platform.
				Note that the definition of the version is required if the ability of the SoftwarePackage to require a minimum version of the UCM is utilized.

### Table A.12: UcmModuleInstantiation

Class	UcmRetryStrategy			
Package	M2::AUTOSARTemplates:	:Adaptive	Platform::	PlatformModuleDeployment::Ucm
Note	This meta-class describes the configuration of the retry strategy for a sub-class of UcmModule Implementation.			
Base	ARObject, Identifiable, Mu	ARObject, Identifiable, MultilanguageReferrable, Referrable		
Aggregated by	UcmMasterModuleInstantiation.blockInconsistent, UcmMasterModuleInstantiation.serviceBusy, Ucm MasterModuleInstantiation.ucmNotAvailableOnTheNetwork, UcmMasterModuleInstantiation.update SessionRejected, UcmSubordinateModuleInstantiation.prepareRollback, UcmSubordinateModule Instantiation.prepareUpdate, UcmSubordinateModuleInstantiation.verifyUpdate			
Attribute	Туре	Mult.	Kind	Note
maximum NumberOf Retries	PositiveInteger	01	attr	This attribute defines the maximum number of time the UCM module instantiation shall attempt a retry.
retryInterval Time	TimeValue	01	attr	This attribute defines the time (in seconds) between two retry attempts.

#### Table A.13: UcmRetryStrategy

Class	UcmSubordinateModuleInstantiation				
Package	M2::AUTOSARTemplates::AdaptivePlatform::PlatformModuleDeployment::Ucm				
Note	This meta-class represents the ability to define the target-configuration of a UCM Subordinate instantiation.				
Base	ARObject, AdaptiveModuleInstantiation, AtpClassifier, AtpFeature, AtpStructureElement, Identifiable, MultilanguageReferrable, NonOsModuleInstantiation, Referrable, UcmModuleInstantiation				
Aggregated by	AtpClassifier.atpFeature,	Machine.r	noduleIns	stantiation	
Attribute	Туре	Mult.	Kind	Note	
maxAvailable Persistency StorageSpace	PositiveInteger	01	attr	This attribute names the maximum amount of space available for persistent data handled by the Persistency of installed packages. The UCM needs to figure out from traversing the minimum storage requirement from existing PersistencyDeployments whether specific packages can be installed from the perspective of available storage space. Note that the minimum storage requirement of PersistencyDeployment needs to include space for the handling of the storage, which shall be calculated by the tooling that creates the manifest information inside the package.	
prepareRollback	UcmRetryStrategy	01	aggr	This attribute identifies the configuration of prepare rollback retries initiated by the Ucm Subordinate.	
prepareUpdate	UcmRetryStrategy	01	aggr	This attribute identifies the configuration of prepare update retries initiated by the Ucm Subordinate.	
verifyUpdate	UcmRetryStrategy	01	aggr	This attribute identifies the configuration of verify update retries initiated by the Ucm Subordinate.	

#### Table A.14: UcmSubordinateModuleInstantiation



Class	UcmToTimeBaseResourceMapping			
Package	M2::AUTOSARTemplates:	:Adaptive	Platform::	PlatformModuleDeployment::Ucm
Note	This meta-class maps the UCM Module Instantiation to the TimeSync Module Instantiation.			
	Tags: atp.recommendedP	Tags: atp.recommendedPackage=FCInteractions		
Base	ARElement, ARObject, CollectableElement, FunctionalClusterInteractsWithFunctionalClusterMapping, Identifiable, MultilanguageReferrable, PackageableElement, Referrable, UploadableDeployment Element, UploadablePackageElement			
Aggregated by	ARPackage.element			
Attribute	Туре	Mult.	Kind	Note
timeBase Resource	TimeBaseResource	01	ref	This reference identifies the relevant TimeBaseResource.
ucm	UcmModuleInstantiation	01	ref	This reference identifies the relevant UcmModule Instantiation.

Table A.15: UcmToTimeBaseResourceMapping



# **B** Demands and constraints on Base Software

## [SWS\_UCM\_CONSTR\_00002] UCM confidential information handling

Upstream requirements: RS\_UCM\_00002, RS\_UCM\_00010, RS\_UCM\_00011

[The PackageManagement interface shall only be mapped via ara::com to a secure endpoint using secure communication channel providing confidentiality protection.]

The GetSwClusterInfo, GetSwClusterChangeInfo, GetHistory and GetSw-Packages methods are using data that could identify vehicle user and therefore should be protected for confidentiality.

140 of 180 Document ID 88



# **C** Interfaces to other Functional Clusters (informative)

## C.1 Overview

AUTOSAR decided not to standardize interfaces which are exclusively used between Functional Clusters (on platform-level only), to allow efficient implementations, which might depend e.g. on the used Operating System.

This chapter provides informative guidelines how the interaction between Functional Clusters looks like, by clustering the relevant requirements of this document. In addition, the standardized public interfaces which are accessible by user space applications (see chapter 8) can also be used for interaction between Functional Clusters.

The goal is to provide a clear understanding of Functional Cluster boundaries and interaction, without specifying syntactical details. This ensures compatibility between documents specifying different Functional Clusters and supports parallel implementation of different Functional Clusters. Details of the interfaces are up to the platform provider.

## C.2 Interfaces Tables

## C.2.1 UCM update notification

UCM shall provide the notification to other Functional Clusters that changes have been done to the software. This enables other functional clusters to check if updated manifests have changes relevant for the concerned Functional Cluster. This can be done through the field CurrentStatus provided by the UCM service.



# D Security Analysis of Installation and Update

This chapter presents a summary for the security analysis of the UCM. Some of the threats could not be addressed by specifying AUTOSAR requirements. The main reason for not specifying the countermeasures is to allow vendors to flexibly decide on the solution that fits their setup. Here we aim to raise awareness and provide advice on the selected topics:

## D.1 Securing Software Package

UCM is responsible for applying changes of the platform and applications contained in the Software Packages it receives. Therefore, integrity and authenticity of Software Packages are critical to protect system integrity. It shall be ensured that the Software Packages are neither illegitimately altered nor issued by unauthorized parties. This can be achieved by applying cryptographic techniques such as digital signatures. The period that Software Package resides in UCM before being activated shall not be neglected. It provides a window of opportunity for an attacker to tamper with the Software Package after the authentication is done at TransferExit.

Information disclosure is another security threat category that might be applicable to Software Packages. Packages that contain sensitive information, such as intellectual properties or cryptographic keys, require confidentiality protection in addition to integrity and authenticity when being persisted or transmitted over a communication channel.

Another aspect of protecting Software Update Packages is their freshness. An attacker may try to manipulate the system by downgrading the software via replaying an authentic but older Software Update Package. In this regard, the platform shall ensure that only newer packages (i.e. packages that contain newer version of installed SWCL) can be installed.

## D.2 Securing Calls to UCM

UCM provides a very critical functionality in the platform that allows modifying applications and platform components. In that sense, it is critical to prevent unauthorized access to UCM, meaning only legitimate callers should be allowed to reach the UCM service interface. This is primarily enforced in the communication layer supported by the Identity and Access Management. Additionally, the calls to the UCM interface shall be protected against altering, e.g. changing API arguments. When the service and client reside on the same machine, the security relies on the integrity of the operating system and the platform. In case, the service and the client are running on different machines, a secure communication, assuring authenticity and integrity of communication, is additionally required.



Moreover, some API methods of the UCM interface returns sensitive information about the platform. This subset (GetSwClusterInfo, GetSwClusterChangeInfo, GetHistory, GetSwPackages) shall be protected against information disclosure and should only be reachable over a channel that provides confidentiality.

## D.3 Suppressing Call to UCM

Multiple scenarios can be envisioned where an attacker targets suppressing the calls to UCM. The attack could block the calls to or the response from UCM. In both cases the caller of the service may assume that UCM is not responding and retries its request. This would lead to undesired overhead on the system. For such scenarios, it is recommended that both UCM and the UCM Client consider reporting security events when same calls repeatedly received at UCM or calls repeatedly fail at the caller side. This information could potentially be picked up by Intrusion Detection Systems or Anomaly Detection Systems.

## D.4 Resource Starvation

According to the current specification, the available resources for transferring a Software Package is only checked when TransferStart is called but not reserved. This means, while the transfer is ongoing, the system storage can be exhausted by other processes using the same storage media. A similar case is possible for processing of Software Package, as the resources are only checked at the beginning but not reserved. In this regard, a solution could be to reserve the necessary resources for the Software Package transfer or processing from the beginning to prevent attacks aiming at such scenarios.

At the same time, reserving the resources might provide opportunity to the attacker in other scenarios. The specification allows transferring multiple Software Packages in parallel. Consequently, a misbehaving or compromised client can open unlimited number of transfer sessions causing UCM to run out of resources. To cope with this scenario, a threshold for the number of parallel transfer sessions can be defined.

## D.5 Zombie Sessions

The AUTOSAR specification does not enforce any expiry time for the established transfer sessions. As a result, the resources that are hold by an ongoing session will not be released no matter how long time it takes. At the same time, in certain cases it may take a long time for larger software packages to be transferred to UCM, especially when they are received from external sources with weak connectivity on-the-fly. However, a timeout may be considered for such a transfer to prevent attackers from mounting denial of service attacks by long term allocation of resources.



# E History of Constraints and Specification Items

Please note that the lists in this chapter also include constraints and specification items that have been removed from the specification in a later version. These constraints and specification items do not appear as hyperlinks in the document.

