

Decument Title	Requirements of State
Document Title	Management
Document Owner	AUTOSAR
Document Responsibility	AUTOSAR
<b>Document Identification No</b>	909

Document Status	published
Part of AUTOSAR Standard	Adaptive Platform
Part of Standard Release	R24-11

Document Change History			
Date	Release	Changed by	Description
2024-11-27	R24-11	AUTOSAR Release Management	Remove support for DiagnosticReset
2023-11-23	R23-11	AUTOSAR Release Management	No content changes
2022-11-24	R22-11	AUTOSAR Release Management	Added requirements regarding functional safety
2021-11-25	R21-11	AUTOSAR Release Management	Requirements from RS-Safety considered
2020-11-30	R20-11	AUTOSAR Release Management	No content changes
2019-11-28	R19-11	AUTOSAR Release Management	<ul><li>No content changes</li><li>Changed Document Status from Final to published</li></ul>
2019-03-29	19-03	AUTOSAR Release Management	Updated requirements due to reworked intended design
2018-10-31	18-10	AUTOSAR Release Management	Initial release



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### 1 Scope of Document

This document specifies requirements on State Management. State Management implements interfaces of State Manager on the AUTOSAR Adaptive Platform, because State Management is highly project specific and therefor to be implemented by the project itself.



#### 2 Conventions to be used

The representation of requirements in AUTOSAR documents follows the table specified in [TPS\_STDT\_00078], see Standardization Template, chapter Support for Traceability ([1]).

The verbal forms for the expression of obligation specified in [TPS\_STDT\_00053] shall be used to indicate requirements, see Standardization Template, chapter Support for Traceability ([1]).



## 3 Acronyms and abbreviations

The glossary below includes acronyms and abbreviations relevant to this Document.

Abbreviation / Acronym:	Description:
State Management	The element defining modes of operation for AUTOSAR Adaptive Platform. It allows flexible definition of functions which are active on the platform at any given time.

**Table 3.1: Technical Terms** 

The following technical terms used in this document are defined in the corresponding document mentioned in the table below.

Abbreviation / Acronym:	Description:
Platform Health Management	see [2] Specification of Platform Health Management
Execution Management	see [3] Requirements on Execution Management
Function Group	see [3] Requirements on Execution Management
Function Group State	see [3] Requirements on Execution Management
Machine State	see [3] Requirements on Execution Management
Network Management	see [4] Specification of Network Management
Process	see [5] AUTOSAR Glossary
Adaptive Application	see [5] AUTOSAR Glossary
AUTOSAR Adaptive Platform	see [5] AUTOSAR Glossary
Executable	see [5] AUTOSAR Glossary
Functional Cluster	see [5] AUTOSAR Glossary
Machine	see [5] AUTOSAR Glossary
Manifest	see [5] AUTOSAR Glossary
Adaptive Platform Foundation	see [5] AUTOSAR Glossary
Adaptive Platform Services	see [5] AUTOSAR Glossary

**Table 3.2: Reference to Technical Terms** 



### 4 Requirements Specification

This chapter describes all requirements driving the work to define the State Management.

#### 4.1 Functional Overview

This document specifies the requirements regarding the realization of the State Management on Adaptive Platform. Only the interfaces and abstract functionality will be defined, because State Management is highly project specific.

Execution Management, Platform Health Management and State Management are the main safety relevant functional clusters of the AUTOSAR Adaptive Platform. Consequently, their development may require certain processes to be followed - as recommended in ISO26262. A safety argumentation for the AUTOSAR Adaptive Platform, describing functional safety measures and use-cases is provided through Explanation of Safety Overview [6].