# E.1 Constraint and Specification Item History of this document according to AUTOSAR Release R19-11.

#### E.1.1 Added Specification Items in R19-11

Number	Heading
[SWS_UCM_00009]	UCM exposing its identifier
[SWS_UCM_00105]	UCM confidential information handling
[SWS_UCM_00161]	Check Software Package version compatibility against UCM version
[SWS_UCM_00162]	Entering the Cleaning-up state after a RevertProcessedSwPackages call
[SWS_UCM_00163]	Action in Cleaning-up state
[SWS_UCM_00164]	Cleaning up of Software Packages
[SWS_UCM_00165]	Processing from stream
[SWS_UCM_00166]	Processing from stream state
[SWS_UCM_00167]	Cancelling streamed packages
[SWS_UCM_00168]	Transferring while processing from stream
[SWS_UCM_00169]	Finishing transfer while processing from stream
[SWS_UCM_00170]	Log message retrieving
[SWS_UCM_00171]	Log level changing
[SWS_UCM_00172]	Log messages removing
[SWS_UCM_00173]	UCMIdentifierType table
[SWS_UCM_00174]	SwNameVectorType table
[SWS_UCM_00175]	StrongRevisionLabelString table
[SWS_UCM_00176]	SwNameVersionType table
[SWS_UCM_00177]	SwNameVersionVectorType table
[SWS_UCM_00178]	ProvidedPort VehiclePackageManagement
[SWS_UCM_00179]	RequiredPort VehicleStateManager
[SWS_UCM_00180]	RequiredPort VehicleDriverApplication
[SWS_UCM_00181]	ProvidedInterface VehiclePackageManagement
[SWS_UCM_00182]	RequiredInterface VehicleDriverApplication
[SWS_UCM_00183]	RequiredInterface VehicleStateManager
[SWS_UCM_00210]	Transferring of software packages on kProcessApproving or kProcess- ing state



	Heading
[SWS_UCM_01001]	UCM Master processes Vehicle Package
[SWS_UCM_01002]	UCM Master shall provide UCM services
[SWS_UCM_01003]	UCM Master checks states of UCM subordinates
[SWS_UCM_01004]	Only one UCM Master shall be active per network domain
[SWS_UCM_01005]	UCM Master is discovering UCMs in vehicle
[SWS_UCM_01006]	Vehicle Package transfer to UCM Master
[SWS_UCM_01007]	Start transfer of a Vehicle Package or Software Packageto UCM Master
[SWS_UCM_01008]	Transfer data of a Vehicle Package to UCM Master
[SWS_UCM_01009]	Exit the transfer of a Vehicle Package to UCM Master
[SWS_UCM_01010]	Delete a Vehicle Package transferred to UCM Master
[SWS_UCM_01101]	Provide information of installed Software Clusters in vehicle
[SWS_UCM_01102]	Get information of available Software Clusters in Backend
[SWS_UCM_01103]	Inform Backend of needed Software Clusters for an update
[SWS_UCM_01105]	Interaction of UCM Master with Vehicle Driver
[SWS_UCM_01106]	Exclusive use of Vehicle Driver Interface
[SWS_UCM_01107]	UCM Master provides progress information to Vehicle Driver
[SWS_UCM_01108]	Unsupported safety policy by Vehicle driver interface
[SWS_UCM_01109]	Vehicle State Manager shall provide to UCM Master a safety state
[SWS_UCM_01110]	UCM Master shall be able to set the safety policy to be computed by Vehicle State Manager
[SWS_UCM_01111]	Exclusive use of Vehicle State Manager
[SWS_UCM_01112]	Unsupported safety policy by Vehicle State Manager
[SWS_UCM_01113]	Switching vehicle into update mode
[SWS_UCM_01114]	SafetyPolicyType table
[SWS_UCM_01115]	VehicleStateManagerErrorDomain
[SWS_UCM_01116]	VehicleDriverApplicationErrorDomain
[SWS_UCM_01177]	CampaignStateType table
[SWS_UCM_01201]	Sequential orchestration of campaigns
[SWS_UCM_01203]	CampaignState field
[SWS_UCM_01204]	Initial state
[SWS_UCM_01205]	UCM Master internal state persistency
[SWS_UCM_01206]	Trigger on kTransferApproving state
[SWS_UCM_01207]	Trigger on kTransferring state
[SWS_UCM_01208]	Trigger on kProcessApproving state
[SWS_UCM_01209]	Trigger on kProcessing state
[SWS_UCM_01211]	Trigger on kActivateApproving state
[SWS_UCM_01212]	Trigger on kActivating state
[SWS_UCM_01213]	Trigger on kVehicleChecking state



Number	Heading
[SWS_UCM_01214]	Final action on kVehicleChecking state
[SWS_UCM_01215]	Trigger on kRollingBack state
[SWS_UCM_01216]	Final action on kRollingBack state
[SWS_UCM_01217]	Monitoring of UCM subordinates
[SWS_UCM_01218]	Transition from kIdle state to kSyncing state
[SWS_UCM_01219]	Transition from kSyncing state to kIdle state
[SWS_UCM_01220]	Transition from kIdle state to kVehiclePackageTransferring state
[SWS_UCM_01221]	Transition from kVehiclePackageTransferring state to kIdle state
[SWS_UCM_01222]	Transition from kVehiclePackageTransferring state to kTransfer-
[SWS_UCM_01223]	<b>Transition from</b> kVehiclePackageTransferring <b>state to</b> kTransferApproving <b>state</b>
[SWS_UCM_01224]	Transition from kTransferApproving state to kTransferring state
[SWS_UCM_01225]	Transition from kTransferApproving state to kIdle state
[SWS_UCM_01226]	Transition from kTransferring state to kTransferApproving state
[SWS_UCM_01227]	Transition from kTransferring state to kIdle state
[SWS_UCM_01228]	Transition from kTransferring state to kProcessing state
[SWS_UCM_01229]	SafetyPolicy while processing stream
[SWS_UCM_01230]	Transition from kTransferring state to kProcessApproving state
[SWS_UCM_01231]	Transition from kProcessApproving state to kProcessing state
[SWS_UCM_01232]	Transition from kProcessApproving state to kIdle state
[SWS_UCM_01233]	Transition from kProcessing state to kProcessApproving state
[SWS_UCM_01234]	Transition from kProcessing state to kActivating state
[SWS_UCM_01235]	Transition from kProcessing state to kActivateApproving state
[SWS_UCM_01236]	Transition from kProcessing state to kIdle state
[SWS_UCM_01237]	Transition from kActivateApproving state to kActivating state
[SWS_UCM_01238]	Transition from kActivateApproving state to kIdle state
[SWS_UCM_01239]	Transition from kActivating state to kRollingBack state
[SWS_UCM_01240]	Transition from kActivating state to kVehicleChecking state
[SWS_UCM_01241]	Transition from kVehicleChecking state to kRollingBack state
[SWS_UCM_01242]	Transition from kVehicleChecking state to kIdle state
[SWS_UCM_01243]	Transition from kRollingBack state to kIdle state
[SWS_UCM_01244]	Cancellation of an update campaign shall be possible
[SWS_UCM_01245]	Cancellation during activation shall be possible
[SWS_UCM_01246]	Unreachable UCM during update campaign
[SWS_UCM_01247]	Method to read History Report
[SWS_UCM_01248]	Content of History Report
[SWS_UCM_01301]	Vehicle Package authentication
[SWS_UCM_01302]	Vehicle Package authentication failure



Number	Heading
[SWS_UCM_01303]	Dependencies between Software Packages
[SWS_UCM_01304]	Confidential information protection
[SWS_UCM_CON- STR_00001]	

Table E.1: Added Specification Items in R19-11

# E.1.2 Changed Specification Items in R19-11

Number	Heading
[SWS_UCM_00003]	Cancelling the package processing
[SWS_UCM_00017]	Sequential Software Package Processing
[SWS_UCM_00018]	Providing Progress Information
[SWS_UCM_00027]	Delta Package activation
[SWS_UCM_00071]	SwNameType table
[SWS_UCM_00081]	Processing state of Package Management
[SWS_UCM_00082]	Exit from Processing state of Package Management
[SWS_UCM_00102]	Update state
[SWS_UCM_00103]	Update to older Software Cluster version than currently present
[SWS_UCM_00104]	Consistency Check of processed Package
[SWS_UCM_00111]	Entering the Rolled-back state
[SWS_UCM_00112]	Software Cluster and version
[SWS_UCM_00126]	Entering the RollingBack state after a Rollback call
[SWS_UCM_00130]	Software Cluster and version error
[SWS_UCM_00146]	Entering the Cleaning-up state after a Finish call
[SWS_UCM_00149]	Return to the Idle state from Processing state
[SWS_UCM_00151]	Entering the Ready state of Package Management after a Cancel call
[SWS_UCM_00155]	Entering the RollingBack state after a failure in the Verifying state

 Table E.2: Changed Specification Items in R19-11

# E.1.3 Deleted Specification Items in R19-11

Number	Heading
[SWS_UCM_00012]	Log message retrieving
[SWS_UCM_00114]	ActivateOptionType table



	$\wedge$
2	

Number	Heading
[SWS_UCM_00144]	Log error
Table E.3: Deleted Specification Items in R19-11	

#### ·

## E.1.4 Added Constraints in R19-11

none

## E.1.5 Changed Constraints in R19-11

none

# E.1.6 Deleted Constraints in R19-11

none

# E.2 Constraint and Specification Item History of this document according to AUTOSAR Release R20-11.

# E.2.1 Added Specification Items in R20-11

Number	Heading
[SWS_UCM_00184]	Persistent data clean-up after Software Cluster removal
[SWS_UCM_00185]	Provide SoftwareCluster general information
[SWS_UCM_00186]	
[SWS_UCM_00187]	
[SWS_UCM_00190]	Reinstallation of older Software Cluster version than previously removed
[SWS_UCM_00191]	Software Cluster life-cycle state kAdded
[SWS_UCM_00192]	Software Cluster life-cycle state transition from kAdded to kPresent
[SWS_UCM_00193]	Software Cluster life-cycle state transition from kUpdating to kPre- sent
[SWS_UCM_00194]	Software Cluster life-cycle state transition from kRemoved to kPresent
[SWS_UCM_00195]	Software Cluster life-cycle state kUpdating
[SWS_UCM_00196]	Software Cluster life-cycle state kRemoved
[SWS_UCM_00197]	End of Software Cluster life-cycle state from state kAdded



Number	Heading
[SWS_UCM_00198]	End of Software Cluster life-cycle state from state kRemoved
[SWS_UCM_00199]	Reporting of Software Cluster reaching end of life-cycle
[SWS_UCM_00200]	Failing authentication
[SWS_UCM_00201]	Delta Package dependency error
[SWS_UCM_00202]	Trusted Platform compliance
[SWS_UCM_00203]	TransferData InvalidTransferId
[SWS_UCM_00204]	TransferData IncorrectBlock
[SWS_UCM_00205]	TransferData IncorrectSize
[SWS_UCM_00206]	TransferData InsufficientMemory
[SWS_UCM_00207]	TransferData BlockInconsistent
[SWS_UCM_00208]	TransferData OperationNotPermitted
[SWS_UCM_00209]	TransferData PackageInconsistent
[SWS_UCM_00211]	TransferData TransferInterrupted
[SWS_UCM_00212]	TransferExit InvalidTransferId
[SWS_UCM_00213]	TransferExit InvalidPackageManifest
[SWS_UCM_00214]	DeleteTransfer InvalidTransferId
[SWS_UCM_00215]	DeleteTransfer OperationNotPermitted
[SWS_UCM_00216]	Validity of TransferId
[SWS_UCM_00217]	ProcessSwPackage InsufficientMemory
[SWS_UCM_00218]	ProcessSwPackage InvalidTransferId
[SWS_UCM_00219]	ProcessSwPackage OperationNotPermitted
[SWS_UCM_00220]	GetSwProcessProgress InvalidTransferId
[SWS_UCM_00230]	ProcessSwPackage AuthenticationFailed
[SWS_UCM_00231]	ProcessSwPackage IncompatibleDelta
[SWS_UCM_00232]	ProcessSwPackage
[SWS_UCM_00233]	Cancel Operation CancelFailed
[SWS_UCM_00234]	Cancel OperationNotPermitted
[SWS_UCM_00235]	Cancel InvalidTransferId
[SWS_UCM_00236]	RevertProcessedSwPackages NotAbleToRevertPackages
[SWS_UCM_00237]	RevertProcessedSwPackages OperationNotPermitted
[SWS_UCM_00238]	Rollback NotAbleToRollback
[SWS_UCM_00239]	Rollback OperationNotPermitted
[SWS_UCM_00240]	Finish OperationNotPermitted
[SWS_UCM_00241]	Activate OperationNotPermitted
[SWS_UCM_00242]	Activate PreActivationFailed
[SWS_UCM_00243]	Too big block size received by UCM
[SWS_UCM_00245]	Software Cluster category
[SWS_UCM_00250]	TransferData AuthenticationFailed
[SWS_UCM_00251]	



Number	Heading
[SWS_UCM_00252]	
[SWS_UCM_00253]	
[SWS_UCM_00254]	
[SWS_UCM_00255]	
[SWS_UCM_00256]	
[SWS_UCM_00257]	Update session
[SWS_UCM_00258]	Update session rejected
[SWS_UCM_00259]	Ending the update session
[SWS_UCM_00260]	PrepareUpdate, VerifyUpdate and PrepareRollback orders
[SWS_UCM_00261]	PrepareUpdate, VerifyUpdate and PrepareRollback synchronous calls
[SWS_UCM_00262]	Update preparation rejected
[SWS_UCM_00263]	Update preparation failure
[SWS_UCM_00264]	Update verification rejected
[SWS_UCM_01011]	TransferVehiclePackage InsufficientMemory
[SWS_UCM_01012]	TransferVehiclePackage InsufficientComputationPower
[SWS_UCM_01013]	Too big block size received by UCM Master
[SWS_UCM_01014]	Packages transferring sequence
[SWS_UCM_01015]	Invalid Vehicle Package manifest
[SWS_UCM_01016]	Invalid Package Manifest
[SWS_UCM_01017]	RequestedPackage field
[SWS_UCM_01117]	UCM Master SafetyState field
[SWS_UCM_01118]	UCM Master waiting for vehicle driver approval
[SWS_UCM_01119]	Report information of Software Packages
[SWS_UCM_01120]	Provide Software Packages general information
[SWS_UCM_01121]	Adaptive Platform interface provided for Flashing Adapter
[SWS_UCM_01122]	Supported physical layers by D-PDU API implementation
[SWS_UCM_01123]	Supported application layers by D-PDU API implementation
[SWS_UCM_01124]	Supported protocols by D-PDU API implementation
[SWS_UCM_01125]	Separation of D-PDU API-Software with the MVCI protocol module firmware
[SWS_UCM_01126]	Root description file (RDF)
[SWS_UCM_01127]	Module Description File (MDF)
[SWS_UCM_01128]	Symbolic names and IDs
[SWS_UCM_01129]	SAE J2534-1 and RP 1210a compatibility
[SWS_UCM_01130]	ComPrimitives in RawMode
[SWS_UCM_01131]	PDUIoCtl(PDU_IOCTL_RESET)
[SWS_UCM_01132]	PDUIoCtl(PDU_IOCTL_START_MSG_FILTER), PDUIoCtl(PDU_IOCTL_ CLEAR_MSG_FILTER), PDUIoCtl(PDU_IOCTL_STOP_MSG_FILTER)
[SWS_UCM_01133]	PDUIoCtl(PDU_IOCTL_SEND_BREAK)
[SWS_UCM_01134]	Not used D-PDU API function return codes



Number	Heading
[SWS_UCM_01178]	
[SWS_UCM_01265]	TransferState field
[SWS_UCM_01266]	Subordinate Not Available On The Network
[SWS_UCM_01267]	Vehicle State Manager Communication Error
[SWS_UCM_01268]	Vehicle Driver Interface Communication Error
[SWS_UCM_01269]	Campaign cancellation history
[SWS_UCM_01270]	New campaign disabling
[SWS_UCM_01271]	New campaign enabling
[SWS_UCM_01305]	Vehicle Package format
[SWS_UCM_01306]	TransferExit Invalid package manifest
[SWS_UCM_CON- STR_00002]	UCM confidential information handling
[SWS_UCM_CON- STR_00003]	Exclusive use of Vehicle Driver Interface
[SWS_UCM_CON- STR_00004]	Unsupported safety policy by Vehicle driver interface
[SWS_UCM_CON- STR_00005]	Safety state change
[SWS_UCM_CON- STR_00006]	Exclusive use of Vehicle State Manager
[SWS_UCM_CON- STR_00007]	Unsupported safety policy by Vehicle State Manager
[SWS_UCM_CON- STR_00008]	Switching vehicle into update mode
[SWS_UCM_CON- STR_00009]	Safety policy change
[SWS_UCM_CON- STR_00010]	UCM Client update sequence
[SWS_UCM_CON- STR_00011]	Flashing Adapter provided interface

# E.2.2 Changed Specification Items in R20-11

Number	Heading
[SWS_UCM_00018]	Providing Progress Information
[SWS_UCM_00020]	Finishing the packages activation
[SWS_UCM_00025]	Activation of SoftwareClusters



Number	Heading
[SWS_UCM_00026]	Dependency Check
[SWS_UCM_00027]	Delta Package activation
[SWS_UCM_00028]	Software Package Authentication
[SWS_UCM_00029]	Consistency Check of Manifest
[SWS_UCM_00031]	
[SWS_UCM_00032]	
[SWS_UCM_00038]	
[SWS_UCM_00039]	
[SWS_UCM_00040]	
[SWS_UCM_00044]	
[SWS_UCM_00069]	Report information on Software Packages
[SWS_UCM_00071]	
[SWS_UCM_00073]	
[SWS_UCM_00077]	
[SWS_UCM_00078]	
[SWS_UCM_00079]	
[SWS_UCM_00084]	Entering the kActivating state of Package Management
[SWS_UCM_00085]	Entering the kActivated state of Package Management
[SWS_UCM_00088]	Preparation of data transfer
[SWS_UCM_00092]	Software Package integrity
[SWS_UCM_00098]	Software Package Authentication failure
[SWS_UCM_00107]	Activated state
[SWS_UCM_00110]	Rolling-back the software update
[SWS_UCM_00111]	Entering the kRolled-Back state
[SWS_UCM_00112]	Software Cluster and version
[SWS_UCM_00115]	History
[SWS_UCM_00126]	Entering the kRolling-Back state after a Rollback call
[SWS_UCM_00130]	Software Cluster and version error
[SWS_UCM_00131]	
[SWS_UCM_00132]	
[SWS_UCM_00133]	
[SWS_UCM_00134]	
[SWS_UCM_00135]	
[SWS_UCM_00136]	
[SWS_UCM_00137]	Processing several update Software Packages
[SWS_UCM_00145]	Sequential order of data transfer
[SWS_UCM_00147]	Return to the Idle state from Cleaning-up state
[SWS_UCM_00148]	Transfer sequence order
[SWS_UCM_00149]	Return to the Idle state from Processing state



Number	Heading
[SWS_UCM_00151]	Entering the Ready state of Package Management after a Cancel call
[SWS_UCM_00153]	Action in kActivating state of Package Management
[SWS_UCM_00154]	Entering the Verifying state of Package Management
[SWS_UCM_00155]	Entering the kRolling-Back state after a failure in the kVerifying state
[SWS_UCM_00158]	Cleanup of interrupted actions
[SWS_UCM_00162]	Entering the Cleaning-up state after a RevertProcessedSwPackages call
[SWS_UCM_00165]	Processing from stream
[SWS_UCM_00166]	Processing from stream state
[SWS_UCM_00167]	Cancelling streamed packages
[SWS_UCM_00168]	Transferring while processing from stream
[SWS_UCM_00169]	Finishing transfer while processing from stream
[SWS_UCM_00173]	
[SWS_UCM_00174]	
[SWS_UCM_00175]	
[SWS_UCM_00176]	
[SWS_UCM_00177]	
[SWS_UCM_00178]	
[SWS_UCM_00179]	
[SWS_UCM_00180]	
[SWS_UCM_00181]	
[SWS_UCM_00182]	
[SWS_UCM_00183]	
[SWS_UCM_00210]	Transferring of software packages on kProcessing state
[SWS_UCM_01003]	UCM Master checks states of UCM subordinates
[SWS_UCM_01006]	Start transfer of a Vehicle Package to UCM Master
[SWS_UCM_01007]	Start transfer of a Software Package to UCM Master
[SWS_UCM_01008]	Transfer data of a Vehicle Package or Software Package to UCM Master
[SWS_UCM_01009]	Exit the transfer of a Vehicle Package or Software Package to UCM Master
[SWS_UCM_01010]	Delete a Vehicle Package transferred to UCM Master
[SWS_UCM_01101]	Provide information of installed Software Clusters in vehicle
[SWS_UCM_01102]	Get information of available Software Clusters in Backend
[SWS_UCM_01103]	Inform Backend of needed Software Clusters for an update
[SWS_UCM_01105]	Interaction of UCM Master with Vehicle Driver
[SWS_UCM_01107]	UCM Master provides progress information to Vehicle Driver
[SWS_UCM_01109]	UCM Master provides a safety policy interface
[SWS_UCM_01110]	UCM Master SafetyState method
[SWS_UCM_01114]	



/	^
L	

Number	Heading
[SWS_UCM_01177]	
[SWS_UCM_01203]	CampaignState field
[SWS_UCM_01207]	Trigger on kSoftwarePackage_Transferring state
[SWS_UCM_01221]	Transition from kVehiclePackageTransferring state to kIdle state
[SWS_UCM_01222]	Transition from kVehiclePackageTransferring state to kSoft- warePackage_Transferring state
[SWS_UCM_01227]	Transition from kSoftwarePackage_Transferring state to kIdle state
[SWS_UCM_01228]	Transition from kSoftwarePackage_Transferring state to kProcess- ing state
[SWS_UCM_01229]	SafetyPolicy while processing stream
[SWS_UCM_01234]	Transition from kProcessing state to kActivating state
[SWS_UCM_01236]	Transition from kProcessing state to kIdle state
[SWS_UCM_01239]	Transition from kActivating state to kCancelling state
[SWS_UCM_01240]	Transition from kActivating state to kVehicleChecking state
[SWS_UCM_01244]	Cancellation of an update campaign shall be possible
[SWS_UCM_01245]	Cancellation during activation shall be possible
[SWS_UCM_01246]	Unreachable UCM during update campaign
[SWS_UCM_01247]	Method to read History Report
[SWS_UCM_01302]	Vehicle Package authentication failure
[SWS_UCM_01304]	Confidential information protection
[SWS_UCM_CON- STR_00001]	