#### 4.2 Functional Requirements

#### 4.2.1 State Management

## [RS\_SM\_00001] State Management shall coordinate and control multiple sets of Applications.

Status: DRAFT

Upstream requirements: RS\_Main\_00050, RS\_Main\_00460, RS\_Main\_00150, RS\_Main\_00106

Γ

Description:	State Management shall allow to change the availability of Adaptive Applications based on internal decision and/or external requests.
Rationale:	State Management shall coordinate and control one or multiple sets of Adaptive Applications (Function Group State) and the platform (Machine State) itself so that the machine behaves as to fulfill the intended system design of a particular project.
Dependencies:	-
Use Case:	Provide interface to influence State Managements internal states.
Supporting Material:	_



#### [RS\_SM\_00004] State Management shall provide standardized interfaces.

Status: DRAFT

Upstream requirements: RS\_Main\_00060, RS\_Main\_01002, RS\_Main\_01005, RS\_Main\_00650

Γ

Description:	State Management implementation shall be portable between different AUTOSAR Adaptive Platform compliant stacks. State Management shall only depend on the standardized interfaces when it interacts with other Functional Cluster. Therefor State Management shall provide interfaces over at least ara::com.
Rationale:	
Dependencies:	
Use Case:	Support error reaction of "Platform Health Management", configure Adaptive Applications availability based on "Diagnostic" and "Update and Configuration Management"
Supporting Material:	

#### [RS\_SM\_00005] State Management internal states.

Status: DRAFT

Upstream requirements: RS\_Main\_00460

Γ

Description:	State Management shall support to change State Managements its internal states based on external inputs
Rationale:	State Management shall support to implement one or more state machines.  State Management shall change its internal states in a project-specific manner based on requests from external inputs via its provided interfaces.  State Management may reflect the changes of its internal states based on project-specific requirements via its provided interfaces.
Dependencies:	_
Use Case:	-
Supporting Material:	_

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#### 4.2.2 Virtualization support / Hierarchical State Management

## [RS\_SM\_00200] State Management shall provide an interface between State Management instances.

Status: DRAFT

Upstream requirements: RS\_Main\_00511, RS\_Main\_00150

Γ

Description:	State Management shall provide an interface between State Management instances used in a hierarchically manner.
Rationale:	In a virtualized/hierarchical environment several instances of State  Management will be active. Instances with lower priority have to be controlled by instances with a higher priority
Dependencies:	
Use Case:	The components are possibly provided by different vendors, working on different microcontrollers or virtual machines. On each controller or (virtual) machine a separate instance of <pre>State Management</pre> might be used and it should be possible to operate these instances in a hierarchically manner.
Supporting Material:	

#### 4.2.3 Calibration and variant support

## [RS\_SM\_00300] State Management shall support variant handling based on calibration data.

Status: DRAFT

Upstream requirements: RS\_Main\_00261, RS\_Main\_00360

Γ

Description:	State Management shall evaluate calibration data. State Management should (or not) set Function Groups to specified Function Group State depending on read configuration data.
Rationale:	
Dependencies:	
Use Case:	For different car lines, countries or regions different Function Groups will be allowed to be started. State Management evaluates this information from calibration data to enable only the wanted Function Groups.
Supporting Material:	

I



#### 4.2.4 Dynamic communication paths

## [RS\_SM\_00400] State Management shall establish communication paths dynamically.

Status: DRAFT

Upstream requirements: RS\_Main\_01002, RS\_Main\_01005, RS\_Main\_00503

Γ

Description:	State Management shall be able to evaluate which communication channels are needed by Adaptive Applications and therefor by their corresponding Function Group. Opening and closing of these channels shall be done by requesting them from Network Management.
Rationale:	
Dependencies:	
Use Case:	Adaptive Applications as part of aFunction Group will have a need to use communication with other ones. Therefore State Management evaluates this information from configuration and requests Network Management to establish or shutdown the corresponding communication channel.
Supporting Material:	

## [RS\_SM\_00401] State Management shall control Applications depending on dynamic communication paths .

Status: DRAFT

Upstream requirements: RS\_Main\_01002, RS\_Main\_01005, RS\_Main\_00503

Γ

Description:	State Management shall be able to evaluate which Adaptive Applications and therefor their corresponding Function Group are needed by establishing communication channels. starting and stopping of
	Adaptive Applications shall be done on request from Network Management.
Rationale:	
Dependencies:	
Use Case:	Adaptive Applications as part of a Function Group will have a need to use communication with other ones. Therefore State Management evaluates this information from configuration and requests Execution Management to set a Function Group (and therefor the related Adaptive Applications) to a dedicated state.
Supporting Material:	