Table E.5: Changed Specification Items in R20-11

# E.2.3 Deleted Specification Items in R20-11

Number	Heading
[SWS_UCM_00011]	Updating persisted data
[SWS_UCM_00041]	LogLevelType table
[SWS_UCM_00042]	LogEntryType table
[SWS_UCM_00043]	LogVectorType table
[SWS_UCM_00082]	Exit from Processing state of Package Management
[SWS_UCM_00091]	Successful data transfer
[SWS_UCM_00096]	Entering the Rolled-back state
[SWS_UCM_00102]	Update state
[SWS_UCM_00105]	UCM confidential information handling
[SWS_UCM_00108]	Execution of the update software



Number	Heading
[SWS_UCM_00113]	Rollback of persisted data
[SWS_UCM_00124]	Verify State
[SWS_UCM_00128]	
[SWS_UCM_00141]	UCM insufficient memory for parallel data transfer
[SWS_UCM_00142]	Prevent software from blocking the Rollback operation
[SWS_UCM_00143]	Log level setting
[SWS_UCM_00156]	Procurement of Checksum
[SWS_UCM_00170]	Log message retrieving
[SWS_UCM_00171]	Log level changing
[SWS_UCM_00172]	Log messages removing
[SWS_UCM_01002]	UCM Master shall provide UCM services
[SWS_UCM_01106]	Exclusive use of Vehicle Driver Interface
[SWS_UCM_01108]	Unsupported safety policy by Vehicle driver interface
[SWS_UCM_01111]	Exclusive use of Vehicle State Manager
[SWS_UCM_01112]	Unsupported safety policy by Vehicle State Manager
[SWS_UCM_01113]	Switching vehicle into update mode
[SWS_UCM_01115]	VehicleStateManagerErrorDomain
[SWS_UCM_01116]	VehicleDriverApplicationErrorDomain
[SWS_UCM_01206]	Trigger on kTransferApproving state
[SWS_UCM_01208]	Trigger on kProcessApproving state
[SWS_UCM_01211]	Trigger on kActivateApproving state
[SWS_UCM_01223]	Transition from kVehiclePackageTransferring state to kTransferApproving state
[SWS_UCM_01224]	Transition from kTransferApproving state to kTransferring state
[SWS_UCM_01225]	Transition from kTransferApproving state to kIdle state
[SWS_UCM_01226]	Transition from kTransferring state to kTransferApproving state
[SWS_UCM_01230]	Transition from kTransferring state to kProcessApproving state
[SWS_UCM_01231]	Transition from kProcessApproving state to kProcessing state
[SWS_UCM_01232]	Transition from kProcessApproving state to kIdle state
[SWS_UCM_01233]	Transition from kProcessing state to kProcessApproving state
[SWS_UCM_01235]	Transition from kProcessing state to kActivateApproving state
[SWS_UCM_01237]	Transition from kActivateApproving state to kActivating state
[SWS_UCM_01238]	Transition from kActivateApproving state to kIdle state

Table E.6: Deleted Specification Items in R20-11

# E.2.4 Added Constraints in R20-11

none



# E.2.5 Changed Constraints in R20-11

none

## E.2.6 Deleted Constraints in R20-11

none

# E.3 Constraint and Specification Item History of this document according to AUTOSAR Release R21-11.

# E.3.1 Added Specification Items in R21-11

Number	Heading
[SWS_UCM_00265]	state transition due to ProcessSwPackage error
[SWS_UCM_00266]	OperationNotPermitted error and UCM state
[SWS_UCM_00267]	Error when checksum is not recognised at processing time
[SWS_UCM_00268]	
[SWS_UCM_00269]	
[SWS_UCM_00270]	UCM internal state persistency
[SWS_UCM_00271]	Keeping history of failure error code
[SWS_UCM_00272]	Transfer block size
[SWS_UCM_00273]	Persistent data clean-up after Software Cluster update that removes a process
[SWS_UCM_00274]	UCM initialization
[SWS_UCM_00275]	TransferData error handling order
[SWS_UCM_00276]	TransferExit error handling order
[SWS_UCM_00277]	ProcessSwPackage error handling order
[SWS_UCM_00278]	Cancel error handling order
[SWS_UCM_00279]	RevertProcessedSwPackages error handling order
[SWS_UCM_00280]	Activate VerificationFailed
[SWS_UCM_00281]	Activate error handling order
[SWS_UCM_00282]	Rollback error handling order
[SWS_UCM_00283]	DeleteTransfer error handling order
[SWS_UCM_00285]	Removing or updating a Software Cluster not existing in the Machine
[SWS_UCM_00286]	Software Cluster life-cycle state transition from kRemoved to kPresent in case of Finish call
[SWS_UCM_00287]	End of Software Cluster life-cycle state from state kAdded in case of Finish call



Number	Heading
[SWS_UCM_00288]	
[SWS_UCM_00289]	TransferData TransferFailed
[SWS_UCM_01018]	TransferVehiclePackage BusyWithCampaign
[SWS_UCM_01019]	UCM Master initialization
[SWS_UCM_01135]	Get Software Clusters descriptions from a vehicle
[SWS_UCM_01136]	
[SWS_UCM_01137]	
[SWS_UCM_01138]	
[SWS_UCM_01272]	VehicleCheck call not permitted
[SWS_UCM_01273]	CancelCampaign CancelFailed error
[SWS_UCM_01274]	CancelCampaign OperationNotPermitted error
[SWS_UCM CONSTR_00012]	
[SWS_UCM CONSTR_00013]	Confidential information protection
[SWS_UCM CONSTR_00014]	Software Package and Software Cluster shortNames
[SWS_UCM CONSTR_00015]	Trigger on kVehicleChecking state

# E.3.2 Changed Specification Items in R21-11

Number	Heading
[SWS_UCM_00004]	Report software information
[SWS_UCM_00009]	UCM exposing its identifier
[SWS_UCM_00017]	Sequential Software Package Processing
[SWS_UCM_00020]	Finishing the packages activation
[SWS_UCM_00030]	Report changes
[SWS_UCM_00039]	
[SWS_UCM_00044]	
[SWS_UCM_00078]	
[SWS_UCM_00080]	Idle state of Package Management
[SWS_UCM_00081]	Processing state of Package Management
[SWS_UCM_00083]	Entering the Ready state of Package Management after a successful processing operation
[SWS_UCM_00084]	Entering the kActivating state of Package Management



Number	Heading
[SWS_UCM_00085]	Entering the kActivated state of Package Management
[SWS_UCM_00092]	Software Package integrity
[SWS_UCM_00103]	Update to older Software Cluster version than currently present
[SWS_UCM_00104]	Integrity Check of processed Package
[SWS_UCM_00107]	Activated state
[SWS_UCM_00110]	Rolling-back the software update
[SWS_UCM_00111]	Entering the kRollingBack state
[SWS_UCM_00115]	History
[SWS_UCM_00126]	Entering the kRollingBack state after a Rollback call
[SWS_UCM_00127]	Finishing update sequence
[SWS_UCM_00130]	Software Cluster and version error
[SWS_UCM_00131]	
[SWS_UCM_00133]	
[SWS_UCM_00134]	
[SWS_UCM_00136]	
[SWS_UCM_00146]	Entering the Cleaning-up state after a Finish call
[SWS_UCM_00147]	Return to the Idle state from Cleaning-up state
[SWS_UCM_00149]	Return to the Idle state from Processing state
[SWS_UCM_00151]	Entering the Ready state of Package Management after a Cancel call
[SWS_UCM_00152]	Entering the Ready state of Package Management after a missing dependency
[SWS_UCM_00153]	Action in kActivating state of Package Management
[SWS_UCM_00154]	Entering the Verifying state of Package Management
[SWS_UCM_00155]	Entering the kRolling-Back state after a failure in the kVerifying state
[SWS_UCM_00162]	Entering the Cleaning-up state after a RevertProcessedSwPackages call
[SWS_UCM_00163]	Action in Cleaning-up state
[SWS_UCM_00164]	Cleaning up of Software Packages
[SWS_UCM_00166]	Processing from stream state
[SWS_UCM_00167]	Cancelling streamed packages
[SWS_UCM_00168]	Transferring while processing from stream
[SWS_UCM_00169]	Finishing transfer while processing from stream
[SWS_UCM_00176]	
[SWS_UCM_00181]	
[SWS_UCM_00182]	
[SWS_UCM_00183]	
[SWS_UCM_00185]	Provide SoftwareCluster general information
[SWS_UCM_00186]	
[SWS_UCM_00190]	Reinstallation of older Software Cluster version than previously removed



Number	Heading
[SWS_UCM_00191]	Software Cluster life-cycle state kAdded
[SWS_UCM_00192]	Software Cluster life-cycle state transition from kAdded to kPresent
[SWS_UCM_00193]	Software Cluster life-cycle state transition from kUpdating to kPresent
[SWS_UCM_00194]	Software Cluster life-cycle state transition from kRemoved to kPresent in case of RevertProcessedSwPackages call
[SWS_UCM_00195]	Software Cluster life-cycle state kUpdating
[SWS_UCM_00196]	Software Cluster life-cycle state kRemoved
[SWS_UCM_00197]	End of Software Cluster life-cycle state from state kAdded in case of RevertProcessedSwPackages Call
[SWS_UCM_00198]	End of Software Cluster life-cycle state from state kRemoved
[SWS_UCM_00200]	Failing authentication
[SWS_UCM_00209]	TransferData PackageInconsistent
[SWS_UCM_00210]	Transferring of software packages on kProcessing state
[SWS_UCM_00213]	TransferExit InvalidPackageManifest
[SWS_UCM_00214]	DeleteTransfer InvalidTransferId
[SWS_UCM_00215]	DeleteTransfer OperationNotPermitted
[SWS_UCM_00220]	GetSwProcessProgress InvalidTransferId
[SWS_UCM_00237]	RevertProcessedSwPackages OperationNotPermitted
[SWS_UCM_00239]	Rollback OperationNotPermitted
[SWS_UCM_00240]	Finish OperationNotPermitted
[SWS_UCM_00241]	Activate OperationNotPermitted
[SWS_UCM_00242]	Activate PreActivationFailed
[SWS_UCM_00243]	Too big block size received by UCM
[SWS_UCM_00251]	
[SWS_UCM_00252]	
[SWS_UCM_00253]	
[SWS_UCM_00254]	
[SWS_UCM_00255]	
[SWS_UCM_00257]	Update session
[SWS_UCM_00258]	Update session rejected
[SWS_UCM_00259]	Ending the update session
[SWS_UCM_00260]	PrepareUpdate, VerifyUpdate and PrepareRollback orders
[SWS_UCM_00261]	PrepareUpdate, VerifyUpdate and PrepareRollback synchronous calls
[SWS_UCM_00262]	Update preparation rejected
[SWS_UCM_00263]	Update preparation failure
[SWS_UCM_00264]	Update verification rejected
[SWS_UCM_01003]	UCM Master checks states of UCM subordinates
[SWS_UCM_01011]	TransferVehiclePackage InsufficientMemory

 $\nabla$ 



Number	Heading
[SWS_UCM_01015]	Invalid Vehicle Package manifest
[SWS_UCM_01016]	Invalid Package Manifest
[SWS_UCM_01103]	Inform Backend of needed Software Packages for an update
[SWS_UCM_01109]	UCM Master provides a safety interface
[SWS_UCM_01114]	
[SWS_UCM_01117]	UCM Master SafetyState field
[SWS_UCM_01118]	UCM Master waiting for vehicle driver approval
[SWS_UCM_01203]	CampaignState field
[SWS_UCM_01204]	Initial state
[SWS_UCM_01207]	Trigger on kSoftwarePackage_Transferring state
[SWS_UCM_01209]	Trigger on kProcessing state
[SWS_UCM_01212]	Trigger on kActivating state
[SWS_UCM_01214]	Final action on kVehicleChecking state
[SWS_UCM_01215]	Trigger on kCancelling state
[SWS_UCM_01216]	Final action on kCancelling state
[SWS_UCM_01217]	Monitoring of UCM subordinates
[SWS_UCM_01218]	Transition from kIdle state to kSyncing state
[SWS_UCM_01219]	Transition from kSyncing state to kIdle state
[SWS_UCM_01220]	Transition from kIdle state to kVehiclePackageTransferring state
[SWS_UCM_01221]	Transition from kVehiclePackageTransferring state to kIdle state
[SWS_UCM_01222]	Transition from kVehiclePackageTransferring state to kSoftwarePackage_Transferring state
[SWS_UCM_01227]	Transition from kSoftwarePackage_Transferring state to kIdle state
[SWS_UCM_01228]	Transition from kSoftwarePackage_Transferring state to kProcessing state
[SWS_UCM_01229]	SafetyConditions while processing stream
[SWS_UCM_01234]	Transition from kProcessing state to kActivating state
[SWS_UCM_01236]	Transition from kProcessing state to kCancelling state
[SWS_UCM_01239]	Transition from kActivating state to kCancelling state
[SWS_UCM_01240]	Transition from kActivating state to kVehicleChecking state
[SWS_UCM_01241]	Transition from kVehicleChecking state to kCancelling state
[SWS_UCM_01242]	Transition from kVehicleChecking state to kIdle state
[SWS_UCM_01243]	Transition from kCancelling state to kIdle state
[SWS_UCM_01244]	Cancellation of an update campaign shall be possible
[SWS_UCM_01246]	Unreachable UCM during update campaign
[SWS_UCM_01247]	Method to read History Report
[SWS_UCM_01265]	TransferState field
[SWS_UCM_01270]	New campaign disabling
[SWS_UCM CONSTR_00002]	UCM confidential information handling



Number	Heading
[SWS_UCM CONSTR_00004]	Unsupported safety by Vehicle driver interface
[SWS_UCM CONSTR_00005]	Safety state change
[SWS_UCM CONSTR_00006]	Exclusive use of Vehicle State Manager
[SWS_UCM CONSTR_00007]	Unsupported safety conditions by Vehicle State Manager
[SWS_UCM CONSTR_00008]	Switching vehicle into update mode
[SWS_UCM CONSTR_00009]	Safety condition change

# E.3.3 Deleted Specification Items in R21-11

Number	Heading
[SWS_UCM_00093]	Transfer sequence
[SWS_UCM_00201]	Delta Package dependency error
[SWS_UCM_00211]	TransferData TransferInterrupted
[SWS_UCM_00230]	ProcessSwPackage AuthenticationFailed
[SWS_UCM_00232]	ProcessSwPackage
[SWS_UCM_00233]	Cancel Operation CancelFailed
[SWS_UCM_00250]	TransferData AuthenticationFailed
[SWS_UCM_01001]	UCM Master processes Vehicle Package
[SWS_UCM_01004]	Only one UCM Master shall be active per network domain
[SWS_UCM_01006]	Start transfer of a Vehicle Package to UCM Master
[SWS_UCM_01007]	Start transfer of a Software Package to UCM Master
[SWS_UCM_01008]	<b>Transfer data of a</b> Vehicle Package <b>or</b> Software Package <b>to</b> UCM Master
[SWS_UCM_01009]	Exit the transfer of a Vehicle Package Or Software Package to UCM Master
[SWS_UCM_01010]	Delete a Vehicle Package transferred to UCM Master
[SWS_UCM_01012]	TransferVehiclePackage InsufficientComputationPower
[SWS_UCM_01102]	Get information of available Software Clusters in Backend
[SWS_UCM_01213]	Trigger on kVehicleChecking state
[SWS_UCM_01245]	Cancellation during activation shall be possible
[SWS_UCM_01304]	Confidential information protection



/	^
L	

Number	Heading
[SWS_UCM CONSTR_00010]	UCM Client update sequence



## E.3.4 Added Constraints in R21-11

none

# E.3.5 Changed Constraints in R21-11

none

# E.3.6 Deleted Constraints in R21-11

none

# E.4 Constraint and Specification Item History of this document according to AUTOSAR Release R22-11.

# E.4.1 Added Specification Items in R22-11

Number	Heading
[SWS_UCM_00290]	
[SWS_UCM_00291]	
[SWS_UCM_00292]	History elements ordering
[SWS_UCM_00293]	VerifyUpdate method
[SWS_UCM_00294]	Unsupported package format for UCM
[SWS_UCM_00296]	
[SWS_UCM_00297]	Retry Strategy for ServiceBusy
[SWS_UCM_00298]	Retry Strategy for UpdateSessionRejected
[SWS_UCM_00299]	Verify rolled back Software Clusters
[SWS_UCM_00300]	Software Cluster failing to rollback
[SWS_UCM_00301]	Retry ro Rollback again when UCM is in kRollingBackFailed state
[SWS_UCM_00302]	Rollback failing is triggering production error
	$\nabla$



Number	Heading
[SWS_UCM_00303]	failing to record history
[SWS_UCM_01020]	Retry Strategy for BlockInconsistent
[SWS_UCM_01275]	Safety conditions during activation
[SWS_UCM_01307]	Vehicle Package format not supported
[SWS_UCM_01308]	Check Vehicle Package version compatibility against UCM Master version
[SWS_UCM CONSTR_00016]	OTA Client use of RequestedPackage field
[SWS_UCM CONSTR_00017]	Interaction of UCM Master with Vehicle Driver

# E.4.2 Changed Specification Items in R22-11

Number	Heading
[SWS_UCM_00001]	Starting the package processing
[SWS_UCM_00003]	Cancelling the package processing
[SWS_UCM_00004]	Report software information
[SWS_UCM_00005]	Rollback to the software prior to Finish the update process
[SWS_UCM_00008]	Executing the data transfer
[SWS_UCM_00018]	Providing Progress Information
[SWS_UCM_00022]	Activation of Software Clusters
[SWS_UCM_00025]	Activation of SoftwareClusters
[SWS_UCM_00026]	Dependency Check
[SWS_UCM_00027]	Delta Package version applicability
[SWS_UCM_00030]	Report changes
[SWS_UCM_00031]	
[SWS_UCM_00032]	
[SWS_UCM_00038]	
[SWS_UCM_00039]	
[SWS_UCM_00040]	
[SWS_UCM_00044]	
[SWS_UCM_00069]	Report information on Software Packages
[SWS_UCM_00071]	
[SWS_UCM_00073]	
[SWS_UCM_00077]	
[SWS_UCM_00078]	
[SWS_UCM_00079]	



Number	Heading
[SWS_UCM_00085]	Entering the kActivated state of Package Management
[SWS_UCM_00087]	Insufficient amount of data transferred
[SWS_UCM_00092]	Software Package integrity
[SWS_UCM_00098]	Software Package Authentication failure
[SWS_UCM_00099]	Update of Adaptive Application
[SWS_UCM_00103]	Update to older Software Cluster version than currently present and than previously removed
[SWS_UCM_00104]	Integrity Check of processed Package
[SWS_UCM_00107]	Activated state
[SWS_UCM_00111]	Entering the kRollingBack state
[SWS_UCM_00120]	Runtime dependencies check
[SWS_UCM_00131]	
[SWS_UCM_00132]	
[SWS_UCM_00133]	
[SWS_UCM_00134]	
[SWS_UCM_00135]	
[SWS_UCM_00136]	
[SWS_UCM_00137]	Processing several update Software Packages
[SWS_UCM_00151]	Entering the Ready state of Package Management after a Cancel call
[SWS_UCM_00154]	Entering the Verifying state of Package Management
[SWS_UCM_00155]	Entering the kRolling-Back state after a failure in the kVerifying state
[SWS_UCM_00160]	Processing results records
[SWS_UCM_00161]	Check Software Package version compatibility against UCM version
[SWS_UCM_00167]	Cancelling streamed packages
[SWS_UCM_00173]	
[SWS_UCM_00175]	
[SWS_UCM_00176]	
[SWS_UCM_00177]	
[SWS_UCM_00178]	
[SWS_UCM_00179]	
[SWS_UCM_00180]	
[SWS_UCM_00181]	
[SWS_UCM_00182]	
[SWS_UCM_00183]	
[SWS_UCM_00184]	Persistent data clean-up after Software Cluster removal
[SWS_UCM_00185]	Provide SoftwareCluster general information
[SWS_UCM_00186]	
[SWS_UCM_00187]	



Number	Heading
[SWS_UCM_00193]	Software Cluster life-cycle state transition from kUpdating to kPresent
[SWS_UCM_00195]	Software Cluster life-cycle state kUpdating
[SWS_UCM_00200]	Failing authentication
[SWS_UCM_00202]	Trusted Platform compliance
[SWS_UCM_00203]	TransferData InvalidTransferId
[SWS_UCM_00204]	TransferData IncorrectBlock
[SWS_UCM_00205]	TransferData IncorrectSize
[SWS_UCM_00206]	TransferData InsufficientMemory
[SWS_UCM_00207]	TransferData BlockInconsistent
[SWS_UCM_00208]	TransferData OperationNotPermitted
[SWS_UCM_00219]	ProcessSwPackage OperationNotPermitted
[SWS_UCM_00231]	ProcessSwPackage IncompatibleDelta
[SWS_UCM_00242]	Activate PrepareUpdateFailed
[SWS_UCM_00245]	Software Cluster category
[SWS_UCM_00251]	
[SWS_UCM_00252]	
[SWS_UCM_00253]	
[SWS_UCM_00254]	
[SWS_UCM_00255]	
[SWS_UCM_00256]	
[SWS_UCM_00257]	Update session
[SWS_UCM_00258]	Update session rejected
[SWS_UCM_00262]	Update preparation rejected
[SWS_UCM_00263]	Update preparation failure
[SWS_UCM_00264]	Update verification rejected
[SWS_UCM_00265]	state transition due to ProcessSwPackage error
[SWS_UCM_00266]	OperationNotPermitted error and UCM state
[SWS_UCM_00268]	
[SWS_UCM_00269]	
[SWS_UCM_00270]	UCM internal state persistency
[SWS_UCM_00272]	Transfer block size
[SWS_UCM_00273]	Persistent data clean-up after Software Cluster update that removes a process
[SWS_UCM_00274]	UCM initialization
[SWS_UCM_00275]	TransferData error handling order
[SWS_UCM_00276]	TransferExit error handling order
[SWS_UCM_00277]	ProcessSwPackage error handling order
[SWS_UCM_00280]	Activate VerificationFailed