#### 4.2.5 Recovery actions

#### [RS\_SM\_00601] State Management shall coordinate recovery actions.

Status: DRAFT

Upstream requirements: RS\_SAF\_10005, RS\_SAF\_10006

Γ

Description:	State Management shall coordinate recovery actions.	
Rationale:	State Management is a central functional cluster to which Platform Health Management reports supervision failures and State Management decides which recovery action (e.g. functional group state change, notification to a safe application or even ECU reset) should be triggered.	
Use Case:	PHM supervises a safety critical Adaptive Applications. This application fails. PHM detects the issue and reports to State Management. State Management coordinates the error recovery actions.	
Dependencies:	PHM	
Supporting Material:	_	

### 4.3 Non-Functional Requirements

[RS\_SM\_00600] State Management shall be implemented at least according to the highest safety integrity level from any process that is managed by State Management.

Status: DRAFT

Upstream requirements: RS SAF 10001

Γ

Description:	State Management shall be implemented at least according to the highest safety integrity level from any process that is managed by State Management.
Rationale:	State Management manages state changes and recovery actions of all the processes and therefore needs to be developed and executed according to the same safety standards as the highest rated safety application managed by State Management in the system.
Use Case:	An ASIL C, B and QM Application is running on the adaptive Platform. State Management shall manage the ASIL C, B and the QM application, therefore State Management shall be implemented with an ASIL C.
Dependencies:	-







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Supporting Material:	_
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### 5 Requirements Tracing

The following table references the features specified in [7] and links to the fulfillments of these. Please note that if column "Satisfied by" is empty for a specific requirement this means that this requirement is not fulfilled by this document. Likewise, an entry of [RS\_SM\_NA] indicates that the source requirement has been evaluated as "not applicable" to the State Management.

Requirement	Description	Satisfied by
[RS_Main_00050]	AUTOSAR shall provide an Execution Framework towards applications to implement concurrent application internal control flows	[RS_SM_00001]
[RS_Main_00060]	Standardized Application Communication Interface	[RS_SM_00004]
[RS_Main_00106]	AUTOSAR shall provide the possibility to extend the software with new SWCs without recompiling the platform foundation	[RS_SM_00001]
[RS_Main_00150]	AUTOSAR shall support the deployment and reallocation of AUTOSAR Application Software	[RS_SM_00001] [RS_SM_00200]
[RS_Main_00261]	AUTOSAR shall provide means for calibration	[RS_SM_00300]
[RS_Main_00360]	Variant Management Support	[RS_SM_00300]
[RS_Main_00460]	AUTOSAR shall standardize methods to organize mode management on Application, ECU and System level	[RS_SM_00001] [RS_SM_00005]
[RS_Main_00503]	AUTOSAR shall support change of communication and application software at runtime.	[RS_SM_00400] [RS_SM_00401]
[RS_Main_00511]	AUTOSAR shall support virtualization	[RS_SM_00200]
[RS_Main_00650]	AUTOSAR shall support up - and download of data and software	[RS_SM_00004]
[RS_Main_01002]	AUTOSAR shall support service-oriented communication	[RS_SM_00004] [RS_SM_00400] [RS_SM_00401]
[RS_Main_01005]	AUTOSAR shall establish communication paths dynamically	[RS_SM_00004] [RS_SM_00400] [RS_SM_00401]
[RS_SAF_10001]	AUTOSAR shall provide mechanisms to support safe initialization of software components.	[RS_SM_00600]
[RS_SAF_10005]	AUTOSAR shall provide mechanisms to support safe shutdown and termination of applications, software components, basic software modules and services.	[RS_SM_00601]
[RS_SAF_10006]	AUTOSAR shall provide mechanisms to support safe transition of states in a basic software module, software component, application or service life cycle.	[RS_SM_00601]

**Table 5.1: Requirements Tracing** 



### 5.1 Not applicable requirements

[RS\_SM\_NA]

Status: DRAFT

Upstream requirements: RS\_Main\_01004, RS\_Main\_00049, RS\_Main\_00170, RS\_Main\_00440,

RS\_Main\_01007

These requirements are not applicable as they are not within the scope of this release or this document.