Number	Heading	
[SWS_UCM_00281]	Activate error handling order	
[SWS_UCM_00282]	Rollback error handling order	
[SWS_UCM_00285]	Removing or updating a Software Cluster not existing in the Machine	
[SWS_UCM_00286]	Software Cluster life-cycle state transition from kRemoved to kPresent in case of Finish call	
[SWS_UCM_00287]	End of Software Cluster life-cycle state from state kAdded in case of Finish call	
[SWS_UCM_00288]		
[SWS_UCM_01017]	RequestedPackage field	
[SWS_UCM_01018]	TransferVehiclePackage BusyWithCampaign	
[SWS_UCM_01101]	Provide information of installed Software Clusters in vehicle	
[SWS_UCM_01105]	Interaction of UCM Master with Vehicle Driver	
[SWS_UCM_01109]	UCM Master provides a safety interface	
[SWS_UCM_01114]		
[SWS_UCM_01117]	UCM Master SafetyState field	
[SWS_UCM_01118]	UCM Master waiting for vehicle driver approval	
[SWS_UCM_01119]	Report information of Software Packages	
[SWS_UCM_01120]	Provide Software Packages general information	
[SWS_UCM_01135]	Get Software Clusters descriptions from a vehicle	
[SWS_UCM_01136]		
[SWS_UCM_01137]		
[SWS_UCM_01138]		
[SWS_UCM_01177]		
[SWS_UCM_01178]		
[SWS_UCM_01203]	CampaignState field	
[SWS_UCM_01212]	Trigger on kActivating state	
[SWS_UCM_01214]	Final action on kVehicleChecking state	
[SWS_UCM_01215]	Trigger on kCancelling state	
[SWS_UCM_01216]	Final action on kCancelling state	
[SWS_UCM_01218]	Transition from kIdle state to kSyncing state	
[SWS_UCM_01219]	Transition from kSyncing state to kIdle state	
[SWS_UCM_01220]	Transition from kIdle state to kVehiclePackageTransferring and kTransferring states	
[SWS_UCM_01221]	Transition from kVehiclePackageTransferring state and kTransferring state to kCancelling state	
[SWS_UCM_01227]	Transition from kSoftwarePackage_Transferring state and kTransferring state to kCancelling state	
[SWS_UCM_01228]	Transition from kSoftwarePackage_Transferring state and kTransferring state to kProcessing state and kUpdating state	
[SWS_UCM_01234]	Transition from kProcessing state to kActivating state	



Number	Heading
[SWS_UCM_01236]	Transition from kProcessing state and kUpdating state to kCancelling state
[SWS_UCM_01239]	Transition from kActivating state and kUpdating state to kCancelling state
[SWS_UCM_01241]	Transition from kVehicleChecking state and kUpdating state to kCancelling state
[SWS_UCM_01242]	Transition from kVehicleChecking state and kUpdating state to kIdle state
[SWS_UCM_01243]	Transition from kCancelling state to kIdle state
[SWS_UCM_01244]	Cancellation of an update campaign shall be possible
[SWS_UCM_01246]	Unreachable UCM during update campaign
[SWS_UCM_01248]	Content of History Report
[SWS_UCM_01266]	Subordinate Not Available On The Network
[SWS_UCM_01267]	Vehicle State Manager Communication Error
[SWS_UCM_01268]	Vehicle Driver Interface Communication Error
[SWS_UCM_01271]	New campaign enabling
[SWS_UCM_01272]	VehicleCheck call not permitted
[SWS_UCM_01301]	Vehicle Package authentication
[SWS_UCM_01305]	Vehicle Package format
[SWS_UCM_01306]	TransferExit Invalid package manifest
[SWS_UCM CONSTR_00004]	Unsupported safety by Vehicle driver interface
[SWS_UCM CONSTR_00005]	Safety state change
[SWS_UCM CONSTR_00006]	Exclusive use of Vehicle State Manager
[SWS_UCM CONSTR_00007]	Unsupported safety conditions by Vehicle State Manager
[SWS_UCM CONSTR_00009]	Safety condition change
[SWS_UCM CONSTR_00013]	Confidential information protection
[SWS_UCM CONSTR_00015]	Trigger on kVehicleChecking state

Table E.11: Changed Specification Items in R22-11



# E.4.3 Deleted Specification Items in R22-11

Number	Heading
[SWS_UCM_00009]	UCM exposing its identifier
[SWS_UCM_00028]	Software Package Authentication
[SWS_UCM_00086]	Unsupported method calls
[SWS_UCM_00174]	
[SWS_UCM_00209]	TransferData PackageInconsistent
[SWS_UCM_00238]	Rollback NotAbleToRollback
[SWS_UCM_01107]	UCM Master provides progress information to Vehicle Driver
[SWS_UCM_01110]	UCM Master SafetyState method

Table E.12: Deleted Specification Items in R22-11

## E.4.4 Added Constraints in R22-11

none

# E.4.5 Changed Constraints in R22-11

none

# E.4.6 Deleted Constraints in R22-11

none

# E.5 Constraint and Specification Item History of this document according to AUTOSAR Release R23-11.

## E.5.1 Added Specification Items in R23-11

Number	Heading
[SWS_UCM_00305]	Persistent data uri at Software Cluster installation
[SWS_UCM_00306]	Persistent data uri change at update
[SWS_UCM_00309]	Definition of ImplementationDataType UCMIdentifierAndVersionType
[SWS_UCM_00311]	Provide SoftwareCluster general information



Number	Heading
[SWS_UCM_00312]	Definition of ImplementationDataType SwClusterManifestInfoType
[SWS_UCM_00313]	Definition of ImplementationDataType DependencyVectorType
[SWS_UCM_00314]	Definition of ImplementationDataType DependencyType
[SWS_UCM_00315]	Definition of ImplementationDataType DependencyCompareConditionType
[SWS_UCM_00316]	Definition of ImplementationDataType DependencyOperatorType
[SWS_UCM_00317]	Definition of ImplementationDataType LogicalOperationType
[SWS_UCM_00318]	Definition of ImplementationDataType DependencyRoleType
[SWS_UCM_00319]	Semantic versionning
[SWS_UCM_00320]	Diagnostic Event: History recording failed
[SWS_UCM_00321]	Diagnostic Event: Update session with SM rejected
[SWS_UCM_00322]	Diagnostic Event: PrepareUpdate call to SM failed
[SWS_UCM_00323]	Diagnostic Event: RollBack failed
[SWS_UCM_00324]	Diagnostic Event: Verification with SM at activation failed
[SWS_UCM_00325]	Diagnostic Event: Campaign cancelling failed
[SWS_UCM_00326]	Diagnostic Event: Activation not possible because of missing dependencies
[SWS_UCM_00327]	Diagnostic Event: Installing old software is not allowed
[SWS_UCM_00329]	Activate kPersistencyAllocationFailed

 Table E.13: Added Specification Items in R23-11

# E.5.2 Changed Specification Items in R23-11

Number	Heading
[SWS_UCM_00026]	Dependency Check
[SWS_UCM_00038]	Definition of ImplementationDataType SwPackageStateType
[SWS_UCM_00039]	Definition of ImplementationDataType SwPackageInfoType
[SWS_UCM_00077]	Definition of ImplementationDataType SwClusterStateType
[SWS_UCM_00078]	Definition of ImplementationDataType SwClusterInfoType
[SWS_UCM_00131]	Definition of ServiceInterface PackageManagement
[SWS_UCM_00132]	Definition of ImplementationDataType ActionType
[SWS_UCM_00133]	Definition of ImplementationDataType ResultType
[SWS_UCM_00134]	Definition of ImplementationDataType HistoryType
[SWS_UCM_00135]	Definition of ImplementationDataType HistoryVectorType
[SWS_UCM_00136]	Definition of Application Error Domain of functional cluster UCM
[SWS_UCM_00161]	Check Software Package version compatibility against UCM version
[SWS_UCM_00175]	Definition of ImplementationDataType StrongRevisionLabelString
[SWS_UCM_00184]	Persistent data clean-up after Software Cluster removal



/	<li></li>
/	/

Number	Heading
[SWS_UCM_00185]	Provide SoftwareCluster general information
[SWS_UCM_00203]	TransferData InvalidTransferId
[SWS_UCM_00236]	RevertProcessedSwPackages NotAbleToRevertPackages
[SWS_UCM_00245]	Software Cluster category
[SWS_UCM_00262]	Update preparation rejected
[SWS_UCM_00264]	Update verification rejected
[SWS_UCM_00266]	OperationNotPermitted error and UCM state
[SWS_UCM_00271]	Keeping history of failure error code
[SWS_UCM_00273]	Persistent data clean-up after Software Cluster update that removes a process
[SWS_UCM_00274]	UCM initialization
[SWS_UCM_00278]	Cancel error handling order
[SWS_UCM_00279]	RevertProcessedSwPackages error handling order
[SWS_UCM_00281]	Activate error handling order
[SWS_UCM_00292]	History elements ordering

Table E.14: Changed Specification Items in R23-11

# E.5.3 Deleted Specification Items in R23-11

Number	Heading
[SWS_UCM_00120]	Runtime dependencies check
[SWS_UCM_00177]	
[SWS_UCM_00178]	
[SWS_UCM_00179]	
[SWS_UCM_00180]	
[SWS_UCM_00181]	
[SWS_UCM_00182]	
[SWS_UCM_00183]	
[SWS_UCM_00186]	
[SWS_UCM_00187]	
[SWS_UCM_00210]	Transferring of software packages on kProcessing state
[SWS_UCM_00251]	
[SWS_UCM_00252]	
[SWS_UCM_00253]	
[SWS_UCM_00254]	
[SWS_UCM_00255]	

 $\nabla$ 



Number	Heading
[SWS_UCM_00256]	
[SWS_UCM_00268]	
[SWS_UCM_00269]	
[SWS_UCM_00290]	
[SWS_UCM_00291]	
[SWS_UCM_00296]	
[SWS_UCM_00297]	Retry Strategy for ServiceBusy
[SWS_UCM_00298]	Retry Strategy for UpdateSessionRejected
[SWS_UCM_01003]	UCM Master checks states of UCM subordinates
[SWS_UCM_01005]	UCM Master is discovering UCMs in vehicle
[SWS_UCM_01011]	TransferVehiclePackage InsufficientMemory
[SWS_UCM_01013]	Too big block size received by UCM Master
[SWS_UCM_01014]	Packages transferring sequence
[SWS_UCM_01015]	Invalid Vehicle Package manifest
[SWS_UCM_01016]	Invalid Package Manifest
[SWS_UCM_01017]	RequestedPackage field
[SWS_UCM_01018]	TransferVehiclePackage BusyWithCampaign
[SWS_UCM_01019]	UCM Master initialization
[SWS_UCM_01020]	Retry Strategy for BlockInconsistent
[SWS_UCM_01101]	Provide information of installed Software Clusters in vehicle
[SWS_UCM_01103]	Inform Backend of needed Software Packages for an update
[SWS_UCM_01105]	Interaction of UCM Master with Vehicle Driver
[SWS_UCM_01109]	UCM Master provides a safety interface
[SWS_UCM_01114]	
[SWS_UCM_01117]	UCM Master SafetyState field
[SWS_UCM_01118]	UCM Master waiting for vehicle driver approval
[SWS_UCM_01119]	Report information of Software Packages
[SWS_UCM_01120]	Provide Software Packages general information
[SWS_UCM_01121]	Adaptive Platform interface provided for Flashing Adapter
[SWS_UCM_01122]	Supported physical layers by D-PDU API implementation
[SWS_UCM_01123]	Supported application layers by D-PDU API implementation
[SWS_UCM_01124]	Supported protocols by D-PDU API implementation
[SWS_UCM_01125]	Separation of D-PDU API-Software with the MVCI protocol module firmware
[SWS_UCM_01126]	Root description file (RDF)
[SWS_UCM_01127]	Module Description File (MDF)
[SWS_UCM_01128]	Symbolic names and IDs
[SWS_UCM_01129]	SAE J2534-1 and RP 1210a compatibility
[SWS_UCM_01130]	ComPrimitives in RawMode
[SWS_UCM_01131]	PDUIoCtl(PDU_IOCTL_RESET)



Number	Heading
[SWS_UCM_01132]	PDUIoCtl(PDU_IOCTL_START_MSG_FILTER), PDUIoCtl( PDU_IOCTL_CLEAR_MSG_FILTER), PDUIoCtl( PDU_IOCTL_STOP_MSG_FILTER)
[SWS_UCM_01133]	PDUIoCtl(PDU_IOCTL_SEND_BREAK)
[SWS_UCM_01134]	Not used D-PDU API function return codes
[SWS_UCM_01135]	Get Software Clusters descriptions from a vehicle
[SWS_UCM_01136]	
[SWS_UCM_01137]	
[SWS_UCM_01138]	
[SWS_UCM_01177]	
[SWS_UCM_01178]	
[SWS_UCM_01201]	Sequential orchestration of campaigns
[SWS_UCM_01203]	CampaignState field
[SWS_UCM_01204]	Initial state
[SWS_UCM_01205]	UCM Master internal state persistency
[SWS_UCM_01207]	Trigger on kSoftwarePackage_Transferring state
[SWS_UCM_01209]	Trigger on kProcessing state
[SWS_UCM_01212]	Trigger on kActivating state
[SWS_UCM_01214]	Final action on kVehicleChecking state
[SWS_UCM_01215]	Trigger on kCancelling state
[SWS_UCM_01216]	Final action on kCancelling state
[SWS_UCM_01217]	Monitoring of UCM subordinates
[SWS_UCM_01218]	Transition from kIdle state to kSyncing state
[SWS_UCM_01219]	Transition from kSyncing state to kIdle state
[SWS_UCM_01220]	Transition from kIdle state to kVehiclePackageTransferring and kTransferring states
[SWS_UCM_01221]	<b>Transition from</b> kVehiclePackageTransferring <b>state and</b> kTransferring <b>state to</b> kCancelling <b>state</b>
[SWS_UCM_01222]	<b>Transition from</b> kVehiclePackageTransferring <b>state to</b> kSoftwarePackage_Transferring <b>state</b>
[SWS_UCM_01227]	<b>Transition from</b> kSoftwarePackage_Transferring <b>state and</b> kTransferring <b>state to</b> kCancelling <b>state</b>
[SWS_UCM_01228]	Transition from kSoftwarePackage_Transferring state and kTransferring state to kProcessing state and kUpdating state
[SWS_UCM_01229]	SafetyConditions while processing stream
[SWS_UCM_01234]	Transition from kProcessing state to kActivating state
[SWS_UCM_01236]	Transition from kProcessing state and kUpdating state to kCancelling state
[SWS_UCM_01239]	Transition from kActivating state and kUpdating state to kCancelling state
[SWS_UCM_01240]	Transition from kActivating state to kVehicleChecking state



/	\
L	7

Number	Heading
[SWS_UCM_01241]	Transition from kVehicleChecking state and kUpdating state to kCancelling state
[SWS_UCM_01242]	Transition from kVehicleChecking state and kUpdating state to kIdle state
[SWS_UCM_01243]	Transition from kCancelling state to kIdle state
[SWS_UCM_01244]	Cancellation of an update campaign shall be possible
[SWS_UCM_01246]	Unreachable UCM during update campaign
[SWS_UCM_01247]	Method to read History Report
[SWS_UCM_01248]	Content of History Report
[SWS_UCM_01265]	TransferState field
[SWS_UCM_01266]	Subordinate Not Available On The Network
[SWS_UCM_01267]	Vehicle State Manager Communication Error
[SWS_UCM_01268]	Vehicle Driver Interface Communication Error
[SWS_UCM_01269]	Campaign cancellation history
[SWS_UCM_01270]	New campaign disabling
[SWS_UCM_01271]	New campaign enabling
[SWS_UCM_01272]	VehicleCheck call not permitted
[SWS_UCM_01273]	CancelCampaign CancelFailed error
[SWS_UCM_01274]	CancelCampaign OperationNotPermitted error
[SWS_UCM_01275]	Safety conditions during activation
[SWS_UCM_01301]	Vehicle Package authentication
[SWS_UCM_01302]	Vehicle Package authentication failure
[SWS_UCM_01303]	Dependencies between Software Packages
[SWS_UCM_01305]	Vehicle Package format
[SWS_UCM_01306]	TransferExit Invalid package manifest
[SWS_UCM_01307]	Vehicle Package format not supported
[SWS_UCM_01308]	Check Vehicle Package version compatibility against UCM Master version

Table E.15: Deleted Specification Items in R23-11

## E.5.4 Added Constraints in R23-11

none

# E.5.5 Changed Constraints in R23-11

none



# E.5.6 Deleted Constraints in R23-11

Number	Heading
[SWS_UCM CONSTR 00003]	Exclusive use of Vehicle Driver Interface
[SWS_UCM CONSTR 00004]	Unsupported safety by Vehicle driver interface
[SWS_UCM CONSTR 00005]	Safety state change
[SWS_UCM CONSTR 00006]	Exclusive use of Vehicle State Manager
[SWS_UCM CONSTR 00007]	Unsupported safety conditions by Vehicle State Manager
[SWS_UCM CONSTR 00008]	Switching vehicle into update mode
[SWS_UCM CONSTR 00009]	Safety condition change
[SWS_UCM CONSTR 00011]	Flashing Adapter provided interface
[SWS_UCM CONSTR 00013]	Confidential information protection
[SWS_UCM CONSTR 00015]	Trigger on kVehicleChecking state
[SWS_UCM CONSTR 00016]	OTA Client use of RequestedPackage field
[SWS_UCM CONSTR 00017]	Interaction of UCM Master with Vehicle Driver

### Table E.16: Deleted Constraints in R23-11



# E.6 Constraint and Specification Item History of this document according to AUTOSAR Release R24-11.

# E.6.1 Added Specification Items in R24-11

Number	Heading
[SWS_UCM_00330]	GetSwPackages method at Software Packages kTransferring state
[SWS_UCM_00331]	Delete Software Package at kCleaningUp
[SWS_UCM_00332]	Software Package transfer - Log successful Software Package transfer
[SWS_UCM_00333]	Software Package transfer - Log failure of Software Package transfer
[SWS_UCM_00334]	Software Package processing - Log successful Software Package processing
[SWS_UCM_00335]	Software Package processing - Log failure of Software Package processing
[SWS_UCM_00336]	Software Cluster activation - Log installation of new Software Cluster
[SWS_UCM_00337]	Software Cluster activation - Log update of existing Software Cluster
[SWS_UCM_00338]	Software Cluster activation - Log removal of existing Software Cluster
[SWS_UCM_00339]	Software Cluster activation failure - Log failure of Software Cluster activation
[SWS_UCM_00340]	Software Cluster rollback - Log rollback of Software Cluster
[SWS_UCM_00341]	Definition of ImplementationDataType ProgressInformationType
[SWS_UCM_00342]	Update configuration only
[SWS_UCM_00343]	Definition of Method PackageManagement.GetId
[SWS_UCM_00344]	Definition of Method PackageManagement.RegisterSoftwarePackage
[SWS_UCM_00345]	Definition of Method PackageManagement.TransferStart
[SWS_UCM_00346]	Definition of Method PackageManagement.TransferData
[SWS_UCM_00347]	Definition of Method PackageManagement.TransferExit
[SWS_UCM_00348]	Definition of Method PackageManagement.DeleteTransfer
[SWS_UCM_00349]	Definition of Method PackageManagement.ProcessSwPackage
[SWS_UCM_00350]	Definition of Method PackageManagement.RevertProcessedSwPackages
[SWS_UCM_00351]	Definition of Method PackageManagement.Cancel
[SWS_UCM_00352]	Definition of Method PackageManagement.Activate
[SWS_UCM_00353]	Definition of Method PackageManagement.Rollback
[SWS_UCM_00354]	Definition of Method PackageManagement.Finish
[SWS_UCM_00355]	Definition of Method PackageManagement.GetHistory
[SWS_UCM_00356]	Definition of Method PackageManagement.GetSwClusterChangeInfo
[SWS UCM 00357]	Definition of Method PackageManagement.GetSwClusterInfo