#### 6 References

- [1] Standardization Template AUTOSAR\_FO\_TPS\_StandardizationTemplate
- [2] Specification of Platform Health Management AUTOSAR AP SWS PlatformHealthManagement
- [3] Requirements on Execution Management AUTOSAR\_AP\_RS\_ExecutionManagement
- [4] Specification of Network Management AUTOSAR\_AP\_SWS\_NetworkManagement
- [5] Glossary AUTOSAR\_FO\_TR\_Glossary
- [6] Explanation of Safety Overview AUTOSAR\_FO\_EXP\_SafetyOverview
- [7] Main Requirements AUTOSAR FO RS Main



### A Change history of AUTOSAR traceable items

Please note that the lists in this chapter also include traceable items that have been removed from the specification in a later version. These items do not appear as hyperlinks in the document.

## A.1 Traceable item history of this document according to AUTOSAR Release R24-11

#### A.1.1 Added Requirements in R24-11

none

#### A.1.2 Changed Requirements in R24-11

Number	Heading
[RS_SM_00001]	State Management shall coordinate and control multiple sets of Applications.
[RS_SM_00004]	State Management shall provide standardized interfaces.
[RS_SM_00200]	State Management shall provide an interface between State Management instances.
[RS_SM_00400]	State Management shall establish communication paths dynamically.
[RS_SM_00401]	State Management shall control Applications depending on dynamic communication paths .
[RS_SM_00600]	State Management shall be implemented at least according to the highest safety integrity level from any process that is managed by State Management.
[RS_SM_00601]	State Management shall coordinate recovery actions.

Table A.1: Changed Requirements in R24-11

#### A.1.3 Deleted Requirements in R24-11

Number	Heading
[RS_SM_00100]	State Management shall support ECU reset

**Table A.2: Deleted Requirements in R24-11** 



# A.2 Traceable item history of this document according to AUTOSAR Release R23-11

#### A.2.1 Added Requirements in R23-11

none

#### A.2.2 Changed Requirements in R23-11

none

#### A.2.3 Deleted Requirements in R23-11

none

#### A.2.4 Added Constraints in R23-11

none

#### A.2.5 Changed Constraints in R23-11

none

#### A.2.6 Deleted Constraints in R23-11

none

# A.3 Traceable item history of this document according to AUTOSAR Release R22-11

#### A.3.1 Added Requirements in R22-11

Number	Heading	
[RS_SM_00600]	State Management shall be implemented at least according to the highest safety integrity level from any process that is managed by State Management.	





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Number	Heading
[RS_SM_00601]	State Management shall coordinate recovery actions.

Table A.3: Added Requirements in R22-11

#### A.3.2 Changed Requirements in R22-11

Number	Heading	
[RS_SM_00400]	State Management shall establish communication paths dynamically.	
[RS_SM_00401]	State Management shall control Adaptive Applications depending on dynamic communication paths.	

Table A.4: Changed Requirements in R22-11

#### A.3.3 Deleted Requirements in R22-11

Number	Heading	
[RS_SM_00101]	State Management shall support diagnostic reset cause	

Table A.5: Deleted Requirements in R22-11

#### A.3.4 Added Constraints in R22-11

none

#### A.3.5 Changed Constraints in R22-11

none

#### A.3.6 Deleted Constraints in R22-11



# A.4 Traceable item history of this document according to AUTOSAR Release R21-11

#### A.4.1 Added Requirements "in R21-11"

Number	Heading
[RS_SM_00001]	State Management shall coordinate and control multiple sets of Adaptive Applications.
[RS_SM_00004]	State Management shall provide standardized interfaces.
[RS_SM_00005]	State Management internal states.
[RS_SM_00100]	State Management shall support ECU reset
[RS_SM_00101]	State Management shall support diagnostic reset cause
[RS_SM_00200]	State Management shall provide an interface between State Management instances.
[RS_SM_00300]	State Management shall support variant handling based on calibration data.
[RS_SM_00400]	State Management shall establish communication paths dynamically.
[RS_SM_00401]	State Management shall control Adaptive Applications depending on dynamic communication paths.