Number	Heading
[SWS_UCM_00358]	Definition of Method PackageManagement.GetSwClusterManifestInfo
[SWS_UCM_00359]	Definition of Method PackageManagement.GetSwPackages
[SWS_UCM_00360]	Definition of Method PackageManagement.GetProgress
[SWS_UCM_00361]	Definition of Field PackageManagement.CurrentStatus
[SWS_UCM_00362]	Definition of ImplementationDataType SwPackageNameType
[SWS_UCM_00363]	Missing dependencies is triggering production error
[SWS_UCM_00364]	Update session failure is triggering production error
[SWS_UCM_00366]	Diagnostic Event: for UCM
[SWS_UCM_00367]	Diagnostic Event: for UCM
[SWS_UCM_00368]	UCM FAILED PREPAREROLLBACK is triggering production error
[SWS_UCM_00369]	UCM REJECTED PREPAREROLLBACK is triggering production error
[SWS_UCM_00370]	UCM FAILED Verification is triggering production error
[SWS_UCM_00371]	UCM rollback after failed Machine restart
[SWS_UCM_00372]	Cancel Failing is triggering production error
[SWS_UCM_00373]	Update preparation rejected
[SWS_UCM_00374]	Diagnostic Event: Update verification rejected
[SWS_UCM_00375]	Diagnostic Event: Update session with SM rejected
[SWS_UCM_00376]	LogMessage SoftwarePackageReceived
[SWS_UCM_00377]	LogMessage SoftwarePackageTransferFailed
[SWS_UCM_00378]	LogMessage SoftwarePackageProcessed
[SWS_UCM_00379]	LogMessage SoftwarePackageProcessingFailed
[SWS_UCM_00380]	LogMessage SoftwareClusterInstalled
[SWS_UCM_00381]	LogMessage SoftwareClusterUpdated
[SWS_UCM_00382]	LogMessage SoftwareClusterRemoved
[SWS_UCM_00383]	LogMessage SoftwareClusterActivationFailed
[SWS_UCM_00384]	LogMessage SoftwareClusterRolledback
[SWS_UCM_00385]	Suspend and resume support
[SWS_UCM_00386]	Suspend and resume not support
[SWS_UCM_00387]	Suspend the execution of potentially long running Update States
[SWS_UCM_00388]	Resume the execution of potentially long running Update States
[SWS_UCM_00389]	Error behaviour for resume
[SWS_UCM_00390]	Error behaviour of service interface during suspension
[SWS_UCM_00391]	Processing a Software Package
[SWS_UCM_00392]	Failed processing a Software Package
[SWS_UCM_00393]	Definition of ImplementationDataType TransferStateType
[SWS_UCM_00394]	Definition of ImplementationDataType ProcessingStateType
[SWS_UCM_00395]	Definition of ImplementationDataType RunningStateType
[SWS_UCM_00396]	Definition of ImplementationDataType UpdateStateType



$\wedge$	
$\sim$	

Number	Heading
[SWS_UCM_00397]	Definition of Method PackageManagement.Suspend
[SWS_UCM_00398]	Definition of Method PackageManagement.Resume
[SWS_UCM_00399]	SEV SW UPDATE FAILED
[SWS_UCM_00400]	SEV SW UPDATE SUCCESS
[SWS_UCM_00401]	string in the context data is shorter than SecurityEventContextData Element.maxLength
[SWS_UCM_00402]	string in the context data is longer than SecurityEventContextData Element.maxLength
[SWS_UCM_00403]	Security events for UCM
[SWS_UCM_00404]	Security event context data definition: SEV_SW_UPDATE_FAILED
[SWS_UCM_00405]	Security event context data definition: SEV_SW_UPDATE_SUCCESS
[SWS_UCM_00407]	Mapping of context data elements for SEV SW UPDATE FAILED
[SWS_UCM_00408]	Mapping of context data elements for SEV SW UPDATE SUCCESS

Table E.17: Added Specification Items in R24-11

# E.6.2 Changed Specification Items in R24-11

Number	Heading
[SWS_UCM_00003]	Cancelling the package processing
[SWS_UCM_00008]	Executing the data transfer
[SWS_UCM_00010]	End of data transfer
[SWS_UCM_00017]	Sequential Software Package Processing
[SWS_UCM_00026]	Dependency Check
[SWS_UCM_00029]	Consistency Check of Manifest
[SWS_UCM_00031]	Definition of ImplementationDataType TransferIdType
[SWS_UCM_00032]	Definition of ImplementationDataType ByteVectorType
[SWS_UCM_00038]	Definition of ImplementationDataType SwPackageStateType
[SWS_UCM_00039]	Definition of ImplementationDataType SwPackageInfoType
[SWS_UCM_00040]	Definition of ImplementationDataType SwPackageInfoVectorType
[SWS_UCM_00044]	Definition of ImplementationDataType CurrentStatusType
[SWS_UCM_00071]	Definition of ImplementationDataType SwClusterNameType
[SWS_UCM_00073]	Definition of Port PackageManagement provided by functional cluster UCM
[SWS_UCM_00078]	Definition of ImplementationDataType SwClusterInfoType
[SWS_UCM_00079]	Definition of ImplementationDataType SwClusterInfoVectorType
[SWS_UCM_00080]	Default state of Package Management
[SWS_UCM_00081]	Processing of Software Packages.



Number	Heading
[SWS_UCM_00083]	Entering the Ready state of Package Management after a successful processing operation
[SWS_UCM_00085]	Entering the kActivated Update State (updateState) of Package Management
[SWS_UCM_00088]	Preparation of data transfer
[SWS_UCM_00098]	Software Package Authentication failure
[SWS_UCM_00100]	Update of Functional Clusters
[SWS_UCM_00101]	Update of Host
[SWS_UCM_00103]	Update to Software Cluster version which is not newer than currently present and than previously removed
[SWS_UCM_00104]	Integrity Check of processed Package
[SWS_UCM_00127]	Finishing update sequence
[SWS_UCM_00131]	Definition of ServiceInterface PackageManagement
[SWS_UCM_00132]	Definition of ImplementationDataType ActionType
[SWS_UCM_00134]	Definition of ImplementationDataType HistoryType
[SWS_UCM_00135]	Definition of ImplementationDataType HistoryVectorType
[SWS_UCM_00136]	Definition of Application Error Domain of functional cluster UCM
[SWS_UCM_00147]	Return to the Prpearing state from Cleaning-up state
[SWS_UCM_00149]	Stay in Preparing state
[SWS_UCM_00150]	Cancellation of a Software Package processing
[SWS_UCM_00151]	Entering the Ready state of Package Management after a Cancel call
[SWS_UCM_00152]	Entering the Preparing state of Package Management after a missing dependency
[SWS_UCM_00161]	Check Software Package version compatibility against UCM version
[SWS_UCM_00162]	Entering the Cleaning-up state after a RevertProcessedSwPackages call
[SWS_UCM_00166]	Processing from stream states
[SWS_UCM_00167]	Cancelling streamed packages
[SWS_UCM_00168]	Transferring while processing from stream
[SWS_UCM_00169]	Finishing transfer while processing from stream
[SWS_UCM_00173]	Definition of ImplementationDataType UCMIdentifierType
[SWS_UCM_00176]	Definition of ImplementationDataType SwNameVersionType
[SWS_UCM_00202]	Trusted Platform compliance
[SWS_UCM_00207]	TransferData BlockInconsistent
[SWS_UCM_00217]	ProcessSwPackage InsufficientMemory
[SWS_UCM_00218]	ProcessSwPackage InvalidTransferId
[SWS_UCM_00219]	ProcessSwPackage OperationNotPermitted
[SWS_UCM_00231]	ProcessSwPackage IncompatibleDelta
[SWS_UCM_00239]	Rollback OperationNotPermitted
[SWS_UCM_00241]	Activate OperationNotPermitted
	1



Number	Heading
[SWS_UCM_00258]	Update session rejected
[SWS_UCM_00260]	PrepareUpdate, VerifyUpdate and PrepareRollback orders
[SWS_UCM_00262]	Diagnostic Event: Update preparation rejected
[SWS_UCM_00263]	Update preparation failure
[SWS_UCM_00264]	Update verification rejected
[SWS_UCM_00265]	state transition due to ProcessSwPackage error
[SWS_UCM_00266]	OperationNotPermitted error and UCM state
[SWS_UCM_00267]	Error when checksum is not recognised at processing time
[SWS_UCM_00271]	Keeping history of failure error code
[SWS_UCM_00272]	Transfer block size
[SWS_UCM_00273]	Persistent data clean-up after Software Cluster update that removes a process
[SWS_UCM_00274]	UCM initialization
[SWS_UCM_00275]	TransferData error handling order
[SWS_UCM_00276]	TransferExit error handling order
[SWS_UCM_00277]	ProcessSwPackage error handling order
[SWS_UCM_00283]	DeleteTransfer error handling order
[SWS_UCM_00285]	Removing or updating a Software Cluster not existing in the Machine
[SWS_UCM_00288]	Definition of Port UpdateRequest required by functional cluster UCM
[SWS_UCM_00289]	TransferData TransferFailed
[SWS_UCM_00293]	VerifyUpdate method
[SWS_UCM_00294]	Unsupported package format for UCM
[SWS_UCM_00299]	Verify rolled back Software Clusters
[SWS_UCM_00300]	Software Cluster failing to rollback
[SWS_UCM_00301]	Retry ro Rollback again when UCM is in kRollingBackFailed state
[SWS_UCM_00302]	Rollback failing is triggering production error
[SWS_UCM_00303]	failing to record history
[SWS_UCM_00305]	Persistent data uri at Software Cluster installation
[SWS_UCM_00306]	Persistent data uri change at update
[SWS_UCM_00309]	Definition of ImplementationDataType UCMIdentifierAndVersionType
[SWS_UCM_00311]	Provide SoftwareCluster general information
[SWS_UCM_00312]	Definition of ImplementationDataType SwClusterManifestInfoType
[SWS_UCM_00313]	Definition of ImplementationDataType DependencyVectorType
[SWS_UCM_00314]	Definition of ImplementationDataType DependencyType
[SWS_UCM_00315]	Definition of ImplementationDataType DependencyCompareConditionType
[SWS_UCM_00316]	Definition of ImplementationDataType DependencyOperatorType
[SWS_UCM_00317]	Definition of ImplementationDataType LogicalOperationType
[SWS_UCM_00318]	Definition of ImplementationDataType DependencyRoleType
[SWS_UCM_00321]	Diagnostic Event: Update session with SM rejected
	1



/	$\backslash$
	7

Number	Heading
[SWS_UCM_00329]	Activate kPersistencyAllocationFailed
Table E.18: Changed Specification Items in R24-11	

## E.6.3 Deleted Specification Items in R24-11

none

## E.6.4 Added Constraints in R24-11

none

## E.6.5 Changed Constraints in R24-11

Number	Heading
[SWS_UCM CONSTR 00002]	UCM confidential information handling
[SWS_UCM CONSTR 00012]	
[SWS_UCM CONSTR 00014]	Software Package and Software Cluster shortNames

 Table E.19: Changed Constraints in R24-11

## E.6.6 Deleted Constraints in R24-11

none