Table A.6: Added Requirements "in R21-11"

#### A.4.2 Changed Requirements "in R21-11"

none

#### A.4.3 Deleted Requirements "in R21-11"

none

#### A.4.4 Added Constraints "in R21-11"

none

#### A.4.5 Changed Constraints "in R21-11"



#### A.4.6 Deleted Constraints "in R21-11"

none

# A.5 Traceable item history of this document according to AUTOSAR Release R20-11

#### A.5.1 Added Requirements in R20-11

Number	Heading
[RS_SM_00001]	State Management shall coordinate and control multiple sets of Adaptive Applications.
[RS_SM_00004]	State Management shall provide standardized interfaces.
[RS_SM_00005]	State Management internal states.
[RS_SM_00100]	State Management shall support ECU reset
[RS_SM_00101]	State Management shall support diagnostic reset cause
[RS_SM_00200]	State Management shall provide an interface between State Management instances.
[RS_SM_00300]	State Management shall support variant handling based on calibration data.
[RS_SM_00400]	State Management shall establish communication paths dynamically.
[RS_SM_00401]	State Management shall control Adaptive Applications depending on dynamic communication paths.

Table A.7: Added Requirements in R20-11

#### A.5.2 Changed Requirements in R20-11

none

#### A.5.3 Deleted Requirements in R20-11

none

#### A.5.4 Added Constraints in R20-11



#### A.5.5 Changed Constraints in R20-11

none

#### A.5.6 Deleted Constraints in R20-11

none

# A.6 Traceable item history of this document according to AUTOSAR Release R19-11

#### A.6.1 Added Requirements in 19-11

none

#### A.6.2 Changed Requirements in 19-11

Number	Heading
[RS_SM_00004]	State Management shall provide standardized interfaces.
[RS_SM_00300]	State Management shall support variant handling based on calibration data.
[RS_SM_00400]	State Management shall establish communication paths dynamically.

Table A.8: Changed Requirements in 19-11

#### A.6.3 Deleted Requirements in 19-11

Number	Heading
[RS_SM_00500]	State Management shall support efficient resource usage.

Table A.9: Deleted Requirements in 19-11

#### A.6.4 Added Constraints in 19-11



#### A.6.5 Changed Constraints in 19-11

none

#### A.6.6 Deleted Constraints in 19-11

none

# A.7 Traceable item history of this document according to AUTOSAR Release R19-03

#### A.7.1 Added Requirements in 19-03

Number	Heading
[RS_SM_00004]	State Management shall provide standardized interfaces.
[RS_SM_00005]	State Management internal states.
[RS_SM_00401]	State Management shall control Adaptive Applications depending on dynamic communication paths .

Table A.10: Added Requirements in 19-03

### A.7.2 Changed Requirements in 19-03

Number	Heading
[RS_SM_00001]	State Management shall coordinate and control multiple sets of Adaptive Applications.
[RS_SM_00200]	State Management shall provide an interface between State Management instances.
[RS_SM_00400]	State Management shall establish communication paths dynamically.

Table A.11: Changed Requirements in 19-03

#### A.7.3 Deleted Requirements in 19-03

Number	Heading
[RS_SM_00002]	State Management shall support Component State change requests.





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Number	Heading
[RS_SM_00201]	State Management shall provide the interface over ara::com.

Table A.12: Deleted Requirements in 19-03

#### A.7.4 Added Constraints in 19-03

none

#### A.7.5 Changed Constraints in 19-03

none

#### A.7.6 Deleted Constraints in 19-03